



GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER
Clinical Services Division, Residential Programs

Request for Proposals

GBHWC RFP 04-2018

Professional Services
Providing 24-Hour Residential and Support Services
for Adults with Persistent Developmental and
Cognitive/Emotional Disabilities

(Level A, B, and C)

September 10, 2018

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GBHWC 04-2018

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PUBLIC NOTICE
REQUEST FOR PROPOSAL FOR
Professional Services
Providing Twenty-Four (24) Hour Residential and
Support Services for Adults with Persistent Developmental
and Cognitive/Emotional Disabilities (Level A, B C)

Guam Behavioral Health and Wellness Center (GBHWC) is soliciting proposals from private,-public, for-profit or non-profit organizations, companies or individuals interested in providing a 24-Hour residential and support services for adults with persistent developmental and cognitive/emotional disabilities. .

Request for Proposal (RFP) packages are available for public inspection at www.gbhwc.guam.gov or a hard copy is available for pickup at the Director's Office, GBHWC located on the First Floor, 790 Governor Carlos G. Camacho Road, Tamuning, Guam during the weekdays except holidays between 8:30 A.M. to 4:30 P.M. GBHWC requires that prospective Offerors register with GBHWC to ensure that they receive notices regarding any changes or updates to the RFP. Official communications, clarifications and amendments to the RFP will be sent to all registered Offerors and posted on www.gbhwc.guam.gov. A registration form is provided with the RFP as Form A. GBHWC will not be liable for failure to provide notice to any Offeror that does not register contract information.

Questions regarding this RFP should be written and addressed to GBHWC Director through U.S. Mail, hand delivery, or facsimile (671) 649-6948 by **Tuesday, September 18, 2018**. All correspondence will be recorded, considered confidential, and timely responded to in the form of an answer or amendment, whichever is applicable in accordance with Guam Procurement Regulations. Electronic mail (email) is not acceptable.

The DEADLINE FOR RECEIPT OF PROPOSALS is no later than 4:30 P.M. Chamorro Standard Time, **Friday, September 28, 2018**. All proposals must be submitted only via U.S. Mail, courier, or hand delivery and must be addressed to the Director, Guam Behavioral Health and Wellness Center. Electronic mail (email) is not acceptable.

GBHWC shall have the right to reject all proposals or offers that have been submitted in response to this RFP, and/or may cancel this RFP at any time if the Director determines such to be in the interest of GBHWC or if allowed by law or regulation.

For special accommodations, call the Director's Office at (671) 647-1901.

REY M. VEGA, Director
September 10, 2018

PAID BY LOCAL GOVERNMENT FUNDS

SECTION I. GENERAL INFORMATION

A. Overview

The Guam Behavioral Health and Wellness Center (GBHWC), formerly Department of Mental Health & Substance Abuse, is requesting proposals from interested Offerors to provide 24-hour residential and support services for adults with persistent developmental and cognitive/emotional disabilities.

GBHWC is seeking a qualified service provider who will provide professional services for the management and operational of residential treatment facilities as set forth in the scope of work of this request for proposal (RFP).

Private,-public, for-profit or non-profit organizations, companies or individuals interested in bidding for this project, may submit proposals that are directed towards adult males and females. Offerors must be experienced with residential programs for adults with persistent development and cognitive/emotional disabilities.

Offerors should be familiar with Article 19 of the 2006 United Nations Convention on the Rights of Persons with Disability, Olmstead issues described by the United States Department of Health and Human Services (DHHS), the Substance Abuse and Mental Health Services Administration (SAMHSA), and the Bazelon Center for Mental Health Law.

Offeror's proposal must reflect GBHWC's commitment to the clients' recovery and progression to independent living. Offerors also need to understand the principles and concepts of community-based services, client-centered decision making, use of natural supports and positive behavioral support systems.

GBHWC seeks a service provider who will collaborate in the multi-disciplinary team approach, treatment planning, behavioral program implementation and data collection. Treatment plan fidelity is also expected and service provider will participate in a dynamic treatment planning process. Additionally, client progress notes and pertinent data will be recorded in the Electronic Behavioral Health Record (EBHR) system.

GBHWC seeks a qualified service provider who is willing and able to work collaboratively and collegially with the professionals at GBHWC. The clients' needs must receive priority. The focus will be on the clients and their needed services, to assist them to progress to the highest level of independence possible. This RFP contains general scope of service and process information; therefore, we encourage Offerors to ask questions and to submit responses within the stated timelines.

The services requested are locally mandated and funded through requests in GBHWC's annual appropriations.

Clients of the residential program shall be assisted with acquiring the necessary skills for personalized optimal functioning included but not limited to personal management, nutritional management, medication management and other areas outlined in the scope of work section.

Operational services must include the availability of a facility based in a neighborhood; purchase of supplies, food and filtered water, along with the following utilities: electrical power, water and sewage, telephone, cable television and internet services.

B. Background

In accordance with Title 10 of the Guam Code Annotated, Chapter 86, Subsection 86109.1, a Residential Program is established within GBHWC to provide residential and support services for clients with persistent developmental and cognitive/emotional disabilities.

The Amended Permanent Injunction (API), Case No. CV01-00041 CMB, Title: J.C. et al v. Camacho, requires the Guam Behavioral Health and Wellness Center to ensure that individuals with disabilities are placed in appropriate levels of care in the least restrictive environment.

Subsequently, GBHWC has determined that this is a residential program that would meet the unique needs of basis habilitation and multi-disciplinary treatment services for clients with persistent development and/or behavioral health issues, especially those with mental illness, cognitive limitations, intellectual/developmental disabilities, and/or substance use issues, within a supportive living environment, integrated in the community.

The residential program is in compliance with the Olmstead Act by ensuring the availability of the most integrated setting appropriate to the needs of qualified individuals with disabilities.

C. All Parties to Act in Good Faith

This Request for Proposal (RFP) is issued subject to all the provisions of the Guam Procurement Law and the Guam Procurement Regulations (copies are available for inspection at the General Services Agency). This RFP requires all parties involved in the preparation of the RFP, the evaluation and negotiation of proposals, and the performance or administration of contracts, to act in good faith.

D. Liability for Costs to Prepare Proposal

GBHWC is not liable for any costs incurred by any Offeror in connection with the preparation of its proposal. By submitting a proposal, the Offeror expressly waives any right it may have against the government for any expenses incurred in connection with the preparation of its proposal.

E. Applicability of Guam Procurement Law

All agencies of the Government of Guam are required to follow the Guam Procurement Law when using public funds for procurement of “supplies or services” pursuant to 5 GCA Chapter 5 and 2 GAR Division 4. The statutes are available on-line at www.guamcourts.org/justicedocs/index.html. This RFP is issued by GBHWC, a line department of the government of Guam, and authorized by the Guam Procurement Law to act as the purchasing agency for the purpose of procuring professional services described in Section II, Scope of Work. Any party who submits a proposal is known as “Offeror”.

F. Licensing (License to conduct business in Guam GBHWC RFP Form C)

An Offeror who has not complied with the Guam Licensing Law is cautioned that GBHWC will not consider for award any proposal offer submitted. Specific information on licenses may be obtained from the Director of the Department of Revenue and Taxation, by telephone at (671) 635-7621 or by mail at P.O. Box 23607, GMF, Guam 96921 or on-line at <https://www.guamtax.com>.

G. Non-Resident Tax Withholding

A non-resident person without a valid Guam business license residing outside of Guam shall be subject to a withholding assessment, the equivalent of the Guam business privilege tax (BPT), which shall be the equal to four percent (4% or current rate) of the total value of a contract awarded by all government of Guam contracts for professional services as a cost of doing business with the government of Guam. See P.L. 33-166 effective June 20, 2017 codified at 11 G.C.A. Chapter 71, Section 71114.

H. Registration Required To Be a Potential Offeror

All parties who receive an RFP and are possibly interested in submitting a proposal must register as an interested party by completing the "Proposal Registration" (GBHWC RFP Form A) and either delivering it to GBHWC in person, or by U.S. Mail, email, or facsimile. Only potential Offerors who have registered with GBHWC are assured of receiving any amendments to the RFP, responses to inquiries and other related matters. Acknowledgment of receipt to all amendments and responses to inquiries is required as part of any proposal, and only registered offerors will be considered as "potential Offerors". GBHWC maintains a procurement registration log as to those potential Offerors who pick up copies of the RFP at GBHWC. In the event the contact information for a potential Offeror changes during the procurement process, it shall be the potential Offeror's responsibility to update its registration contact information with GBHWC. GBHWC will not be liable for failure to provide notice to any party who did not register accurate and current contact information.

All parties shall identify an official or authorized representative to act on its behalf during negotiations and/or execution of contractual agreements. (GBHWC RFP Form A)

I. Debarment, Suspension and Ineligibility

All debarment or suspensions of persons are deferred from consideration for award of contracts imposed by the Chief Procurement Officer, the Director of Public Works, or the head of a Purchasing Agency. (2 GAR Div 4 Sec 9102) Only Offerors who are not suspended by local and/or federal government(s) are qualified to submit proposals.

J. Prohibition Against Employment of Sex Offenders

Offeror who is awarded the contract, warrants that no person in its employment has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 of the Guam Code Annotated, or of an offense defined in Article 2 of Chapter 28 of Title 9 of the Guam Code Annotated, or who has been convicted of an offense with the same elements as heretofore

defined in any other jurisdiction, or who is listed on the Sex Offender Registry, shall provide services on behalf of the Offeror while on government of Guam property, with the exception of public highways. If any employee of the Offeror is providing services on government property and is convicted subsequent to an award of a contract, then the Offeror warrants that it will notify the government of the conviction within twenty-four hours of the conviction, and will immediately cease convicted persons from providing services on government property. If the Offeror is found to be in violation of any of the provisions of this paragraph, then the government will give notice to the Offeror to take corrective action. The Offeror shall take corrective action within twenty-four hours of notice from the government, and the Offeror shall notify the government when action has been taken. If the Offeror fails to take corrective steps within twenty-four hours of notice from the government, then the government in its sole discretion may temporarily suspend any contract for services until corrective action has been taken.

K. Affidavit Re Disclosing Ownership and Commissions

Offeror shall submit an affidavit and represent its list of names and addresses of any person holding more than ten percent (10%) of the outstanding interest or shares in said partnership, sole proprietorship or corporation at any time during the twelve (12) month period immediately preceding submission of proposal. The affidavit shall contain the number of shares or the percentage of assets of such partnership, sole partnership or corporation which have been held by each person during the twelve (12) month period. In addition, the affidavit shall contain the name and address of any person who has received or is entitled to receive a commission, gratuity or other compensation for procuring or assisting in obtaining business related to the bid for the bidder, and shall also contain the amounts of any such commission, gratuity or other compensation. The affidavit shall be open and available to the public for inspection and copying. (5 GCA §5233) (AG Procurement Form 002)

L. Affidavit Re Non-collusion

Offeror shall submit an affidavit and represent that it certifies that the price submitted was independently decided without collusion and has not intentionally committed anti-competitive practices. (2 GAR §3126.b) (AG Procurement Form 003)

M. Affidavit Re Gratuities or Kickbacks

Offeror shall submit an affidavit that it has not violated, is not violating, and promises that it will not violate the prohibition against gratuities and kickbacks set forth in 2 GAR Div 4 Sec 11107 Gratuities and Kickbacks of the Guam Procurement Regulations. (AG Procurement Form 004)

N. Affidavit Re Ethical Standards

Offeror shall submit an affidavit and represent that it has not knowingly influenced and promises that it will not knowingly influence a government employee to breach any of the ethical standards set forth in 5 GCA Chapter 5 Article 11 (Ethics in Public Contracting) of the Guam Procurement Regulations. (AG Procurement Form 005)

O. Declaration Re Compliance with U.S. Department (DOL) Wage Determination

Offeror shall submit an affidavit and represent that it will pay its employees and ensure its subcontractors pay its employees in full compliance with all applicable federal and local wage rules and regulations, 5 GCA §5801 & §5802 Wage Determinations. The most recently-issued wage determination at the time a contract is awarded, applies to the contract. (AG Procurement Form 006)

P. Affidavit Re Contingent Fees

Offeror shall submit an affidavit and represent that it has not retained any person or agency on a percentage, commission, or other contingent arrangement to secure the contract and represent that it is not in violation of 2 GAR Div 4 Sec 11108(a)(1) as failure to do so, constitutes a breach of ethical standards. (AG Procurement Form 007)

Q. Contract, Duration, and Compensation

1. Type of Contract

The contract that results from this solicitation will be a professional service multi-year general cost reimbursement contract whereby the parties shall agree upon a basis for payment of services performed and expenses incurred by the Offeror. The Offerors rates shall remain firm and fixed for the term of the contract. The contract shall contain a ceiling or an estimate that shall not be exceeded without the prior consent of GBHWC. As required by law, GBHWC determines in writing herein that its personnel will be assigned to closely monitor the performance of the services and that it is not practicable to use any other type of contractor to obtain these services in the time required and at the lowest cost or price to the government.

2. Duration of Contract or Term of Service

a. Initial Term

The initial term contract shall begin upon the date that the Governor approves the contract, as signified by his execution of the contract (the "Initial Term"). After the Governor has approved the contract, the government will issue a written notice to proceed notifying the vendor services are to begin. The initial term of the contract shall end September 30, 2019, subject to the appropriation, allocation and availability of funds.

b. Renewal Term

At the option of the government, the contract may be renewed for up to two (2) additional one (1) year periods (each being a "Renewal Term") subject to the availability of funds and satisfactory performance. Upon expiration of the Renewal Term(s), this contract shall expire, unless sooner terminated.

- c. **Monthly Extension Periods**
At the option of the government, and as agreed-to by Offeror, the contract may be extended after the final renewal term on a month-to-month basis (each being a “Monthly Extension Period”), to begin immediately after the expiration of the final Renewal Term, provided that in no event may the parties agree to more than six Monthly Extension Periods. The Monthly Extension Periods may be agreed-to by the parties only if the government is unable to continue the services uninterrupted under a new contract after a new solicitation and procurement undertaken by the Government.

- d. **Multiple Term Contract Multiple Certification of Funds**
The Initial Term and subsequent terms of the contract are subject to the availability of funds. The funds for the first twelve (12) months (or prorated fiscal year if applicable) of the Initial Term of the contract are certified as part of the execution of the contract. In the event that funds are not allocated, appropriated or otherwise made available to support continuation of performance in any period time after the first twelve (12) months (or pro-rata fiscal year if applicable) the contract shall be cancelled; however this does not affect either GBHWC's rights or Offeror's rights under any termination clause of the contract. The GBHWC shall notify the Offeror on a timely basis in writing that funds are, or are not available for the continuation of the contract for each succeeding period. In the event of the cancellation of this multi-term contract as provided above the vendor will be reimbursed its unamortized, reasonably incurred, non-recurring costs.

3. Compensation

Offeror shall be compensated monthly upon the clearance of monthly invoices by GBHWC.

- a. **Invoices and Payments**
All compensation is subject to appropriation, allocation and availability of funds, upon completion of the services and receipt of any deliverables and a monthly invoice in the form agreed by the parties. If less than a month of service is provided, the GBHWV shall pro-rate the payment based on the number of days of service provided. Payment shall be based upon actual costs, as defined in 2 GAR Division 4 § 7101(1), submitted less disallowed costs and penalties, as applicable. Compensation based upon the aggregate of actual cost submitted may be less than the agreed upon compensation, but in no event shall it exceed the agreed upon compensation.

The invoice should reflect only those service fees incurred for the current billing period. Each invoice should also include the total amount billed from

the inception of the current contract year. All invoices are subject to review and approval by the GBHWC. The acceptance and payment of any invoice shall not be deemed a waiver of any of the GBHWC's rights under the agreement.

In any reporting month there exists a discrepancy in the statistical, narrative or financial reports submitted by Offeror to GBHWC, ten percent (10%) of the invoice amount after applying any penalties or disallowed costs, shall be withheld until the discrepancy has been resolved to the satisfaction of GBHWC.

b. Payment and Release of Claims.

Final payment shall be made upon final satisfactory delivery and acceptance of all services herein specified and performed. Prior to final payment and as a condition precedent thereto, Offeror shall execute and deliver to GBHWC a release, in the form provided by GBHWC of claims against GBHWC and the government of Guam arising under and by virtue of the contract.

R. Independent Contractor Status

Offeror understands that its relationship with GBHWC is as an independent contractor and not as an employee of GBHWC. No employee benefits such as insurance coverage, participation in the government retirement system, or accumulation of vacation or sick leave shall accrue to the Offeror or its individual employees, if any. No type of tax will be withheld from payments made to the awarded Offeror.

S. Confidential/Proprietary Information

Any restrictions of the use or inspection of material within the proposal shall be clearly stated in the proposal itself. Offeror must state specifically, which elements of the proposal are to be considered confidential/proprietary. Confidential/proprietary information must be readily identifiable, marked and separately packaged from the rest of the proposal. Co-mingling of confidential/proprietary and other information is NOT acceptable. Neither a proposal in its entirety, nor proposal price information (Annual Program Cost, Form F) will be considered confidential/proprietary. If a proposal contains confidential information, a redacted copy of the proposal must also be submitted. **Any proposal copyrighted or marked as confidential and proprietary in its entirety shall be deemed materially non-responsive to the RFP, and may be rejected by GBHWC as being non-compliant/non-responsive with the RFP.** Any information that will be included in any resulting contract cannot be considered confidential. GBHWC will make a written determination as to the apparent validity of any request for confidentiality. In the event GBHWC does not concur with Offeror's request for confidentiality, the written determination will be sent to Offeror.

T. Ownership of Proposal

GBHWC has the right to retain the original proposal and other RFP response materials for its files. As such, GBHWC may retain or dispose of copies as is lawfully deemed appropriate. Proposal materials of the successful Offeror may be reviewed by any person after the award is made. GBHWC has the right to use any or all information/material presented in reply to the RFP, subject to the limitation outlined in the clause, Proprietary/Confidential Information. Offeror expressly agrees that GBHWC may use the materials, and any and all ideas and adaptations of ideas contained in any proposal received in response to this solicitation for all lawful Government of Guam purposes, including but not limited to the right to reproduce copies of the material submitted for purposes of evaluation, and to make the information available to the public in accordance with the provisions of Guam laws and regulations. Selection or rejection of the offer will not affect this right.

U. Explanation to Offerors

No oral explanation in regard to the meaning of the specification will be made and no oral instructions will be given before the award of the proposal. Discrepancies, omissions, or doubts as to the meaning of the specification should be communicated in writing to GBHWC Director. **Offerors should act promptly and allow sufficient time for a reply to reach them before the submission of their proposals. Interpretation, if required, shall be made in the form of an amendment to the specification/scope of work,** which will be forwarded to all registered Offerors, and its **receipt by the Offeror should be acknowledged on the proposal form or as otherwise stated in this proposal.**

V. Equal Opportunity – Nondiscrimination

GBHWC is an equal opportunity provider and employer and strictly adheres to a policy on nondiscrimination activities in compliance with all applicable Federal and Guam laws in its labor practices and carries out all government programs in such a manner that no person shall on the grounds of race, religion, color, sex, including sexual harassment and orientation, national original, age, physical or mental disability, marital status or political affiliation and retaliation be excluded from participating, be denied the benefits of, or be subject to discrimination with respect to any program or activities. See Title VI of the Civil Rights Act of 1964 as amended, and Presidential Executive Order 11246, as amended and other relevant Federal and Territorial requirements, and Governor Guam Executive Order 2006-16.

Service Provider shall assure that no person shall on the grounds of race, religion, color, sex including sexual harassment and orientation, national original, age, physical or mental disability, marital status or political affiliation and retaliation be excluded from participation in, be denied benefit of, or otherwise be subjected to discrimination under any program or activity under this Agreement.

Additionally, in keeping with Section II(4) of Governor of Guam E.O. 2006-16, Service Provider shall meet the following contractual requirements:

1. In the event it is receiving ten thousand dollars (\$10,000) or have more than fifty (50) or more employees, it shall develop an equal opportunity affirmative action

plan, using standard guidelines established by the Guam Department of Labor, within sixty (60) days after the Effective Date of this contract. Furthermore, within ninety (90) days of the award and annually thereafter for the duration of the contract, Service Provider under this section shall submit affirmative action reports to the Guam Department of Labor.

2. In the event it is receiving less than ten thousand dollars (\$10,000) or has less than fifty (50) employees, it shall not be required to develop an equal opportunity affirmative action plan, except, however, Service Provider shall be strictly prohibited from discrimination on the basis of race, religion, color, sex, including sexual harassment and orientation, national origin, age, physical or mental disability, marital status or political affiliation and retaliation.
3. Service Provider shall flow through the requirement in this Section V Equal Opportunity Nondiscrimination to its subcontractors.

Service Provider shall comply with all Federal and Guam laws and regulations including the Guam Department of Labor laws and regulations and (new) P.L. 33-64 Guam Employment Nondiscrimination in Employment Act of 2015 codified as 22 GCA Chapter 5 Article 2, which additionally includes as unlawful employment practice or unlawful discrimination grounds race, sex (including gender identity or expression), age, religion, color, honorably discharged veteran and military status, sexual orientation, or ancestry. The definitions for “sexual orientation”, “gender identity or expression” and “veteran and military status” as set forth in 22 GCA §5202(h), (i) and (j). A Service Provider that is a “religious employer” in keeping with P.L. 33-64 §5(a) is exempt from the religious discrimination provisions of Title VII of the Civil Rights Act of 1964 as set forth in §5 in more detail. In the event Service Provider is part of Government of Guam (new) P.L. 33-64 is codified at 4 GCA Chapter 4, §4101(a) as amended.

If Service Provider is found not to be in compliance with the requirements in this Section V Equal Opportunity Nondiscrimination during the life of this Agreement, the Service Provider agrees to make appropriate steps to correct these deficiencies.

W. Assignment

Any assignment, pledge, joint venture, hypothecation of right or responsibility to any person, firm or corporation should be fully explained and detailed in the proposal. Information as to the experience and qualifications of proposed subcontractors or joint ventures should be included in the proposal. In addition, written commitments from any subcontractors or joint ventures should be included as part of the proposal.

Assignment will not be accepted without prior approval from GBHWC. Request for approval or assignment must be made with submission of proposal. No assignment will be accepted if request is not made with the proposal.

X. Amendments to Request for Proposal

The right is reserved as the interest of GBHWC may require revising or amending the specifications prior to the date set for opening proposals. Such revisions and amendments, if any,

will be announced by an amendment or amendments to this request for proposals, and shall be identified as such and shall require that firms acknowledge receipt of all amendments issued. The amendment shall refer to the portions of the request for proposal it amends. Amendments shall be sent to all Offerors known to have received a request for proposal. Amendments shall be distributed within a reasonable time to allow Offerors to consider in preparing their proposals. If the time and date set for receipt of proposals will not permit such preparation, such time shall be increased to the extent possible in the amendment or, if necessary, by facsimile or telephone and confirmed in the amendment.

Y. Proposal Selection

GBHWC will be responsible for final selection of an acceptable proposal. GBHWC will endeavor to notify all respondents on or about 30 days after the deadline for receipt of proposals. Written notice of award will be public information and made a part of the contract file.

After conclusion of validation of qualifications, evaluation, and discussion as provided in the section "Amendments To Request For Proposal", GBHWC will select, in the order of their respective qualification and evaluation ranking, no fewer than three (3) acceptable proposals (or such lesser number if less than three acceptable proposals were received) deemed to be the best qualified to provide the required services, and must receive a minimum of 70% total rating.

Z. Errors and Omissions

GBHWC reserves the right to make corrections due to minor errors of the Offeror identified in proposals by GBHWC or Offeror. GBHWC, at its option, has the right to request clarification or additional information from Offeror during the evaluation or negotiation phases.

SECTION II. SCOPE OF WORK

The following scope of work is written by Reina R. Sanchez, Acting Administrator for Clinical Services Division, with the approval of Rey M. Vega, Director.

The scope of work utilizes the Residential Recovery Program (RRP) developed by the U.S. District Court-appointed Federal Management Team (FMT) in close collaboration with GBHWC clinicians, consultants, and staff. The current RRP manual is under review for update and revision, and can be viewed at www.gbhwc.guam.gov.

A. Scope of Services

1. This request for a proposal ensures appropriate housing options with 24 hour supervision for twenty-five (25) eligible consumers with persistent developmental and cognitive/emotional disabilities. Consumers referred to this program have been identified to be medically stable but unable to live independently in the community even with family supports and GBHWC intensive outpatient services.
2. Service provider will provide rehabilitative and behavioral health care services with the goal of providing 24-hour care and supervision in a community base home.
3. Behavioral health services shall be provided by Guam Behavioral Health and Wellness Center to include psychiatric and psychological consultative services.
4. The GBHWC psychological consultative services include:
 - a. Annual Comprehensive Psychological Assessment/evaluation report; and
 - b. Monthly and quarterly consultative services on behavioral strategies to improve consumers' rehabilitative goals, along with clinical recommendations, as needed.
5. The service provider daily programming will focus on the assisting the consumer to improve the following:
 - a. Activities of daily living (ADLS);
 - b. Instrumental activities of daily living (IADLS);
 - c. Social skills;
 - d. Vocational; and
 - e. Management of psychiatric symptoms and family/community living skills.
6. The staff of the program shall provide 24-hour monitoring, daily skill building programming, in-house support services for ADLS/IADLS, psychiatric symptoms management skills, assistance/training to access transportation, case management, supportive counseling, healthcare and medication management, recreational and/or leisure activities, guardian/family member training and supports, other transportation services, vocational and transitional planning services.
7. Service provider will assess each consumers' ADLS/IADLS, then develop a comprehensive annual rehabilitative treatment plan which will be reviewed monthly, quarterly and annually.
Service provider will provide to GBHWC staff a quarterly and annual report that includes the following items:

- a. Health/medical to include medication changes;
- b. Progress with ADLS/IADLS;
- c. Progress in management of psychiatric or identified behaviors;
- d. Recreational/leisure and family involvement; and
- e. Transitional and other recommendations.

B. Minimum Services must include, but not limited to

- 1. **Operational Services:**
 - a. Facility to operate the Residential Home(s);
 - b. Supplies, food, filtered water, and a first aid kit; and
 - c. Maintenance of the home, including: electricity, water, telephone, cable television and internet services.

C. Support Services

- 1. Daily programming and supports. Improve consumers ability with activities of daily living (such as bathing dressing eating preparing basic meals dressing washing clothes and other grooming and hygiene activities).
- 2. Nutritional services. Daily programming to assist consumer to select, purchase and prepare basic nutritious meals.
- 3. Health medical and medication services. Daily programming to assist and support consumers to maintain healthy living lifestyle to include management of healthcare/medical appointments. Staff will assist consumer to improve skills in medication management for behavioral health and medical conditions.
- 4. Symptom management, Daily programming to assist train and support consumer to improve skills and managing psychiatric and behavioral symptoms impacting functioning in the home with family/guardians and community.
- 5. Supportive counseling, when applicable Service provider will provide supportive counseling services which will include training consumer to use problem-solving skills and personal awareness skills to manage and resolve personal and interpersonal conflicts and issues.
- 6. Instrumental activities of daily living. Daily programming and supports to improve consumers' ability and skills with home management (e.g. house cleaning, room cleaning, laundry, yard maintenance and organization/care of personal items), time management, money management (e.g. budgeting and shopping for groceries and other supplies).
- 7. *Vocational and educational development, when applicable:* daily programming and staff support to assist consumers to identify and participate in educational, prevocational and vocational activities;
- 8. Recreation leisure and spiritual activities. Daily programming and staff support to assist consumers to identify and participate in recreational and community based leisure activities to include spiritual activities in accordance with their individual and religious release and preferences.
- 9. Guardian/Family training supports and activities. Services and staff support to assist guardians and family members to improve their knowledge and skills in assisting consumer with independent and community living skills and supports.

D. Deliverables

Service Provider will provide professional services up to total of twenty-five (25) beds in the residential treatment facilities approved by GBHWC based on size, neatness, structure, age and suitability, with an array of services to adults with persistent developmental and cognitive/emotional disabilities. Currently there are twenty-five (25) consumers in the residential program.

Service provider must ensure appropriate fiscal accountability. In order to be eligible for services, a person must be an adult GBHWC consumer with persistent developmental and cognitive/emotional disabilities, as referred by GBHWC service providers. Consumers who progress to a level exceeding the services as evaluated and referred by GBHWC/MDDT, will be processed in a manner agreed to by GBHWC and service provider to move to a less restrictive environment.

1. Housing

Service provider is to provide twenty-five (25) beds in an adequate, safe, stable, and appropriately supervised residential treatment facilities for GBHWC adult consumers with persistent developmental and cognitive/emotional disabilities.

2. Administrative

- a. Offeror must be a residential treatment home services provider at the effective date of the contract and must meet all licensing requirements;
- b. Service provider must implement a twenty-four (24) hour crisis service;
- c. Service provider must have cooperative agreements with medical and mental health facilities to provide emergency and ongoing medical/mental health services;
- d. Service provider must negotiate linkages with community resources for needed services and evaluations, and as required, develop formal affiliation agreements with other community agencies to provide essential services;
- e. Offeror shall implement a GBHWC Transition Plan that describes how consumers, already receiving mental health services, will be transitioned smoothly into new programs/services, with minimal disruption;
- f. Service provider shall meet on a quarterly basis with GBHWC clinical team to provide information regarding consumers served. At these meetings, review of services provided will help evaluate the progress of services and lead to the modification of service plans as needed;
- g. The Administrative/Management office of service provider should be located in Guam;
- h. Service provider shall coordinate all efforts closely with all GBHWC mental health programs;
- i. Service provider shall ensure that programs meet all applicable licensing and certification requirements of Guam;
- j. Service provider will provide to GBHWC staff a quarterly and annual report that includes the following items:
 - 1) Health/medical issues, concerns, appointments to include documentation of medication changes;

- 2) Progress with ADLS/IADLS;
- 3) Progress in management of psychiatric or identified behaviors;
- 4) Recreational/leisure activities and family involvement;
- 5) Transitional planning; and
- 6) Other recommendations.

E. Population to be Served

Service provider will work collaboratively and cooperatively with GBHWC to serve adult consumers eighteen (18) years or older with persistent developmental and cognitive/emotional disabilities referred by GBHWC clinical team and who meets the following criteria:

1. General Admission Criteria:

- a. The consumer demonstrates symptomatology consistent with the current Diagnostic and Statistical Manual of Mental Disorders;
- b. The consumer does not require the services of a psychiatric hospital;
- c. The consumer is not sufficiently stable to be treated outside of residential therapeutic environment;
- d. The consumer lacks skills sufficient to maintain him/her living in the community with treatment at a lower level;
- e. The consumer does not constitute a direct threat to the health and/or safety of himself/herself and others;
- f. Consumers are not sufficiently stable to be treated outside of residential therapeutic environment.

2. Program Specific Criteria:

The specific program level admission criteria will be based on the program description of each home. Exception to the admission criteria will only be made with discussion and agreement amongst the consumers' treatment team (comprising of staff from GBHWC and service provider) and the GBHWC residential admission team and only in rare and unusual circumstances.

a. Level A;

- 1) Consumers require a highly structured environment;
- 2) Consumer require total or extensive personal care and intense or constant supervision;
- 3) Consumers require close monitoring and ongoing assistance with activities of daily living; and/or;
- 4) Consumers have significant or severe behavioral concerns.

b. Level B

- 1) Consumers require a moderately structured environment;
- 2) Consumers require extensive or regular personal care and/or constant or close supervision;
- 3) Consumers require moderate assistance with activities of daily living; and/or

4) Consumers have moderate or minimal behavioral concerns.

c. Level C

- 1) Consumers require a moderate to minimally structured environment;
- 2) Consumers require regular, limited, or infrequent assistance with activities of daily living and regular or minimal supervision; and/or
- 3) Consumers have minimal behavioral concerns.

3. Exclusion Criteria:

Any of the following criteria is sufficient for exclusion from this level of care:

- a. Suicidal/assaultive/destructive ideas, threats, plans or attempts as evidenced by degree of intent, lethality of plan, means, hopelessness or impulsiveness; or acute behavioral, cognitive, or affective loss of control that could result in danger to self or others and cannot be controlled in this setting;
- b. The consumer has medical conditions or impairments that would prevent beneficial utilization of services; medical conditions are primary and mental health issues are secondary; the consumer is not adequately stabilized on medications, or medical conditions require ongoing care that cannot be provided within the Residential Recovery Program (RRP);
- c. The consumer requires a level of structure and supervision beyond the scope of the program;
- d. The consumer can be safely maintained and effectively treated at a less intensive level of care;
- e. The primary presenting problem is social, legal, economic (e. g., housing, family, conflict, etc.), or one of physical health without a concurrent major psychiatric episode, meeting criteria for this level of care, or admission is being used as an alternative to incarceration.

F. Mental Health Services

1. Service provider will:

- a. Develop clinical evaluation methods and maintain on a monthly basis, specific and measurable markers of progress for each consumer based on the assessment tool recommended by GBHWC clinical teams;
- b. Services provision shall involve a collective process between service provider and GBHWC clinical team and, most importantly the consumer and his/her family, with a goal of helping the consumer identify, access, and utilize those resources needed to assist in their recovery;
- c. Assist the consumers' active involvement in all services, as well as the coordination of services;
- d. Provide or assure the precision of support services necessary to enable the consumer to maintain and succeed in community living, including but not limited to:
 - 1) Supportive counseling;
 - 2) Transportation to community services;

- 3) Learning and performing basic living skills;
- 4) Building a personal social network;
- 5) Support services needed for the consumer to attain vocational goals;
- 6) Assisting consumer in obtaining benefits for which he/she may be eligible;
- 7) Linking consumer with other community services;
- 8) Providing prevocational training and accompany vocational assessments;
- 9) Parenting skills, collaboration with families, communities, and inpatient facilities;
- 10) Social, leisure time access to parks, movies, restaurants, etc., utilizing natural supports whenever feasible;
- 11) Utilizing/ developing a social support system;
- 12) Assisting the consumer in the integration of therapeutic principles and psychosocial skills into his/her natural environment and daily routine; and
- 13) When appropriate, other assessments will be provided for occupational therapy, physical therapy, and speech therapy.

2. GBHWC will:

- a. Complete a comprehensive evaluation (MDTT) which identifies the consumer's strengths and weaknesses in relation to the skill demands and support required in the particular environment in which the consumer wants to or needs to function;
- b. Provide an appropriate mix of professional services, to include when appropriate, psychiatric services, psychological services (individual therapy, group therapy, family therapy, assessments of emotional functioning, adaptive functioning, diagnostic evaluation, and behavioral assessment), and counseling. Service provider will collaborate with the responsible party for dental services needed by the consumer. In the event that the consumer does not have the resources for dental service, GBHWC will cover one annual dental exam and cleaning;
- c. Upon referral to service provider program, GBHWC staff member will provide a baseline psychological assessment and evaluation report to assist service provider and staff in the development of a comprehensive rehabilitative treatment plan;
- d. GBHWC staff member will provide consultative services to assist service provider with additional behavioral strategies to improve consumers' rehabilitative progress, if warranted; and
- e. GBHWC will participate in service provider's quarterly and annual review meetings. In service provider's meeting, group home staff will review consumers' progress and achievement of the rehabilitative treatment goals.

G. Crisis Services

1. Crisis intervention and support services shall be provided twenty-four (24) hours per day, seven (7) days per week. Crisis services are necessary to assist consumers in controlling and resolving critical or dangerous problems that threaten personal safety or wellbeing. The focus of crisis intervention is on problems or barriers to recovery that threaten the person's life or functioning.
2. A crisis assistance plan shall be developed within first thirty (30) days of awarded contract describing twenty-four (24) hours accessibility of staff; in addition, each consumer shall have an individualized crisis contingency plan.

H. Outcome measures. (programmatic)

All services provided must be individualized, appropriate, and aimed at improving the functioning of the consumer. The following outcome measures will be employed to determine the value of the programs and their success in achieving established goals using recommended by GBHWC Clinical Teams:

1. Evidence of compliance with requirements of the program.
2. Results indicating a more social integrated person (social relations).
3. Increase of natural supports and social integration and activities with family, friends, co-workers, and neighbors.
4. Increased sense of individualism and increased sense of self- respect/ indignity, as indicated by a consumer satisfaction report. Increased capacity for independent functioning and a greater capacity for independent community living.
5. Increased movement toward financial stability.
6. Decrease in legal problems that may threaten or jeopardize the recovery process.

In addition to the measures outlined above, a consumer satisfaction survey may be utilized to determine the satisfaction with services provided.

I. Consumer Rights Protection

1. Service provider will identify barriers and disadvantages that threaten the exercise of equal rights and equal opportunities of persons with persistent developmental and cognitive/emotional disabilities in the areas of housing, education and employment and will identify and when possible implement the means of eliminating those barriers for the consumers served through this contract.
2. Service provider will use a systematic approach to ensure the protection of rights, and equal opportunity of this population. Service provider shall provide education in personal advocacy to consumers served through the contract and their families to aid them in understanding their rights, and make them aware of the availability of external resources to assist in upholding these rights.
3. Service provider shall assist consumers in efforts to ensure that consumers receive appropriate housing and education services and employment consideration, support the goal of the Americans with Disabilities Act of 1990 as well as the 1973 Vocational rehabilitation Act and subsequent amendments. Service provider shall assist consumer to eliminate unfair treatment and discrimination against the qualified workers with disabilities, to improve access to mainstream resources and

to obtain consideration of disabled applicants' qualifications taking into account reasonable accommodations and support services.

4. Under no circumstances shall service provider provide legal counsel or representation of consumers through this contract.
5. Service provider shall at all times be compliant with all laws and regulations that govern the protection of persons with disabilities and /or age.

J. Staffing Requirements

1. Recruit and hire qualified staff, resulting in efficient, and delivery of services.
2. Mainstream job descriptions for each job description, containing minimal criteria of the position as well as specific job functions and responsibilities.
3. Support staff, including clerks and secretarial personnel, must have work experience and be sufficiently trained in data processing.
4. Each job position shall have a defined pay range.
5. Training.
 - a. Service provider shall implement and maintain, on an on-going basis, an in service training program for the staff, with training sessions in keeping with licensing requirements;
 - b. Provide for intensive initial training of staff relevant to their program component;
 - c. Additionally, staff will be required to participate in all training and intervention programs as deemed appropriate by GBHWC to include Professional Crisis Management training or equivalent; and
 - d. Written job evaluations are to be completed annually on each staff member.

K. Programmatic Record Keeping

1. Each consumer will have a case record which will have at a minimum, the following information:
 - a. Identifying information. Gender/race/ address of the consumer; birth date and birthplace of the consumer; name and address of the consumer's current place of employment or school; court and/or legal status and name of person authorized to give consent, if applicable; the names, addresses and phone numbers of other persons or providers involved with the consumer case/plan; the consumer's physician's address and phone number;
 - b. Health record. Must include any serious or life-threatening medical conditional of the consumer, including a description of any current treatment or medication necessary for the treatment of serious or life-threatening conditions(s) and/or any known allergies;
 - c. Complete history of the consumer including, where applicable; family data; employment record; prior medical history; medications; known allergies; as well as any other such pertinent information;
 - d. The Consumer assessments, evaluation and individualized plan(s) and progress measured in accordance with recommendations of GBHWC clinical team;
 - e. Any incident reports involving the consumer; and

- f. Any other record keeping requested or mandated by Local and Federal laws.
2. Consumer records shall be stored (secured) in such a manner as to be accessible to all staff involved with the consumer and still protect the consumer's confidentiality. Closed consumer records will be retained for the period prescribed by law.
3. Written comprehensive evaluations, service, agreements, financial assets, public housing, and nourishment stipends statements information, and quarterly summaries are to be maintained on each consumer. Quarterly summaries are to provide documentation of services provided, including outcomes achieved and/or barriers with plans to address them and personal financial information. Copies of quarterly summaries and financial information are to be provided to referring GBHWC clinician and contract monitor.
4. Service provider will participate in Electronic Behavioral Health Record used by GBHWC.

L. Required Documentation

1. Service provider will employ record-keeping procedures which will provide an audit trail for expenditures and income received. Appropriate financial documentation for reimbursement must be submitted monthly to GBHWC. Failure to establish and retain adequate documentation of all expenditures represents a contractual breach and will result in disallowance of such expenditures.
2. All work under the contract shall be monitored by GBHWC. Program review of contract conditions of the statement of work will be conducted by GBHWC on a semi-annual basis, and more frequently as necessary. Service provider shall respond to issues of concern raised by GBHWC clinicians within fifteen (15) days of receipt. GBHWC will be responsible for conducting site visits as a means for conducting any program reviews of the contract.
3. Results of program evaluations will be made available for periodic surveys by GBHWC.
4. GBHWC is responsible for the technical direction of the contract, which includes reviewing and accepting all reports relative to consumer services, financial documentation and verification, and other reports as requested. Notwithstanding any other terms of the contract, failure of service provider to submit required reports when due or perform or deliver required work or services will result in the withholding of payments under the contract.
5. Service provider will determine the reporting format to document each consumer's financial information that describes a consumer's availability of funds from any source that may provide for housing, nourishment, and money to be spent for the consumer's needs and pleasure. This completed form will be submitted monthly as an attachment to the monthly invoices for payment of services.

M. Admission and Discharge

1. Admission/ Referrals for Admission:
All referrals for admission will come from GBHWC. A Referral Packet on a consumer referred for discharge by GBHWC Treatment Team will be sent for

service provider's review to determine eligibility. All appropriate consumers shall be accepted, or discussion of denial shall be held, with GBHWC clinical team.

2. Discharges:

Discharge Planning is a dynamic process which shall be developed with active participation of the consumer and is initiated when discharge criteria (i.e., the conditions under which services are no longer clinically necessary) is identified during the development of the Service Agreement (clinical and community services requested by the consumer). Discharge Plans shall include a written summary of the consumer's program, progress, date and reason for discharge and recommendations and referrals for further treatment and services. Discharge Plans must be completed within thirty (30) days of discharge, signed by the Program Manager, the residential social worker, the guardian (if applicable) and/or consumer, and a copy forwarded to the referring GBHWC clinical team.

- a. PLANNED – The consumer is discharged from the program according to time frames established in the service agreement with consensus of the consumer and/or his/her guardian, service provider and treatment personnel;
- b. UNPLANNED – If a consumer elopes or voluntarily leaves, service provider must make every effort to find the consumer and assist in finding appropriate alternative housing if recommended. Efforts could include (but are not limited to) contacting law enforcement and family.
- c. EMERGENCY – Service provider shall have an emergency management plan in place which covers event to include: elopement, criminal behavioral, medical and psychiatric emergencies and natural and manmade disasters. The plan shall include the mitigation, preparedness, staff orientation of the plan, response and recovery. A consumer may be removed from the program by service provider when the consumer's continued presence poses a danger to self and other consumers, staff or members of the community. Formal notice for the emergency discharge must be provided to treatment personnel and GBHWC within twenty-four (24) hours after discharge.

N. Fraud and Abuse

1. Service provider shall have internal controls and policies and procedures in place that are designed to prevent, detect, and report known or suspected fraud and abuse activities.
2. Such policies and procedures must be in accordance with local and federal regulations. Service provider shall have adequate staffing and resources to investigate unusual incidents and develop and implement corrective action plans to assist service provider in preventing and detection potential fraud and abuse activities.

O. Filing of Grievances. Consumers have a right to file a grievance if any of the staff or facilities has:

1. Violated a right provided by statute, regulation or policy.
2. Treated in an arbitrary or unreasonable manner.

3. Denied services authorized by a treatment plan due to negligence, discrimination, or other improper reasons.
4. Engaged in coercion to improperly limit your treatment choices.
5. Unreasonably failed to intervene when their rights have been jeopardized in a setting controlled by the facility.
6. Failed to treat consumers in a humane or dignified manner.

Service provider must include a procedure on how to handle complaints, remedies, hearings and other resolutions available in accordance with local and/or federal laws pertaining to developmental and cognitive/emotional disabilities.

P. Designated Point of Contact

Service provider shall have an assigned staff member who will be responsible for primary oversight of the contract. This individual will schedule meetings to discuss progress of activities and problems identified.

III. PROPOSED CONTENTS, REQUIREMENTS AND INSTRUCTIONS:

A. Contents of Proposal

Offeror's response to the items mentioned in Section II Scope of Work (services) shall be considered Offeror's proposal. Proposals should be prepared simply and economically, providing a straightforward, concise description of Offeror's ability to fulfill the requirement of the proposal. In order to ensure a uniform review process and to obtain the maximum degree of comparability, at a minimum, each proposal shall contain:

1. Title Page - name of Offeror, the location of Offeror's principal place of business, telephone and facsimile numbers, and email address.
2. Table of Contents
3. Designations of contact person to include his/her address and contact numbers, including email address, if different from Offeror's. The designated person must be able to answer any questions regarding Offeror's proposal and must be able to negotiate the fee and other contract terms.
4. Current business license, Offeror's federal employer identification number (EIN), or tax identification number (TIN), if any. (Local business license is required before the contractual agreement is executed).
5. Statement of understanding and willingness, expressing Offeror's understanding of the work to be accomplished as specified in Section II Scope of Work (services), and a statement of positive commitment and willingness to perform the services.
6. Background Summary:
 - a. Description of Organization;
 - b. History of the Organization (the number of years Offeror has been in business and the average number of its employees, if any, over the past year);
 - c. Organizational Philosophy;
 - d. Unique Characteristics;
 - e. Organizational Chart.
7. Skills and Experience:
 - a. Proposed services (what Offeror will undertake to accomplish the objectives of this project and the work described in the scope of work); and
 - b. Target Population.
8. Project Personnel and Community Partners
 - a. Project leader's academic background (education and specialized training), skills (abilities and qualifications) and community development work experience with similar projects;
 - b. Staff position titles/description of work responsibilities; and
 - c. Community partners – organization/volunteers.

9. Service Delivery
 - a. Proposed services (a discussion of the program that Offeror will undertake to accomplish the objectives of this project and the work described in the scope of work), expected outcomes and products; and
 - b. Timeline for delivery of services to program; meeting of project timelines while managing current workload of Offeror.
10. A list of other contracts or work performed for services similar in scope, size and discipline for the required services, which Offeror, and/or project members substantially performed or accomplished over the previous two to five years. The contracts or work performed described should only pertain to those services contained in Section II Scope of Work.
11. Letters, awards or other forms of recognition that demonstrate confidence in the work performed by Offeror, to include a current financial statement or audit.
12. Reporting System
 - a. Regular progress reporting mechanism;
 - b. Tracking of financial activity;
 - c. Tracking system to report project progress; and,
 - d. Performance measures on completion of services contained in Section IV.
13. Proposal Signature – Form B
14. Submitting Licenses – Form C
15. Sample Business Associate Agreement Provisions – Form D
16. Affidavit re Disclosing Ownership – Form 002
17. Affidavit re Non-Collusion – Form 003
18. No Gratuities or Kickbacks Affidavit – 004
19. Ethical Standards Affidavit – Form 005
20. Wage Determination and Benefit – Form 006
21. Contingent Fees – Form 007
22. Annual Program Cost - Form F

B. Requirements and Instructions

All Proposals must be submitted in writing. It should include a listing of current and former business clients and a description of the type of work performed or being performed. At a minimum, if Offeror is an individual, the proposal should include a complete resume of the individual. If Offeror is a firm, the proposal should include a resume of the firm's principal(s).

Offeror is required to read each and every page of the proposal and by the act of submitting a proposal shall be deemed to have accepted all conditions contained therein except as noted elsewhere. In no case will failure to inspect constitute grounds for a claim or for the withdrawal of a proposal after opening.

Proposals shall be filled out in ink or typewritten and signed in ink. Erasures or other changes in a proposal must be explained or noted over the signature of Offeror. Erasures, strikeouts, or other types of changes that are evident on their face made to a proposal must be explained or noted over the signature of Offeror.

Proposals containing any conditions, omissions, unexplained erasures or alterations or items not called for in the proposal or irregularities of any kind may be rejected by GBHWC as being incomplete. Proposals **must follow the format of the RFP as outlined in the table of contents.**

GBHWC also requires respondents to present satisfactory evidence that the leadership/management and personnel have sufficient experience and are qualified to provide these services. Entities submitting proposals must be able to demonstrate in detail, their stability in the community to provide 24-hour residential and support services for adults with persistent developmental and cognitive/emotional disabilities, Level A, B and C.

The proposal must include resumes of treatment staff with experience, expertise, and certifications in treatment, and must identify a **lead treatment specialist or clinical supervisor** that would be responsible for treatment outcomes and contractual performance.

The following lists the minimum qualifications for treatment staff with at least one member as the lead treatment specialist:

- Lead Treatment Specialist or Clinical Supervisor must have:

Master's degree in any of the following: psychology, social work, counseling or related fields.

- Social Worker must have:

Master's degree in social work, psychology, or any behavioral science degree and experience working with serious mental illness population within a residential setting.

- Care Worker Staff must have:

High school diploma, valid driver's license, training in recovery models, behavioral health, etc. (Will commence upon hire).

IV. GENERAL PROCEDURES:

A. Questions, Receipt, and Registration of Proposals

Questions regarding this RFP should be written and addressed to GBHWC Director through U.S. Mail, hand delivery, or facsimile (671) 649-6948 by **Tuesday, September 18, 2018**. All proposals and modifications shall be time-stamped upon receipt and held in a secure place until the established due date below. Proposals and modifications received after the due date and time will not be considered. It is the sole responsibility of each Offeror to assure that its proposal is delivered at the specified location prior to the deadline. Proposals which, for any reason, are not so delivered will not be considered. The deadline for **receipt** of proposals by GBHWC is **no later than 4:30 P.M., Friday, September 28, 2018**.

All proposals must be submitted via U.S. mail, courier or hand delivery to the attention of the Director, GBHWC.

Mailing & Delivery Address:

Rey M. Vega, Director
Guam Behavioral Health and Wellness Center
790 Governor Carlos G. Camacho Road
Tamuning, Guam 96913

Offeror shall submit one (1) original hard copy, one (1) electronic copy (flash drive or compact disc) and three (3) hard copies of the technical proposal. The Cost Proposal (Form F) shall be submitted together with the technical proposal but in a **separate, sealed envelope**. The Cost Proposal shall be presented in the format as provided on GBHWC RFP Form F.

Envelopes/boxes containing proposals shall be sealed and marked on the face with the name and address of the Offeror, the proposal number and the time and date of submission. **Please be aware that submissions without this information will be considered non-responsive, will not be opened, and will be returned to Offeror (if address is known).**

No facsimile or emailed proposals will be accepted.

Proposals may be hand-carried and received at GBHWC on or before the deadline date and time.

Proposals received through the mail will not be accepted if such mail is received at the address showing after the submission date and time.

GBHWC will not accept a late proposal unless a man-made or natural disaster/emergency prevents delivery at or acceptance by GBHWC.

Proposals will be considered only from such Offerors who, in the opinion of GBHWC, can show evidence of their ability, experience, equipment and facilities to render satisfactory service, and are not currently debarred by federal or local government.

B. Opening of Proposals

Proposals shall not be opened publicly nor disclosed to unauthorized persons, but shall be opened in the presence of two or more procurement officials. A register of proposals shall be established which shall include all proposals, the name of each Offeror, the number of modifications received, if any, and a description sufficient to identify the services offered. The register of proposals shall be opened to public inspection only after award of the contract. Proposals of Offerors who are not awarded the contract shall not be opened for public inspection. (2 GAR 3114.h.2)

C. Proposal Evaluation

In determining the most qualified Offeror, the following criteria will be used to evaluate proposals and GBHWC shall be guided by the following.

1. The plan for performing the required services.
2. Ability to perform the services as reflected by technical training and education, general experience, specific experience in providing the required services, and the qualifications and abilities of personnel proposed to be assigned to perform the services.
3. The personnel, equipment, financial audit report or latest, certified financial statement, and facilities to perform the services currently available or demonstrated to be made available at the time of contracting.
4. A record of past performance of similar work.

Evaluations will be conducted by an Evaluation Panel. Scoring will be based on a possible total of 100 points and no less than 70 points to be considered eligible. The most responsive and responsible proposal with the highest total score will be recommended for award.

Evaluation Criteria and Assigned Weight

Evaluation Criteria	Assigned Weight
Introduction/Understanding of RFP: The organization’s familiarity with the needs of the consumers and knowledge of overall services and support required.	30
Work Plan/Project Execution: The organization’s description of how they will provide services detailed in Section II, Scope of Work.	20

Corporate/Organization Experience: Experience in successfully managing projects, inclusive of similar projects accomplished or underway. Demonstrated ability to meet schedules, deadlines or reporting requirements, or a history of work with GBHWC to include cooperativeness, openness, and collegial relationship.	10
Qualification of Personnel: The qualifications and abilities of key personnel proposed to be assigned to perform the services as reflected by technical training and education, developmental disabilities experience, and other specific experience.	15
Current, certified financial statement or latest financial audit (within 1 to 3 years): Offeror must have sufficient working capital and not rely on the compensation for the first year of the contract.	10
Equipment, facilities and accounting software: The equipment, facilities and accounting software to perform the required services are available or will be made readily available at the time of contracting.	10
Ability to present and organize proposal according to instructions applicable in Section III and IV.	5
Points	100

D. Negotiation and Award of Contract

After an evaluation of responsive Offerors has been completed, Offerors will be ranked from highest to lowest according to the number of points received during the evaluation. The highest ranked responsive Offeror is the best qualified and will be invited to negotiate a contract. GBHWC will negotiate a contract with the best-responsive qualified Offeror for the required services at compensation determined in writing to be fair and reasonable. Contract negotiations will be directed toward: (1) making certain that the Offeror has a clear understanding of the scope of work, specifically, the essential requirements involved in providing the required services; (2) determining that the Offeror will make available the necessary personnel to perform the services within the required time; and (3) agreeing upon compensation which is fair and reasonable, taking into account the estimated value of the required services, and the scope, complexity, and nature of such services.

If the negotiations are successful, then GBHWC will issue a notice of its intent to award a contract to the highest ranked responsive and responsible Offeror.

E. Right to Reject Offers and Cancel the Procurement

GBHWC shall have the right to reject all offers, and or individual Offerors in whole or in part, and/or cancel this RFP, if it is determined to be in the best interest of GBHWC.

F. Failure to Negotiate Contract With Offerors Initially Selected as Best Qualified

If after the Offerors final Best Offer compensation, contract requirements, and contract documents cannot be agreed upon with the best qualified Offeror, a written record stating the reasons therefore, shall be placed in the file and GBHWC will advise such Offeror of the termination of negotiations which shall be confirmed by written notice within three days or as soon as possible. Upon failure to negotiate a contract with the best-qualified Offeror, GBHWC will enter into negotiations with the next most qualified Offeror. If negotiations again fail, negotiations will be terminated as provided in this Section and commence with the next qualified Offeror.

Should GBHWC be unable to negotiate a contract with any Offerors that were initially selected as the best qualified Offerors, offers may be re-solicited or additional Offerors may be selected based on original, acceptable submissions in the order of their respective qualification ranking and negotiations may continue in accordance with the procedures and process herein specified.

G. Retention and Access Requirements For Records

Service provider shall retain all records pertinent to the contract for a period of no less than three (3) years from the expiration or termination date. As used in this provision, "records" includes books, documents, accounting procedures and practice, and other data, regardless of the type or format. Service provider shall provide access and the right to examine all records related to the contract to GBHWC, Guam Public Auditor or their authorized representatives.

If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the three-year period, the records must be kept until all issues are resolved, or until the end of the regular three-year period, whichever is later.

Records for non-expendable property acquired in whole or in part, with funds from this contract funds, must be retained for three (3) years after its final disposition.

Service provider shall provide access to any project site(s) to the GHBWC, Guam Public Auditor or their authorized representatives. The rights of access in this section shall not be limited to the required retention period but shall last as long as the records are kept.

H. Special Reporting Requirements for Non-Profit Organizations

Service provider, if a non-profit organization, in addition to any other terms and conditions of the contract, shall comply with the reporting requirements set forth in P.L. 34-116 Chapter XIII § 6 and this clause or subsequent public law(s). In the event one of the contractor's subcontractors is a non-profit organization, the provisions of this clause shall also be deemed to

apply to the contractor's subcontractor, and the contractor is obligated to submit its non-profit subcontractor's information in the same manner and time periods.

Service provider shall maintain accurate financial records of all monies paid to it under the contract.

Offeror shall provide to the government of Guam a budgetary breakdown by object category as to all services under the contract. An initial proposed budgetary breakdown (GBHWC RFP Form F) is part of the request for proposal, and the agreed cost proposal, budget, staffing request are incorporated into the contract's scope of services.

Service provider shall provide to the government of Guam, a quarterly report describing its activities during the reporting period and the results it achieved, no later than twenty (20) days after the end of each quarter.

Service provider must provide prior written notification to the Government of Guam of all procurement of equipment and services of FIVE THOUSAND DOLLARS (\$5,000.00) or more as to its professional services related to this contract, or with regard to items to be invoices as part of the contract.

Service provider shall provide access to duly authorized representatives of the government of Guam, the Guam Public Auditor, or their authorized representatives, to any and all appropriate records for the purpose of audit and examination of books, documents, papers, and records of funds expended as part of the contract. The service provider, upon written request by the government of Guam, the Guam Public Auditor or their authorized representatives, shall provide source documentation, including but not limited to copies of checks or receipts, employee pay statement, inventory receipt, attendance records, utility bills.

Service provider is subject to the Single Audit Rules and shall provide annually to GBHWC, copies of its Audit Reports for all time periods covered as part of the contract. The service provider shall provide certified detailed inventory listings of each Fiscal Year's purchases under the contract to the government of Guam, as well as a Fiscal Year-End Report of all expenditures of funds under the contract, no later than November 15 of the initial contract year, and November 15 of each subsequent contract year.

In the event the service provider fails to timely provide reports or items set forth in this section to the government of Guam, after prior written reasonable notice by the Government of Guam to the service provider, and service provider's failure to cure the contract default, the government of Guam in addition to other contractual rights and remedies under this contract, may withhold payment of TEN PERCENT (10%) of any amounts that are invoiced under this contract by the service provider.

V. CONTRACTUAL TERMS:

This procurement is subject to all applicable Federal and Guam laws and regulations. Guam laws and regulations are available at the Guam Supreme Court, Office of Compiler's website <http://www.guamcourts.org/compileroflaws>. The Guam Procurement Laws are available at the Office of Compiler's website as part of the 5 GCA Ch. 5. The Guam Procurement Regulations are available at the Office of Compiler's website 2 GAR Division 4. Additionally, the Guam Office of Public Accountability <http://www.guamopa.org/>, the Guam Office of Attorney General <http://www.guamag.org/> and the Department of Administration's General Service Agency www.gsa.doa.guam.gov, all have useful procurement information and forms.

A proposed contract is attached to this RFP as Sample Contract (GBHWC RFP Form E). Offerors understand that the Sample Contract complies with law and is the general form of contract that the Government expects the Offeror to enter into with the government. GBHWC reserves the right to amend or revise the Sample Contract form as may be deemed necessary to serve the Government's best interest.

If changes are made to the Sample Contract in Form E, prior to the conclusion of all evaluations, GBHWC will issue an amendment to this RFP. However, if changes are made to the Sample Contract during negotiations with the best qualified Offeror, then such changes are considered negotiated and no amendment to this RFP will be issued.



**GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER
GBHWC RFP 04-2018**

**Professional Services Providing 24-Hour Residential and
Support Services for Adults with Persistent Developmental and
Cognitive/Emotional Disabilities (Level A, B, C)**

PROPOSAL REGISTRATION

The individual, firm, entity or organization identified below is an interested party and/or “Offeror” to GBHWC RFP 04-2018 and will receive changes, amendments, inquiries and/or related correspondence in accordance with the Guam Procurement Regulations. However, GBHWC will not be liable for failure to provide notice to any party who did not register accurate and current contact information.

Name of Organization or Individual	
Office or Home Address	
Mailing Address	
Contact Number(s)	
Facsimile Number(s)	
Point of Contact (POC) or Official representative	
POC Contact Number(s)	
POC Facsimile Number(s)	
Email address(es)	
Special Comment or Request(s)	

For those reviewing this proposal from the website, this registration form can be delivered to GBHWC, 790 Governor Carlos Camacho Road, Tamuning, Guam during weekdays, except holidays; faxed to (671) 649-6948 or emailed to marilyn.aflague@gbhwc.guam.gov

GBHWC RFP FORM A

DECLARATION RE COMPLIANCE WITH U.S. DOL WAGE DETERMINATION

Procurement No.: _____

Name of Offeror Company: _____

I, _____ hereby **certify under penalty of perjury**:

- (1) That I am _____ [*please select one: the offeror, a partner of the offeror, an officer of the offeror*] making the bid or proposal in the foregoing identified procurement;
- (2) That I have read and understand the provisions of 5 GCA § 5801 and § 5802 which read:

§ 5801. Wage Determination Established.

In such cases where the government of Guam enters into contractual arrangements with a sole proprietorship, a partnership or a corporation ("contractor") for the provision of a service to the government of Guam, and in such cases where the contractor employs a person(s) whose purpose, in whole or in part, is the direct delivery of service contracted by the government of Guam, then the contractor shall pay such employee(s) in accordance with the Wage Determination for Guam and the Northern Mariana Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct delivery of contract deliverables to the government of Guam.

The Wage Determination most recently issued by the U.S. Department of Labor at the time a contract is awarded to a contractor by the government of Guam shall be used to determine wages, which shall be paid to employees pursuant to this Article. Should any contract contain a renewal clause, then at the time of renewal adjustments, there shall be made stipulations contained in that contract for applying the Wage Determination, as required by this Article, so that the Wage Determination promulgated by the U.S. Department of Labor on a date most recent to the renewal date shall apply.

§ 5802. Benefits.

In addition to the Wage Determination detailed in this Article, any contract to which this Article applies shall also contain provisions mandating health and similar benefits for employees covered by this Article, such benefits having a minimum value as detailed in the Wage Determination issued and promulgated by the U.S. Department of Labor, and shall contain provisions guaranteeing a minimum of ten (10) paid holidays per annum per employee.

- (3) That the offeror is in full compliance with 5 GCA § 5801 and § 5802, as may be applicable to the procurement referenced herein;
- (4) That I have attached the most recent wage determination applicable to Guam issued by the U.S. Department of Labor. [*INSTRUCTIONS - Please attach!*]

Signature

AG Procurement Form 006 (Feb. 16, 2010)

WD 15-5694 (Rev.-7) was first posted on www.wdol.gov on 08/07/2018

REGISTER OF WAGE DETERMINATIONS UNDER | U.S. DEPARTMENT OF LABOR THE SERVICE CONTRACT ACT |
EMPLOYMENT STANDARDS ADMINISTRATION By direction of the Secretary of Labor | WAGE AND HOUR
DIVISION | WASHINGTON D.C. 20210

||

| Wage Determination No.: 2015-5694

Daniel W. Simms Division of | Revision No.: 7

Director Wage Determinations | Date Of Revision: 07/30/2018

Note: Under Executive Order (EO) 13658, an hourly minimum wage of \$10.35 for calendar year 2018 applies to all contracts subject to the Service Contract Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.35 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in calendar year 2018. The EO minimum wage rate will be adjusted annually.

Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts

States: Guam, Northern Marianas, Wake Island

Area: Guam Statewide

Northern Marianas Statewide

Wake Island Statewide

****Fringe Benefits Required Follow the Occupational Listing****

OCCUPATION CODE - TITLE FOOTNOTE RATE

01000 - Administrative Support And Clerical Occupations	
01011 - Accounting Clerk I	13.57
01012 - Accounting Clerk II	15.23
01013 - Accounting Clerk III	17.04
01020 - Administrative Assistant	17.71
01035 - Court Reporter	17.22
01041 - Customer Service Representative I	10.89
01042 - Customer Service Representative II	12.25
01043 - Customer Service Representative III	13.37
01051 - Data Entry Operator I	12.15
01052 - Data Entry Operator II	13.25
01060 - Dispatcher, Motor Vehicle	14.37
01070 - Document Preparation Clerk	13.71
01090 - Duplicating Machine Operator	13.71
01111 - General Clerk I	10.29
01112 - General Clerk II	11.28
01113 - General Clerk III	12.32
01120 - Housing Referral Assistant	19.20
01141 - Messenger Courier	11.16
01191 - Order Clerk I	12.57
01192 - Order Clerk II	13.71
01261 - Personnel Assistant (Employment) I	15.57
01262 - Personnel Assistant (Employment) II	17.25
01263 - Personnel Assistant (Employment) III	19.22
01270 - Production Control Clerk	20.08
01290 - Rental Clerk	11.10

01300 - Scheduler, Maintenance	15.39	
01311 - Secretary I		15.39
01312 - Secretary II		17.22
01313 - Secretary III		19.20
01320 - Service Order Dispatcher	12.73	
01410 - Supply Technician		17.71
01420 - Survey Worker		15.26
01460 - Switchboard Operator/Receptionist		9.67
01531 - Travel Clerk I		12.77
01532 - Travel Clerk II		13.83
01533 - Travel Clerk III		14.78
01611 - Word Processor I	13.48	
01612 - Word Processor II	15.13	
01613 - Word Processor III		16.92
05000 - Automotive Service Occupations		
05005 - Automobile Body Repairer, Fiberglass		13.58
05010 - Automotive Electrician		13.06
05040 - Automotive Glass Installer	12.10	
05070 - Automotive Worker		12.10
05110 - Mobile Equipment Servicer		10.27
05130 - Motor Equipment Metal Mechanic	13.71	
05160 - Motor Equipment Metal Worker		12.10
05190 - Motor Vehicle Mechanic	13.71	
05220 - Motor Vehicle Mechanic Helper		10.12
05250 - Motor Vehicle Upholstery Worker	12.10	
05280 - Motor Vehicle Wrecker		12.10
05310 - Painter, Automotive		12.87
05340 - Radiator Repair Specialist	12.10	
05370 - Tire Repairer		10.40
05400 - Transmission Repair Specialist		13.58
07000 - Food Preparation And Service Occupations		
07010 - Baker		10.47
07041 - Cook I		10.88
07042 - Cook II		12.63
07070 - Dishwasher		9.04
07130 - Food Service Worker		9.31
07210 - Meat Cutter		11.86
07260 - Waiter/Waitress	9.12	
09000 - Furniture Maintenance And Repair Occupations		
09010 - Electrostatic Spray Painter	16.21	
09040 - Furniture Handler	9.87	
09080 - Furniture Refinisher		16.21
09090 - Furniture Refinisher Helper		11.97
09110 - Furniture Repairer, Minor	14.11	
09130 - Upholsterer		16.21
11000 - General Services And Support Occupations		
11030 - Cleaner, Vehicles	9.13	
11060 - Elevator Operator		9.13
11090 - Gardener		12.58
11122 - Housekeeping Aide		9.23
11150 - Janitor		9.23
11210 - Laborer, Grounds Maintenance		9.50

11240 - Maid or Houseman	9.13
11260 - Pruner	8.51
11270 - Tractor Operator	11.51
11330 - Trail Maintenance Worker	9.50
11360 - Window Cleaner	10.31
12000 - Health Occupations	
12010 - Ambulance Driver	17.77
12011 - Breath Alcohol Technician	17.77
12012 - Certified Occupational Therapist Assistant	24.38
12015 - Certified Physical Therapist Assistant	24.38
12020 - Dental Assistant	14.21
12025 - Dental Hygienist	32.84
12030 - EKG Technician	23.96
12035 - Electroneurodiagnostic Technologist	23.96
12040 - Emergency Medical Technician	17.77
12071 - Licensed Practical Nurse I	15.88
12072 - Licensed Practical Nurse II	17.77
12073 - Licensed Practical Nurse III	19.81
12100 - Medical Assistant	11.54
12130 - Medical Laboratory Technician	17.11
12160 - Medical Record Clerk	12.37
12190 - Medical Record Technician	17.77
12195 - Medical Transcriptionist	15.88
12210 - Nuclear Medicine Technologist	39.04
12221 - Nursing Assistant I	11.03
12222 - Nursing Assistant II	12.43
12223 - Nursing Assistant III	13.54
12224 - Nursing Assistant IV	15.22
12235 - Optical Dispenser	17.77
12236 - Optical Technician	15.88
12250 - Pharmacy Technician	15.49
12280 - Phlebotomist	15.22
12305 - Radiologic Technologist	22.64
12311 - Registered Nurse I	20.70
12312 - Registered Nurse II	25.32
12313 - Registered Nurse II, Specialist	25.32
12314 - Registered Nurse III	30.64
12315 - Registered Nurse III, Anesthetist	30.64
12316 - Registered Nurse IV	36.72
12317 - Scheduler (Drug and Alcohol Testing)	22.01
12320 - Substance Abuse Treatment Counselor	22.01
13000 - Information And Arts Occupations	
13011 - Exhibits Specialist I	19.26
13012 - Exhibits Specialist II	23.86
13013 - Exhibits Specialist III	29.18
13041 - Illustrator I	19.26
13042 - Illustrator II	23.86
13043 - Illustrator III	29.18
13047 - Librarian	26.42
13050 - Library Aide/Clerk	15.33
13054 - Library Information Technology Systems Administrator	23.86

13058 - Library Technician		16.64
13061 - Media Specialist I	17.21	
13062 - Media Specialist II		19.26
13063 - Media Specialist III		21.47
13071 - Photographer I		17.06
13072 - Photographer II	19.06	
13073 - Photographer III	23.63	
13074 - Photographer IV	28.92	
13075 - Photographer V	35.00	
13090 - Technical Order Library Clerk		17.04
13110 - Video Teleconference Technician	17.18	
14000 - Information Technology Occupations		
14041 - Computer Operator I		15.71
14042 - Computer Operator II		16.26
14043 - Computer Operator III		18.13
14044 - Computer Operator IV		20.14
14045 - Computer Operator V		22.31
14071 - Computer Programmer I (see 1)	15.73	
14072 - Computer Programmer II (see 1)	19.50	
14073 - Computer Programmer III (see 1)	23.84	
14074 - Computer Programmer IV (see 1)		
14101 - Computer Systems Analyst I (see 1)	24.23	
14102 - Computer Systems Analyst II (see 1)		
14103 - Computer Systems Analyst III (see 1)		
14150 - Peripheral Equipment Operator		15.71
14160 - Personal Computer Support Technician		20.14
14170 - System Support Specialist 21.24		
15000 - Instructional Occupations		
15010 - Aircrew Training Devices Instructor (Non-Rated)	24.23	
15020 - Aircrew Training Devices Instructor (Rated)	29.32	
15030 - Air Crew Training Devices Instructor (Pilot)	33.30	
15050 - Computer Based Training Specialist / Instructor		24.23
15060 - Educational Technologist	25.10	
15070 - Flight Instructor (Pilot)		33.30
15080 - Graphic Artist		20.47
15085 - Maintenance Test Pilot, Fixed, Jet/Prop		32.74
15086 - Maintenance Test Pilot, Rotary Wing		32.74
15088 - Non-Maintenance Test/Co-Pilot		32.74
15090 - Technical Instructor		17.65
15095 - Technical Instructor/Course Developer		21.58
15110 - Test Proctor		13.87
15120 - Tutor		13.87
16000 - Laundry, Dry-Cleaning, Pressing And Related Occupations		
16010 - Assembler		9.78
16030 - Counter Attendant		9.78
16040 - Dry Cleaner		11.30
16070 - Finisher, Flatwork, Machine		9.78
16090 - Presser, Hand		9.78
16110 - Presser, Machine, Drycleaning		9.78
16130 - Presser, Machine, Shirts	9.78	
16160 - Presser, Machine, Wearing Apparel, Laundry	9.78	
16190 - Sewing Machine Operator	11.94	

16220 - Tailor		12.44
16250 - Washer, Machine	10.24	
19000 - Machine Tool Operation And Repair Occupations		
19010 - Machine-Tool Operator (Tool Room)		16.21
19040 - Tool And Die Maker		20.37
21000 - Materials Handling And Packing Occupations		
21020 - Forklift Operator	13.96	
21030 - Material Coordinator		20.08
21040 - Material Expediter		20.08
21050 - Material Handling Laborer	11.37	
21071 - Order Filler		9.66
21080 - Production Line Worker (Food Processing)	13.96	
21110 - Shipping Packer	13.33	
21130 - Shipping/Receiving Clerk	13.33	
21140 - Store Worker I		14.21
21150 - Stock Clerk 19.94		
21210 - Tools And Parts Attendant	13.96	
21410 - Warehouse Specialist 13.96		
23000 - Mechanics And Maintenance And Repair Occupations		
23010 - Aerospace Structural Welder		20.69
23019 - Aircraft Logs and Records Technician		16.09
23021 - Aircraft Mechanic I		19.70
23022 - Aircraft Mechanic II		20.69
23023 - Aircraft Mechanic III		21.74
23040 - Aircraft Mechanic Helper	13.70	
23050 - Aircraft, Painter	18.50	
23060 - Aircraft Servicer	16.09	
23070 - Aircraft Survival Flight Equipment Technician	18.50	
23080 - Aircraft Worker		17.38
23091 - Aircrew Life Support Equipment (ALSE) Mechanic	17.38	
23092 - Aircrew Life Support Equipment (ALSE) Mechanic	19.70	
23110 - Appliance Mechanic		16.21
23120 - Bicycle Repairer	12.96	
23125 - Cable Splicer		19.59
23130 - Carpenter, Maintenance	14.47	
23140 - Carpet Layer		15.16
23160 - Electrician, Maintenance	17.86	
23181 - Electronics Technician Maintenance I		15.16
23182 - Electronics Technician Maintenance II		16.21
23183 - Electronics Technician Maintenance III		18.31
23260 - Fabric Worker		14.11
23290 - Fire Alarm System Mechanic		15.43
23310 - Fire Extinguisher Repairer	13.06	
23311 - Fuel Distribution System Mechanic	17.26	
23312 - Fuel Distribution System Operator	13.06	
23370 - General Maintenance Worker		11.96
23380 - Ground Support Equipment Mechanic		19.70
23381 - Ground Support Equipment Servicer		16.09
23382 - Ground Support Equipment Worker		17.38
23391 - Gunsmith I		13.06
23392 - Gunsmith II		15.16
23393 - Gunsmith III		17.26

23410 - Heating, Ventilation And Air-Conditioning Mechanic	16.58
23411 - Heating, Ventilation And Air Conditioning Mechanic (Research Facility)	17.63
23430 - Heavy Equipment Mechanic	17.39
23440 - Heavy Equipment Operator	16.21
23460 - Instrument Mechanic	17.26
23465 - Laboratory/Shelter Mechanic	16.21
23470 - Laborer	11.37
23510 - Locksmith	16.21
23530 - Machinery Maintenance Mechanic	21.03
23550 - Machinist, Maintenance	17.26
23580 - Maintenance Trades Helper	10.23
23591 - Metrology Technician I	17.26
23592 - Metrology Technician II	18.35
23593 - Metrology Technician III	19.43
23640 - Millwright	17.26
23710 - Office Appliance Repairer	16.21
23760 - Painter, Maintenance	13.95
23790 - Pipefitter, Maintenance	17.52
23810 - Plumber, Maintenance	16.45
23820 - Pneudraulic Systems Mechanic	17.26
23850 - Rigger	17.26
23870 - Scale Mechanic	15.16
23890 - Sheet-Metal Worker, Maintenance	15.37
23910 - Small Engine Mechanic	15.16
23931 - Telecommunications Mechanic I	19.01
23932 - Telecommunications Mechanic II	19.76
23950 - Telephone Lineman	18.24
23960 - Welder, Combination, Maintenance	17.82
23965 - Well Driller	17.26
23970 - Woodcraft Worker	17.26
23980 - Woodworker	13.06
24000 - Personal Needs Occupations	
24550 - Case Manager	14.16
24570 - Child Care Attendant	10.09
24580 - Child Care Center Clerk	12.58
24610 - Chore Aide	10.38
24620 - Family Readiness And Support Services Coordinator	14.16
24630 - Homemaker	16.12
25000 - Plant And System Operations Occupations	
25010 - Boiler Tender	17.26
25040 - Sewage Plant Operator	19.28
25070 - Stationary Engineer	17.26
25190 - Ventilation Equipment Tender	11.97
25210 - Water Treatment Plant Operator	19.28
27000 - Protective Service Occupations	
27004 - Alarm Monitor	10.90
27007 - Baggage Inspector	9.13
27008 - Corrections Officer	12.05
27010 - Court Security Officer	12.05

27030 - Detection Dog Handler		10.90
27040 - Detention Officer	12.05	
27070 - Firefighter		12.05
27101 - Guard I	9.13	
27102 - Guard II	10.90	
27131 - Police Officer I		12.05
27132 - Police Officer II		13.40
28000 - Recreation Occupations		
28041 - Carnival Equipment Operator		12.37
28042 - Carnival Equipment Repairer		13.42
28043 - Carnival Worker	9.14	
28210 - Gate Attendant/Gate Tender		13.18
28310 - Lifeguard		11.01
28350 - Park Attendant (Aide)		14.74
28510 - Recreation Aide/Health Facility Attendant	10.76	
28515 - Recreation Specialist		18.26
28630 - Sports Official		11.74
28690 - Swimming Pool Operator	17.71	
29000 - Stevedoring/Longshoremen Occupational Services		
29010 - Blocker And Bracer		20.23
29020 - Hatch Tender		20.23
29030 - Line Handler		20.23
29041 - Stevedore I		18.85
29042 - Stevedore II		21.64
30000 - Technical Occupations		
30010 - Air Traffic Control Specialist, Center (HFO) (see 2)	38.15	
30011 - Air Traffic Control Specialist, Station (HFO) (see 2)	26.30	
30012 - Air Traffic Control Specialist, Terminal (HFO) (see 2)	28.97	
30021 - Archeological Technician I	17.49	
30022 - Archeological Technician II	19.56	
30023 - Archeological Technician III		24.21
30030 - Cartographic Technician	23.18	
30040 - Civil Engineering Technician		21.93
30051 - Cryogenic Technician I		24.12
30052 - Cryogenic Technician II		26.63
30061 - Drafter/CAD Operator I		17.49
30062 - Drafter/CAD Operator II	19.56	
30063 - Drafter/CAD Operator III	20.74	
30064 - Drafter/CAD Operator IV	24.21	
30081 - Engineering Technician I	14.62	
30082 - Engineering Technician II	16.41	
30083 - Engineering Technician III	18.36	
30084 - Engineering Technician IV	22.34	
30085 - Engineering Technician V	27.83	
30086 - Engineering Technician VI	33.66	
30090 - Environmental Technician	21.78	
30095 - Evidence Control Specialist		21.78
30210 - Laboratory Technician		20.74
30221 - Latent Fingerprint Technician I		24.12
30222 - Latent Fingerprint Technician II		26.63
30240 - Mathematical Technician	23.34	
30361 - Paralegal/Legal Assistant I	19.44	

30362 - Paralegal/Legal Assistant II	23.68	
30363 - Paralegal/Legal Assistant III		28.99
30364 - Paralegal/Legal Assistant IV		33.88
30375 - Petroleum Supply Specialist		26.63
30390 - Photo-Optics Technician	21.93	
30395 - Radiation Control Technician		26.63
30461 - Technical Writer I	22.17	
30462 - Technical Writer II		27.10
30463 - Technical Writer III		32.79
30491 - Unexploded Ordnance (UXO) Technician I	24.24	
30492 - Unexploded Ordnance (UXO) Technician II	29.33	
30493 - Unexploded Ordnance (UXO) Technician III	35.16	
30494 - Unexploded (UXO) Safety Escort	24.24	
30495 - Unexploded (UXO) Sweep Personnel		24.24
30501 - Weather Forecaster I		24.12
30502 - Weather Forecaster II		29.34
30620 - Weather Observer, Combined Upper Air Or (see 2)	20.74	
Surface Programs		
30621 - Weather Observer, Senior (see 2)	23.00	
31000 - Transportation/Mobile Equipment Operation Occupations		
31010 - Airplane Pilot		29.33
31020 - Bus Aide	8.15	
31030 - Bus Driver		9.69
31043 - Driver Courier		8.97
31260 - Parking and Lot Attendant	8.93	
31290 - Shuttle Bus Driver		9.99
31310 - Taxi Driver		9.43
31361 - Truckdriver, Light	9.78	
31362 - Truckdriver, Medium		11.61
31363 - Truckdriver, Heavy		13.89
31364 - Truckdriver, Tractor-Trailer		13.89
99000 - Miscellaneous Occupations		
99020 - Cabin Safety Specialist		14.30
99030 - Cashier	9.12	
99050 - Desk Clerk		9.70
99095 - Embalmer		24.24
99130 - Flight Follower		24.24
99251 - Laboratory Animal Caretaker I		21.62
99252 - Laboratory Animal Caretaker II		22.67
99260 - Marketing Analyst		20.09
99310 - Mortician		24.24
99410 - Pest Controller		14.61
99510 - Photofinishing Worker		12.74
99710 - Recycling Laborer	13.02	
99711 - Recycling Specialist		19.69
99730 - Refuse Collector	12.39	
99810 - Sales Clerk		9.46
99820 - School Crossing Guard		16.14
99830 - Survey Party Chief		21.65
99831 - Surveying Aide		12.31
99832 - Surveying Technician		16.00
99840 - Vending Machine Attendant		21.84

99841 - Vending Machine Repairer	27.71
99842 - Vending Machine Repairer Helper	21.84

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors, applies to all contracts subject to the Service Contract Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is the victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

ALL OCCUPATIONS LISTED ABOVE RECEIVE THE FOLLOWING BENEFITS:

HEALTH & WELFARE: Life, accident, and health insurance plans, sick leave, pension plans, civic and personal leave, severance pay, and savings and thrift plans. Minimum employer contributions costing an average of \$4.48 per hour computed on the basis of all hours worked by service employees employed on the contract.

HEALTH & WELFARE EO 13706: Minimum employer contributions costing an average of \$4.18 per hour computed on the basis of all hours worked by service employees employed on the covered contracts. *

*This rate is to be used only when compensating employees for performance on an SCA covered contract also covered by EO 13706, Establishing Paid Sick Leave for Federal Contractors. A contractor may not receive credit toward its SCA obligations for any paid sick leave provided pursuant to EO 13706.

VACATION: 2 weeks paid vacation after 1 year of service with a contractor or successor; and 4 weeks after 3 years. Length of service includes the whole span of continuous service with the present contractor or successor, wherever employed, and with the predecessor contractors in the performance of similar work at the same Federal facility. (Reg. 29 CFR 4.173)

HOLIDAYS: A minimum of ten paid holidays per year: New Year's Day, Martin Luther King Jr.'s Birthday, Washington's Birthday, Memorial Day, Independence Day, Labor Day, Columbus Day, Veterans' Day, Thanksgiving Day, and Christmas Day. (A contractor may substitute for any of the named holidays another day off with pay in accordance with a plan communicated to the employees involved.) (See 29 CFR 4.174)

THE OCCUPATIONS WHICH HAVE NUMBERED FOOTNOTES IN PARENTHESES RECEIVE THE FOLLOWING:

1) **COMPUTER EMPLOYEES:** Under the SCA at section 8(b), this wage determination does not apply to any employee who individually qualifies as a bona fide executive, administrative, or professional employee as defined in 29 C.F.R. Part 541. Because most Computer System Analysts and Computer Programmers who are compensated at a rate not less than \$27.63 (or on a salary or fee basis at a rate not less than \$455 per week) an hour would likely qualify as exempt computer professionals, (29 C.F.R. 541.400) wage rates may not be listed on this wage determination for all occupations within those job families. In addition, because this wage determination may not list a wage rate for some or all occupations within those job families if the survey data indicates that the prevailing wage rate for the occupation equals or exceeds \$27.63 per hour conformances may be necessary for certain nonexempt employees. For example, if an individual employee is nonexempt but nevertheless performs duties within the scope of one of the Computer Systems Analyst or Computer Programmer occupations for which this wage determination does not specify an SCA wage rate, then the wage rate for that employee must be conformed in accordance with the conformance procedures described in the conformance note included on this wage determination. Additionally, because job titles vary widely and change quickly in the computer industry, job titles are not determinative of the application of the computer professional exemption. Therefore, the exemption applies only to computer employees who satisfy the compensation requirements and whose primary duty consists of: (1) The application of systems analysis techniques and procedures, including consulting with users, to determine hardware, software or system functional specifications; (2) The design, development, documentation, analysis, creation, testing or modification of computer systems or programs, including prototypes, based on and related to user or system design specifications; (3) The design, documentation, testing, creation or modification of computer programs related to machine operating systems; or (4) A

combination of the aforementioned duties, the performance of which requires the same level of skills. (29 C.F.R. 541.400).

2) AIR TRAFFIC CONTROLLERS AND WEATHER OBSERVERS - NIGHT PAY & SUNDAY PAY: If you work at night as part of a regular tour of duty, you will earn a night differential and receive an additional 10% of basic pay for any hours worked between 6pm and 6am. If you are a full-time employed (40 hours a week) and Sunday is part of your regularly scheduled workweek, you are paid at your rate of basic pay plus a Sunday premium of 25% of your basic rate for each hour of Sunday work which is not overtime (i.e. occasional work on Sunday outside the normal tour of duty is considered overtime work).

**** HAZARDOUS PAY DIFFERENTIAL ****

An 8 percent differential is applicable to employees employed in a position that represents a high degree of hazard when working with or in close proximity to ordnance, explosives, and incendiary materials. This includes work such as screening, blending, dying, mixing, and pressing of sensitive ordnance, explosives, and pyrotechnic compositions such as lead azide, black powder and photoflash powder. All dry-house activities involving propellants or explosives. Demilitarization, modification, renovation, demolition, and maintenance operations on sensitive ordnance, explosives and incendiary materials. All operations involving re-grading and cleaning of artillery ranges. A 4 percent differential is applicable to employees employed in a position that represents a low degree of hazard when working with, or in close proximity to ordnance, (or employees possibly adjacent to) explosives and incendiary materials which involves potential injury such as laceration of hands, face, or arms of the employee engaged in the operation, irritation of the skin, minor burns and the like; minimal damage to immediate or adjacent work area or equipment being used. All operations involving, unloading, storage, and hauling of ordnance, explosive, and incendiary ordnance material other than small arms ammunition. These differentials are only applicable to work that has been specifically designated by the agency for ordnance, explosives, and incendiary material differential pay. **

UNIFORM ALLOWANCE **

If employees are required to wear uniforms in the performance of this contract (either by the terms of the Government contract, by the employer, by the state or local law, etc.), the cost of furnishing such uniforms and maintaining (by laundering or dry cleaning) such uniforms is an expense that may not be borne by an employee where such cost reduces the hourly rate below that required by the wage determination. The Department of Labor will accept payment in accordance with the following standards as compliance:

The contractor or subcontractor is required to furnish all employees with an adequate number of uniforms without cost or to reimburse employees for the actual cost of the uniforms. In addition, where uniform cleaning and maintenance is made the responsibility of the employee, all contractors and subcontractors subject to this wage determination shall (in the absence of a bona fide collective bargaining agreement providing for a different amount, or the furnishing of contrary affirmative proof as to the actual cost), reimburse all employees for such cleaning and maintenance at a rate of \$3.35 per week (or \$.67 cents per day). However, in those instances where the uniforms furnished are made of "wash and wear" materials, may be routinely washed and dried with other personal garments, and do not require any special treatment such as dry cleaning, daily washing, or commercial laundering in order to meet the cleanliness or appearance standards set by the terms of the Government contract, by the contractor, by law, or by the nature of the work, there is no requirement that employees be reimbursed for uniform maintenance costs.

**** SERVICE CONTRACT ACT DIRECTORY OF OCCUPATIONS ****

The duties of employees under job titles listed are those described in the "Service Contract Act Directory of Occupations", Fifth Edition (Revision 1), dated September 2015, unless otherwise indicated. **** REQUEST FOR AUTHORIZATION OF ADDITIONAL CLASSIFICATION AND WAGE RATE, Standard Form 1444 (SF-1444) ****

Conformance Process:

The contracting officer shall require that any class of service employee which is not listed herein and which is to be employed under the contract (i.e., the work to be performed is not performed by any classification listed in the wage determination), be classified by the contractor so as to provide a reasonable relationship (i.e.,

appropriate level of skill comparison) between such unlisted classifications and the classifications listed in the wage determination (See 29 CFR 4.6(b)(2)(i)). Such conforming procedures shall be initiated by the contractor prior to the performance of contract work by such unlisted class(es) of employees (See 29 CFR 4.6(b)(2)(ii)). The Wage and Hour Division shall make a final determination of conformed classification, wage rate, and/or fringe benefits which shall be paid to all employees performing in the classification from the first day of work on which contract work is performed by them in the classification. Failure to pay such unlisted employees the compensation agreed upon by the interested parties and/or fully determined by the Wage and Hour Division retroactive to the date such class of employees commenced contract work shall be a violation of the Act and this contract. (See 29 CFR 4.6(b)(2)(v)). When multiple wage determinations are included in a contract, a separate SF-1444 should be prepared for each wage determination to which a class(es) is to be conformed. The process for preparing a conformance request is as follows: 1) When preparing the bid, the contractor identifies the need for a conformed occupation(s) and computes a proposed rate(s). 2) After contract award, the contractor prepares a written report listing in order the proposed classification title(s), a Federal grade equivalency (FGE) for each proposed classification(s), job description(s), and rationale for proposed wage rate(s), including information regarding the agreement or disagreement of the authorized representative of the employees involved, or where there is no authorized representative, the employees themselves. This report should be submitted to the contracting officer no later than 30 days after such unlisted class(es) of employees performs any contract work. 3) The contracting officer reviews the proposed action and promptly submits a report of the action, together with the agency's recommendations and pertinent information including the position of the contractor and the employees, to the U.S. Department of Labor, Wage and Hour Division, for review (See 29 CFR 4.6(b)(2)(ii)). 4) Within 30 days of receipt, the Wage and Hour Division approves, modifies, or disapproves the action via transmittal to the agency contracting officer, or notifies the contracting officer that additional time will be required to process the request. 5) The contracting officer transmits the Wage and Hour Division's decision to the contractor. 6) Each affected employee shall be furnished by the contractor with a written copy of such determination or it shall be posted as a part of the wage determination (See 29 CFR 4.6(b)(2)(iii)). Information required by the Regulations must be submitted on SF-1444 or bond paper. When preparing a conformance request, the "Service Contract Act Directory of Occupations" should be used to compare job definitions to ensure that duties requested are not performed by a classification already listed in the wage determination. Remember, it is not the job title, but the required tasks that determine whether a class is included in an established wage determination. Conformances may not be used to artificially split, combine, or subdivide classifications listed in the wage determination (See 29 CFR 4.152(c)(1)).

Taken From:

<http://www.hhs.gov/ocr/privacy/hipaa/understanding/coveredentities/contractprov.html>

Business Associate Contracts

SAMPLE BUSINESS ASSOCIATE AGREEMENT PROVISIONS

(Published January 25, 2013)

Introduction

A “business associate” is a person or entity, other than a member of the workforce of a covered entity, who performs functions or activities on behalf of, or provides certain services to, a covered entity that involve access by the business associate to protected health information. A “business associate” also is a subcontractor that creates, receives, maintains, or transmits protected health information on behalf of another business associate. The HIPAA Rules generally require that covered entities and business associates enter into contracts with their business associates to ensure that the business associates will appropriately safeguard protected health information. The business associate contract also serves to clarify and limit, as appropriate, the permissible uses and disclosures of protected health information by the business associate, based on the relationship between the parties and the activities or services being performed by the business associate. A business associate may use or disclose protected health information only as permitted or required by its business associate contract or as required by law. A business associate is directly liable under the HIPAA Rules and subject to civil and, in some cases, criminal penalties for making uses and disclosures of protected health information that are not authorized by its contract or required by law. A business associate also is directly liable and subject to civil penalties for failing to safeguard electronic protected health information in accordance with the HIPAA Security Rule.

A written contract between a covered entity and a business associate must: (1) establish the permitted and required uses and disclosures of protected health information by the business associate; (2) provide that the business associate will not use or further disclose the information other than as permitted or required by the contract or as required by law; (3) require the business associate to implement appropriate safeguards to prevent unauthorized use or disclosure of the information, including implementing requirements of the HIPAA Security Rule with regard to electronic protected health information; (4) require the business associate to report to the covered entity any use or disclosure of the information not provided for by its contract, including incidents that constitute breaches of unsecured protected health information; (5) require the business associate to disclose protected health information as specified in its contract to satisfy a covered entity’s obligation with respect to individuals’ requests for copies of their protected health information, as well as make available protected health information for amendments (and incorporate any amendments, if required) and accountings; (6) to the extent the business associate is to carry out a covered entity’s obligation under the Privacy Rule, require the business associate to comply with the requirements applicable to the obligation; (7) require the business associate to make available to HHS its internal practices, books, and records relating to the use and disclosure of protected health information received from, or created or received by the business associate on

behalf of, the covered entity for purposes of HHS determining the covered entity's compliance with the HIPAA Privacy Rule; (8) at termination of the contract, if feasible, require the business associate to return or destroy all protected health information received from, or created or received by the business associate on behalf of, the covered entity; (9) require the business associate to ensure that any subcontractors it may engage on its behalf that will have access to protected health information agree to the same restrictions and conditions that apply to the business associate with respect to such information; and (10) authorize termination of the contract by the covered entity if the business associate violates a material term of the contract. Contracts between business associates and business associates that are subcontractors are subject to these same requirements.

This document includes sample business associate agreement provisions to help covered entities and business associates more easily comply with the business associate contract requirements. While these sample provisions are written for the purposes of the contract between a covered entity and its business associate, the language may be adapted for purposes of the contract between a business associate and subcontractor.

This is only sample language and use of these sample provisions is not required for compliance with the HIPAA Rules. The language may be changed to more accurately reflect business arrangements between a covered entity and business associate or business associate and subcontractor. In addition, these or similar provisions may be incorporated into an agreement for the provision of services between a covered entity and business associate or business associate and subcontractor, or they may be incorporated into a separate business associate agreement. These provisions address only concepts and requirements set forth in the HIPAA Privacy, Security, Breach Notification, and Enforcement Rules, and alone may not be sufficient to result in a binding contract under State law. They do not include many formalities and substantive provisions that may be required or typically included in a valid contract. Reliance on this sample may not be sufficient for compliance with State law, and does not replace consultation with a lawyer or negotiations between the parties to the contract.

Sample Business Associate Agreement Provisions

Words or phrases contained in brackets are intended as either optional language or as instructions to the users of these sample provisions.

Definitions

Catch-all definition:

The following terms used in this Agreement shall have the same meaning as those terms in the HIPAA Rules: Breach, Data Aggregation, Designated Record Set, Disclosure, Health Care Operations, Individual, Minimum Necessary, Notice of Privacy Practices, Protected Health Information, Required By Law, Secretary, Security Incident, Subcontractor, Unsecured Protected Health Information, and Use.

Specific definitions:

- (a) Business Associate. “Business Associate” shall generally have the same meaning as the term “business associate” at 45 CFR 160.103, and in reference to the party to this agreement, shall mean [Insert Name of Business Associate].
- (b) Covered Entity. “Covered Entity” shall generally have the same meaning as the term “covered entity” at 45 CFR 160.103, and in reference to the party to this agreement, shall mean [Insert Name of Covered Entity].
- (c) HIPAA Rules. “HIPAA Rules” shall mean the Privacy, Security, Breach Notification, and Enforcement Rules at 45 CFR Part 160 and Part 164.

Obligations and Activities of Business Associate

Business Associate agrees to:

- (a) Not use or disclose protected health information other than as permitted or required by the Agreement or as required by law;
- (b) Use appropriate safeguards, and comply with Subpart C of 45 CFR Part 164 with respect to electronic protected health information, to prevent use or disclosure of protected health information other than as provided for by the Agreement;
- (c) Report to covered entity any use or disclosure of protected health information not provided for by the Agreement of which it becomes aware, including breaches of unsecured protected health information as required at 45 CFR 164.410, and any security incident of which it becomes aware;

[The parties may wish to add additional specificity regarding the breach notification obligations of the business associate, such as a stricter timeframe for the business associate to report a potential breach to the covered entity and/or whether the business associate will handle breach notifications to individuals, the HHS Office for Civil Rights (OCR), and potentially the media, on behalf of the covered entity.]

- (d) In accordance with 45 CFR 164.502(e)(1)(ii) and 164.308(b)(2), if applicable, ensure that any subcontractors that create, receive, maintain, or transmit protected health information on behalf of the business associate agree to the same restrictions, conditions, and requirements that apply to the business associate with respect to such information;
- (e) Make available protected health information in a designated record set to the [Choose either “covered entity” or “individual or the individual’s designee”] as necessary to satisfy covered entity’s obligations under 45 CFR 164.524;

[The parties may wish to add additional specificity regarding how the business associate will respond to a request for access that the business associate receives directly from the individual (such as whether and in what time and manner a business associate is to provide the requested access or whether the business associate will forward the individual’s request to the covered entity to fulfill) and the timeframe for the business associate to provide the information to the covered entity.]

(f) Make any amendment(s) to protected health information in a designated record set as directed or agreed to by the covered entity pursuant to 45 CFR 164.526, or take other measures as necessary to satisfy covered entity's obligations under 45 CFR 164.526;

[The parties may wish to add additional specificity regarding how the business associate will respond to a request for amendment that the business associate receives directly from the individual (such as whether and in what time and manner a business associate is to act on the request for amendment or whether the business associate will forward the individual's request to the covered entity) and the timeframe for the business associate to incorporate any amendments to the information in the designated record set.]

(g) Maintain and make available the information required to provide an accounting of disclosures to the [Choose either "covered entity" or "individual"] as necessary to satisfy covered entity's obligations under 45 CFR 164.528;

[The parties may wish to add additional specificity regarding how the business associate will respond to a request for an accounting of disclosures that the business associate receives directly from the individual (such as whether and in what time and manner the business associate is to provide the accounting of disclosures to the individual or whether the business associate will forward the request to the covered entity) and the timeframe for the business associate to provide information to the covered entity.]

(h) To the extent the business associate is to carry out one or more of covered entity's obligation(s) under Subpart E of 45 CFR Part 164, comply with the requirements of Subpart E that apply to the covered entity in the performance of such obligation(s); and

(i) Make its internal practices, books, and records available to the Secretary for purposes of determining compliance with the HIPAA Rules.

Permitted Uses and Disclosures by Business Associate

(a) Business associate may only use or disclose protected health information

[Option 1 – Provide a specific list of permissible purposes.]

[Option 2 – Reference an underlying service agreement, such as "as necessary to perform the services set forth in Service Agreement."]

[In addition to other permissible purposes, the parties should specify whether the business associate is authorized to use protected health information to de-identify the information in accordance with 45 CFR 164.514(a)-(c). The parties also may wish to specify the manner in which the business associate will de-identify the information and the permitted uses and disclosures by the business associate of the de-identified information.]

(b) Business associate may use or disclose protected health information as required by law.

(c) Business associate agrees to make uses and disclosures and requests for protected health information

[Option 1] consistent with covered entity's minimum necessary policies and procedures.

[Option 2] subject to the following minimum necessary requirements: [Include specific minimum necessary provisions that are consistent with the covered entity's minimum necessary policies and procedures.]

(d) Business associate may not use or disclose protected health information in a manner that would violate Subpart E of 45 CFR Part 164 if done by covered entity [if the Agreement permits the business associate to use or disclose protected health information for its own management and administration and legal responsibilities or for data aggregation services as set forth in optional provisions (e), (f), or (g) below, then add “, except for the specific uses and disclosures set forth below.”]

(e) [Optional] Business associate may use protected health information for the proper management and administration of the business associate or to carry out the legal responsibilities of the business associate.

(f) [Optional] Business associate may disclose protected health information for the proper management and administration of business associate or to carry out the legal responsibilities of the business associate, provided the disclosures are required by law, or business associate obtains reasonable assurances from the person to whom the information is disclosed that the information will remain confidential and used or further disclosed only as required by law or for the purposes for which it was disclosed to the person, and the person notifies business associate of any instances of which it is aware in which the confidentiality of the information has been breached.

(g) [Optional] Business associate may provide data aggregation services relating to the health care operations of the covered entity.

Provisions for Covered Entity to Inform Business Associate of Privacy Practices and Restrictions

(a) [Optional] Covered entity shall notify business associate of any limitation(s) in the notice of privacy practices of covered entity under 45 CFR 164.520, to the extent that such limitation may affect business associate's use or disclosure of protected health information.

(b) [Optional] Covered entity shall notify business associate of any changes in, or revocation of, the permission by an individual to use or disclose his or her protected health information, to the extent that such changes may affect business associate's use or disclosure of protected health information.

(c) [Optional] Covered entity shall notify business associate of any restriction on the use or disclosure of protected health information that covered entity has agreed to or is required to abide by under 45 CFR 164.522, to the extent that such restriction may affect business associate's use or disclosure of protected health information.

Permissible Requests by Covered Entity

[Optional] Covered entity shall not request business associate to use or disclose protected health information in any manner that would not be permissible under Subpart E of 45 CFR Part 164 if done by covered entity. [Include an exception if the business associate will use or disclose protected health information for, and the agreement includes provisions for, data aggregation or management and administration and legal responsibilities of the business associate.]

Term and Termination

(a) Term. The Term of this Agreement shall be effective as of [Insert effective date], and shall terminate on [Insert termination date or event] or on the date covered entity terminates for cause as authorized in paragraph (b) of this Section, whichever is sooner.

(b) Termination for Cause. Business associate authorizes termination of this Agreement by covered entity, if covered entity determines business associate has violated a material term of the Agreement [and business associate has not cured the breach or ended the violation within the time specified by covered entity]. [Bracketed language may be added if the covered entity wishes to provide the business associate with an opportunity to cure a violation or breach of the contract before termination for cause.]

(c) Obligations of Business Associate Upon Termination.

[Option 1 – if the business associate is to return or destroy all protected health information upon termination of the agreement]

Upon termination of this Agreement for any reason, business associate shall return to covered entity [or, if agreed to by covered entity, destroy] all protected health information received from covered entity, or created, maintained, or received by business associate on behalf of covered entity, that the business associate still maintains in any form. Business associate shall retain no copies of the protected health information.

[Option 2—if the agreement authorizes the business associate to use or disclose protected health information for its own management and administration or to carry out its legal responsibilities and the business associate needs to retain protected health information for such purposes after termination of the agreement]

Upon termination of this Agreement for any reason, business associate, with respect to protected health information received from covered entity, or created, maintained, or received by business associate on behalf of covered entity, shall:

1. Retain only that protected health information which is necessary for business associate to continue its proper management and administration or to carry out its legal responsibilities;
2. Return to covered entity [or, if agreed to by covered entity, destroy] the remaining protected health information that the business associate still maintains in any form;
3. Continue to use appropriate safeguards and comply with Subpart C of 45 CFR Part 164 with respect to electronic protected health information to prevent use or disclosure of the protected health information, other than as provided for in this Section, for as long as business associate retains the protected health information;

4. Not use or disclose the protected health information retained by business associate other than for the purposes for which such protected health information was retained and subject to the same conditions set out at [Insert section number related to paragraphs (e) and (f) above under “Permitted Uses and Disclosures By Business Associate”] which applied prior to termination; and
5. Return to covered entity [or, if agreed to by covered entity, destroy] the protected health information retained by business associate when it is no longer needed by business associate for its proper management and administration or to carry out its legal responsibilities.

[The agreement also could provide that the business associate will transmit the protected health information to another business associate of the covered entity at termination, and/or could add terms regarding a business associate’s obligations to obtain or ensure the destruction of protected health information created, received, or maintained by subcontractors.]

(d) Survival. The obligations of business associate under this Section shall survive the termination of this Agreement.

Miscellaneous [Optional]

- (a) [Optional] Regulatory References. A reference in this Agreement to a section in the HIPAA Rules means the section as in effect or as amended.
- (b) [Optional] Amendment. The Parties agree to take such action as is necessary to amend this Agreement from time to time as is necessary for compliance with the requirements of the HIPAA Rules and any other applicable law.
- (c) [Optional] Interpretation. Any ambiguity in this Agreement shall be interpreted to permit compliance with the HIPAA Rules.

Acknowledgement of Receipt of Sample Business Associate Agreement Provisions

(Published January 25, 2013 by Department of Health and Human Services)

The Undersigned certifies it has received a copy and agrees to its terms if applicable to the Offeror or 3rd party engagements.

Name of Official	Signature	Date
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**FORM FOR SUBMITTING ALL LICENSES
For DMHSA RFP 04-2018**

Please attach copies of all business licenses, permits, fictitious name certificates, certificates of good standing, or any other license, permit or certificate issued to the individual or company, which is applicable to this Request for Proposals. Please indicate the attached documents by checking the applicable boxes:

Business License

- from the Department of Revenue and Taxation, Government of Guam
 from a jurisdiction other than Guam: _____

Fictitious Name Registration

- from the Department of Revenue and Taxation, Government of Guam
 from a jurisdiction other than Guam: _____

Certificate of Incorporation

- from the Department of Revenue and Taxation, Government of Guam
 from a jurisdiction other than Guam: _____

Federal I.D.# _____

Other Attachments. Please indicate: _____

Please check here if there are no attachments to this form.

Authorized Signature: _____ Date: _____

FORM C

GBHWC RFP FORM E

SAMPLE CONTRACT

CONTRACTUAL AGREEMENT
BETWEEN
GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER
CLINICAL SERVICES DIVISION
AND

GBHWC RFP 04- 2018

This AGREEMENT is made between the GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER, Division of Child Adolescent Services, an agency of the government of Guam, (hereinafter called the GBHWC), whose office address is 790 Governor Carlos G. Camacho Road, Tamuning, Guam 96913, and _____, a licensed Guam _____ (hereinafter called the Service Provider or contractor) whose office address is _____.

WHEREAS; the GBHWC requested proposals from qualified Guam _____ to provide professional services providing 24-hour residential and support services for adults with persistent developmental and cognitive/emotional disabilities.

WHEREAS, the GBHWC has provided adequate public announcement of the need for such service through a request for proposal (GBHWC RFP 04-2018) describing the type of services required and specifying the type of information and data required of each offer and the relative importance of particular qualifications; and

WHEREAS, the Service Provider has submitted its proposal and interest in providing such services; and

WHEREAS, the award of this contract to the Service Provider has been made pursuant to a written finding by the GBHWC that the Service Provider is qualified based on the evaluation factors set forth in the request for proposal, and that negotiations of compensation have been determined to be fair and reasonable;

NOW THEREFORE, the GBHWC and the Service Provider, in consideration of mutual covenants hereinafter set forth, agree as follows:

SECTION I.
PURPOSE

Professional services to provide 24-hour residential and support services for adults with persistent developmental and cognitive/emotional disabilities. (Level A, B, C)

SECTION II.
SCOPE OF WORK

A. Scope of Services

1. This request for a proposal ensures appropriate housing options with 24 hour supervision for twenty-five (25) eligible consumers with persistent developmental and cognitive/emotional disabilities. Consumers referred to this program have been identified to be medically stable but unable to live independently in the community even with family supports and GBHWC intensive outpatient services.
2. Service provider will provide rehabilitative and behavioral health care services with the goal of providing 24-hour care and supervision in a community base home.
3. Behavioral health services shall be provided by Guam Behavioral Health and Wellness Center to include psychiatric and psychological consultative services.
4. The GBHWC psychological consultative services include:
 - a. Annual Comprehensive Psychological Assessment/evaluation report; and
 - b. Monthly and quarterly consultative services on behavioral strategies to improve consumers' rehabilitative goals, along with clinical recommendations, as needed.
5. The service provider daily programming will focus on the assisting the consumer to improve the following:
 - a. Activities of daily living (ADLS);
 - b. Instrumental activities of daily living (IADLS);
 - c. Social skills;
 - d. Vocational; and
 - e. Management of psychiatric symptoms and family/community living skills.
6. The staff of the program shall provide 24-hour monitoring, daily skill building programming, in-house support services for ADLS/IADLS, psychiatric symptoms management skills, assistance/training to access transportation, case management, supportive counseling, healthcare and medication management, recreational and/or leisure activities, guardian/family member training and supports, other transportation services, vocational and transitional planning services.
7. Service provider will assess each consumers' ADLS/IADLS, then develop a comprehensive annual rehabilitative treatment plan which will be reviewed monthly, quarterly and annually.
8. Service provider will provide to GBHWC staff a quarterly and annual report that includes the following items:

- a. Health/medical to include medication changes;
- b. Progress with ADLS/IADLS;
- c. Progress in management of psychiatric or identified behaviors;
- d. Recreational/leisure and family involvement; and
- e. Transitional and other recommendations.

B. Minimum Services must include, but not limited to

- 1. **Operational Services:**
 - a. Facility to operate the Residential Home(s);
 - b. Supplies, food, filtered water, and a first aid kit; and
 - c. Maintenance of the home, including: electricity, water, telephone, cable television and internet services.

C. Support Services

- 1. Daily programming and supports. Improve consumers ability with activities of daily living (such as bathing dressing eating preparing basic meals dressing washing clothes and other grooming and hygiene activities).
- 2. Nutritional services. Daily programming to assist consumer to select, purchase and prepare basic nutritious meals.
- 3. Health medical and medication services. Daily programming to assist and support consumers to maintain healthy living lifestyle to include management of healthcare/medical appointments. Staff will assist consumer to improve skills in medication management for behavioral health and medical conditions.
- 4. Symptom management, Daily programming to assist train and support consumer to improve skills and managing psychiatric and behavioral symptoms impacting functioning in the home with family/guardians and community.
- 5. Supportive counseling. Service provider will provide supportive counseling services which will include training consumer to use problem-solving skills and personal awareness skills to manage and resolve personal and interpersonal conflicts and issues.
- 6. Instrumental activities of daily living. Daily programming and supports to improve consumers' ability and skills with home management (e.g. house cleaning, room cleaning, laundry, yard maintenance and organization/care of personal items), time management, money management (e.g. budgeting and shopping for groceries and other supplies).
- 7. *Vocational and educational development:* daily programming and staff support to assist consumers to identify and participate in educational, prevocational and vocational activities;
- 8. Recreation leisure and spiritual activities. Daily programming and staff support to assist consumers to identify and participate in recreational and community based leisure activities to include spiritual activities in accordance with their individual and religious release and preferences.
- 9. Guardian/Family training supports and activities. Services and staff support to assist guardians and family members to improve their knowledge and skills in assisting consumer with independent and community living skills and supports.

D. Deliverables

Service Provider will provide professional services up to total of twenty-five (25) beds in the residential treatment facilities approved by GBHWC based on size, neatness, structure, age and suitability, with an array of services to adults with persistent developmental and cognitive/emotional disabilities. Currently there are twenty-five (25) consumers in the residential program.

Service provider must ensure appropriate fiscal accountability. In order to be eligible for services, a person must be an adult GBHWC consumer with persistent developmental and cognitive/emotional disabilities, as referred by GBHWC service providers. Consumers who progress to a level exceeding the services as evaluated and referred by GBHWC/MDDT, will be processed in a manner agreed to by GBHWC and service provider to move to a less restrictive environment.

1. Housing

Service provider is to provide twenty-five (25) beds in an adequate, safe, stable, and appropriately supervised residential treatment facilities for GBHWC adult consumers with persistent developmental and cognitive/emotional disabilities.

2. Administrative

- a. Offeror must be a residential treatment home services provider at the effective date of the contract and must meet all licensing requirements;
- b. Service provider must implement a twenty-four (24) hour crisis service;
- c. Service provider must have cooperative agreements with medical and mental health facilities to provide emergency and ongoing medical/mental health services;
- d. Service provider must negotiate linkages with community resources for needed services and evaluations, and as required, develop formal affiliation agreements with other community agencies to provide essential services;
- e. Offeror shall implement a GBHWC Transition Plan that describes how consumers, already receiving mental health services, will be transitioned smoothly into new programs/services, with minimal disruption;
- f. Service provider shall meet on a quarterly basis with GBHWC clinical team to provide information regarding consumers served. At these meetings, review of services provided will help evaluate the progress of services and lead to the modification of service plans as needed;
- g. The Administrative/Management office of service provider should be located in Guam;
- h. Service provider shall coordinate all efforts closely with all GBHWC mental health programs;
- i. Service provider shall ensure that programs meet all applicable licensing and certification requirements of Guam;
- j. Service provider will provide to GBHWC staff a quarterly and annual report that includes the following items:
 - 1) Health/medical issues, concerns, appointments to include documentation of medication changes;

- 2) Progress with ADLS/IADLS;
- 3) Progress in management of psychiatric or identified behaviors;
- 4) Recreational/leisure activities and family involvement;
- 5) Transitional planning; and
- 6) Other recommendations.

E. Population to be Served

Service provider will work collaboratively and cooperatively with GBHWC to serve adult consumers eighteen (18) years or older with persistent developmental and cognitive/emotional disabilities referred by GBHWC clinical team and who meets the following criteria:

1. General Admission Criteria:

- a. The consumer demonstrates symptomatology consistent with the current Diagnostic and Statistical Manual of Mental Disorders;
- b. The consumer does not require the services of a psychiatric hospital;
- c. The consumer is not sufficiently stable to be treated outside of residential therapeutic environment;
- d. The consumer lacks skills sufficient to maintain him/her living in the community with treatment at a lower level;
- e. The consumer does not constitute a direct threat to the health and/or safety of himself/herself and others;
- f. Consumers are not sufficiently stable to be treated outside of residential therapeutic environment.

2. Program Specific Criteria:

The specific program level admission criteria will be based on the program description of each home. Exception to the admission criteria will only be made with discussion and agreement amongst the consumers' treatment team (comprising of staff from GBHWC and service provider) and the GBHWC residential admission team and only in rare and unusual circumstances.

a. Level A;

- 1) Consumers require a highly structured environment;
- 2) Consumer require total or extensive personal care and intense or constant supervision;
- 3) Consumers require close monitoring and ongoing assistance with activities of daily living; and/or;
- 4) Consumers have significant or severe behavioral concerns.

b. Level B

- 1) Consumers require a moderately structured environment;
- 2) Consumers require extensive or regular personal care and/or constant or close supervision;
- 3) Consumers require moderate assistance with activities of daily living; and/or

4) Consumers have moderate or minimal behavioral concerns.

c. Level C

- 1) Consumers require a moderate to minimally structured environment;
- 2) Consumers require regular, limited, or infrequent assistance with activities of daily living and regular or minimal supervision; and/or
- 3) Consumers have minimal behavioral concerns.

3. Exclusion Criteria:

Any of the following criteria is sufficient for exclusion from this level of care:

- a. Suicidal/assaultive/destructive ideas, threats, plans or attempts as evidenced by degree of intent, lethality of plan, means, hopelessness or impulsiveness; or acute behavioral, cognitive, or affective loss of control that could result in danger to self or others and cannot be controlled in this setting;
- b. The consumer has medical conditions or impairments that would prevent beneficial utilization of services; medical conditions are primary and mental health issues are secondary; the consumer is not adequately stabilized on medications, or medical conditions require ongoing care that cannot be provided within the Residential Recovery Program (RRP);
- c. The consumer requires a level of structure and supervision beyond the scope of the program;
- d. The consumer can be safely maintained and effectively treated at a less intensive level of care;
- e. The primary presenting problem is social, legal, economic (e. g., housing, family, conflict, etc.), or one of physical health without a concurrent major psychiatric episode, meeting criteria for this level of care, or admission is being used as an alternative to incarceration.

F. Mental Health Services

1. Service provider will:

- a. Develop clinical evaluation methods and maintain on a monthly basis, specific and measurable markers of progress for each consumer based on the assessment tool recommended by GBHWC clinical teams;
- b. Services provision shall involve a collective process between service provider and GBHWC clinical team and, most importantly the consumer and his/her family, with a goal of helping the consumer identify, access, and utilize those resources needed to assist in their recovery;
- c. Assist the consumers' active involvement in all services, as well as the coordination of services;
- d. Provide or assure the precision of support services necessary to enable the consumer to maintain and succeed in community living, including but not limited to:
 - 1) Supportive counseling and companionship;
 - 2) Transportation to community services;

- 3) Learning and performing basic living skills;
- 4) Building a personal social network;
- 5) Support services needed for the consumer to attain vocational goals;
- 6) Assisting consumer in obtaining benefits for which he/she may be eligible;
- 7) Linking consumer with other community services;
- 8) Providing prevocational training and accompany vocational assessments;
- 9) Parenting skills, collaboration with families, communities, and inpatient facilities;
- 10) Social, leisure time access to parks, movies, restaurants, etc., utilizing natural supports whenever feasible;
- 11) Utilizing/ developing a social support system;
- 12) Assisting the consumer in the integration of therapeutic principles and psychosocial skills into his/her natural environment and daily routine; and
- 13) When appropriate, other assessments will be provided for occupational therapy, physical therapy, and speech therapy.

2. GBHWC will:

- a. Complete a comprehensive evaluation (MDTT) which identifies the consumer's strengths and weaknesses in relation to the skill demands and support required in the particular environment in which the consumer wants to or needs to function;
- b. Provide an appropriate mix of professional services, to include when appropriate, psychiatric services, psychological services (individual therapy, group therapy, family therapy, assessments of emotional functioning, adaptive functioning, diagnostic evaluation, and behavioral assessment), and counseling. Service provider will collaborate with the responsible party for dental services needed by the consumer. In the event that the consumer does not have the resources for dental service, GBHWC will cover one annual dental exam and cleaning;
- c. Upon referral to service provider program, GBHWC staff member will provide a baseline psychological assessment and evaluation report to assist service provider and staff in the development of a comprehensive rehabilitative treatment plan;
- d. GBHWC staff member will provide consultative services to assist service provider with additional behavioral strategies to improve consumers' rehabilitative progress, if warranted; and
- e. GBHWC will participate in service provider's quarterly and annual review meetings. In service provider's meeting, group home staff will review consumers' progress and achievement of the rehabilitative treatment goals.

G. Crisis Services

1. Crisis intervention and support services shall be provided twenty-four (24) hours per day, seven (7) days per week. Crisis services are necessary to assist consumers in controlling and resolving critical or dangerous problems that threaten personal safety or wellbeing. The focus of crisis intervention is on problems or barriers to recovery that threaten the person's life or functioning.
2. A crisis assistance plan shall be developed within first thirty (30) days of awarded contract describing twenty-four (24) hours accessibility of staff; in addition, each consumer shall have an individualized crisis contingency plan.

H. Outcome measures. (programmatic)

All services provided must be individualized, appropriate, and aimed at improving the functioning of the consumer. The following outcome measures will be employed to determine the value of the programs and their success in achieving established goals using recommended by GBHWC Clinical Teams:

1. Evidence of compliance with requirements of the program.
2. Results indicating a more social integrated person (social relations).
3. Increase of natural supports and social integration and activities with family, friends, co-workers, and neighbors.
4. Increased sense of individualism and increased sense of self- respect/ indignity, as indicated by a consumer satisfaction report. Increased capacity for independent functioning and a greater capacity for independent community living.
5. Increased movement toward financial stability.
6. Decrease in legal problems that may threaten or jeopardize the recovery process.

In addition to the measures outlined above, a consumer satisfaction survey may be utilized to determine the satisfaction with services provided.

I. Consumer Rights Protection

1. Service provider will identify barriers and disadvantages that threaten the exercise of equal rights and equal opportunities of persons with persistent developmental and cognitive/emotional disabilities in the areas of housing, education and employment and will identify and when possible implement the means of eliminating those barriers for the consumers served through this contract.
2. Service provider will use a systematic approach to ensure the protection of rights, and equal opportunity of this population. Service provider shall provide education in personal advocacy to consumers served through the contract and their families to aid them in understanding their rights, and make them aware of the availability of external resources to assist in upholding these rights.
3. Service provider shall assist consumers in efforts to ensure that consumers receive appropriate housing and education services and employment consideration, support the goal of the Americans with Disabilities Act of 1990 as well as the 1973 Vocational rehabilitation Act and subsequent amendments. Service provider shall assist consumer to eliminate unfair treatment and discrimination against the

qualified workers with disabilities, to improve access to mainstream resources and to obtain consideration of disabled applicants' qualifications taking into account reasonable accommodations and support services.

4. Under no circumstances shall service provide legal counsel or representation of consumers through this contract.
5. Service provider shall at all times be compliant with all laws and regulations that govern the protection of persons with disabilities and /or age.

J. Staffing Requirements

1. Recruit and hire qualified staff, resulting in efficient, and delivery of services.
2. Mainstream job descriptions for each job description, containing minimal criteria of the position as well as specific job functions and responsibilities.
3. Support staff, including clerks and secretarial personnel, must have work experience and be sufficiently trained in data processing.
4. Each job position shall have a defined pay range.
5. Training.
 - a. Service provider shall implement and maintain, on an on-going basis, an in service training program for the staff, with training sessions in keeping with licensing requirements;
 - b. Provide for intensive initial training of staff relevant to their program component;
 - c. Additionally, staff will be required to participate in all training and intervention programs as deemed appropriate by GBHWC to include Professional Crisis Management training or equivalent; and
 - d. Written job evaluations are to be completed annually on each staff member.

K. Programmatic Record Keeping

1. Each consumer will have a case record which will have at a minimum, the following information:
 - a. Identifying information. Gender/race/ address of the consumer; birth date and birthplace of the consumer; name and address of the consumer's current place of employment or school; court and/or legal status and name of person authorized to give consent, if applicable; the names, addresses and phone numbers of other persons or providers involved with the consumer case/plan; the consumer's physician's address and phone number;
 - b. Health record. Must include any serious or life-threatening medical conditional of the consumer, including a description of any current treatment or medication necessary for the treatment of serious or life-threatening conditions(s) and/or any known allergies;
 - c. Complete history of the consumer including, where applicable; family data; employment record; prior medical history; medications; known allergies; as well as any other such pertinent information;
 - d. The Consumer assessments, evaluation and individualized plan(s) and progress measured in accordance with recommendations of GBHWC clinical team;
 - e. Any incident reports involving the consumer; and

- f. Any other record keeping requested or mandated by Local and Federal laws.
2. Consumer records shall be stored (secured) in such a manner as to be accessible to all staff involved with the consumer and still protect the consumer's confidentiality. Closed consumer records will be retained for the period prescribed by law.
3. Written comprehensive evaluations, service, agreements, financial assets, public housing, and nourishment stipends statements information, and quarterly summaries are to be maintained on each consumer. Quarterly summaries are to provide documentation of services provided, including outcomes achieved and/or barriers with plans to address them and personal financial information. Copies of quarterly summaries and financial information are to be provided to referring GBHWC clinician and contract monitor.
4. Service provider will participate in Electronic Behavioral Health Record used by GBHWC.

L. Required Documentation

1. Service provider will employ record-keeping procedures which will provide an audit trail for expenditures and income received. Appropriate financial documentation for reimbursement must be submitted monthly to GBHWC. Failure to establish and retain adequate documentation of all expenditures represents a contractual breach and will result in disallowance of such expenditures.
2. All work under the contract shall be monitored by GBHWC. Program review of contract conditions of the statement of work will be conducted by GBHWC on a semi-annual basis, and more frequently as necessary. Service provider shall respond to issues of concern raised by GBHWC clinicians within fifteen (15) days of receipt. GBHWC will be responsible for conducting site visits as a means for conducting any program reviews of the contract.
3. Results of program evaluations will be made available for periodic surveys by GBHWC.
4. GBHWC is responsible for the technical direction of the contract, which includes reviewing and accepting all reports relative to consumer services, financial documentation and verification, and other reports as requested. Notwithstanding any other terms of the contract, failure of service provider to submit required reports when due or perform or deliver required work or services will result in the withholding of payments under the contract.
5. Service provider will determine the reporting format to document each consumer's financial information that describes a consumer's availability of funds from any source that may provide for housing, nourishment, and money to be spent for the consumer's needs and pleasure. This completed form will be submitted monthly as an attachment to the monthly invoices for payment of services.

M. Admission and Discharge

1. Admission/ Referrals for Admission:
All referrals for admission will come from GBHWC. A Referral Packet on a consumer referred for discharge by GBHWC Treatment Team will be sent for

service provider's review to determine eligibility. All appropriate consumers shall be accepted, or discussion of denial shall be held, with GBHWC clinical team.

2. Discharges:

Discharge Planning is a dynamic process which shall be developed with active participation of the consumer and is initiated when discharge criteria (i.e., the conditions under which services are no longer clinically necessary) is identified during the development of the Service Agreement (clinical and community services requested by the consumer). Discharge Plans shall include a written summary of the consumer's program, progress, date and reason for discharge and recommendations and referrals for further treatment and services. Discharge Plans must be completed within thirty (30) days of discharge, signed by the Program Manager, the residential social worker, the guardian (if applicable) and/or consumer, and a copy forwarded to the referring GBHWC clinical team.

- a. PLANNED – The consumer is discharged from the program according to time frames established in the service agreement with consensus of the consumer and/or his/her guardian, service provider and treatment personnel;
- b. UNPLANNED – If a consumer elopes or voluntarily leaves, service provider must make every effort to find the consumer and assist in finding appropriate alternative housing if recommended. Efforts could include (but are not limited to) contacting law enforcement and family.
- c. EMERGENCY – Service provider shall have an emergency management plan in place which covers event to include: elopement, criminal behavioral, medical and psychiatric emergencies and natural and manmade disasters. The plan shall include the mitigation, preparedness, staff orientation of the plan, response and recovery. A consumer may be removed from the program by service provider when the consumer's continued presence poses a danger to self and other consumers, staff or members of the community. Formal notice for the emergency discharge must be provided to treatment personnel and GBHWC within twenty-four (24) hours after discharge.

N. Fraud and Abuse

1. Service provider shall have internal controls and policies and procedures in place that are designed to prevent, detect, and report known or suspected fraud and abuse activities.
2. Such policies and procedures must be in accordance with local and federal regulations. Service provider shall have adequate staffing and resources to investigate unusual incidents and develop and implement corrective action plans to assist service provider in preventing and detection potential fraud and abuse activities.

O. Filing of Grievances. Consumers have a right to file a grievance if any of the staff or facilities has:

1. Violated a right provided by statute, regulation or policy.
2. Treated in an arbitrary or unreasonable manner.

3. Denied services authorized by a treatment plan due to negligence, discrimination, or other improper reasons.
4. Engaged in coercion to improperly limit your treatment choices.
5. Unreasonably failed to intervene when their rights have been jeopardized in a setting controlled by the facility.
6. Failed to treat consumers in a humane or dignified manner.

Service provider must include a procedure on how to handle complaints, remedies, hearings and other resolutions available in accordance with local and/or federal laws pertaining to developmental and cognitive/emotional disabilities.

P. Designated Point of Contact

Service provider shall have an assigned staff member who will be responsible for primary oversight of the contract. This individual will schedule meetings to discuss progress of activities and problems identified.

SECTION III.
CONTRACT TERM

A. Initial Term.

The initial term contract shall begin upon the date that the Governor approves the contract, as signified by his execution of the contract and shall end September 30, 2019.

B. Renewal Terms.

At the option of the government, and as agreed to by the Service Provider, the contract may be renewed for two (2) additional one (1) year periods (each being a "Renewal Term"). Upon expiration of the Renewal Term, this contract shall expire, unless sooner terminated.

C. Monthly Extension Periods.

At the option of the government, and as agreed to by the Service Provider, the contract may be extended after the Renewal Term on a month-to-month basis (each being a "Monthly Extension Period"), to begin immediately after the expiration of the Renewal Term, provided that in no event may the parties agree to more than six (6) Monthly Extension Periods. The Monthly Extension Periods may be agreed to by the parties only if the government is unable to continue the services under a new contract after a new solicitation and procurement is undertaken by the government.

D. The Initial Term and subsequent terms of the contract are subject to the availability of funds. The funds for the first twelve (12) months (or pro-rated fiscal year if applicable) of the Initial Term of the contract are certified as part of the execution of the contract. In the event that funds are not allocated, appropriated or otherwise made available to support continuation of performance in any period time after the first twelve (12) months (or pro-

rata fiscal year if applicable) the contract shall be cancelled; however this does not affect either GBHWC's rights or the Service Providers rights under any termination clause of the contract. GBHWC shall notify the Service Provider on a timely basis in writing that funds are, or are not available for the continuation of the contract for each succeeding period. In the event of the cancellation of this multi-term contract as provided above, the Service Provider shall be reimbursed its unamortized, reasonably incurred, nonrecurring costs.

There may be multiple certifications of funds by GBHWC during any term of the contract.

SECTION IV.
SERVICE PROVIDER'S COMPENSATION FOR SERVICES

- A. Compensation.
(Intentionally Left Blank-To Be Completed At A Future Date)
- C. Invoices and Payments

Offeror shall be compensated monthly upon the clearance of monthly invoices by GBHWC.

All compensation is subject to appropriation, allocation and availability of funds, upon completion of the services and receipt of any deliverables and a monthly invoice in the form agreed by the parties. If less than a month of service is provided, the GBHWV shall pro-rate the payment based on the number of days of service provided. Payment shall be based upon actual costs, as defined in 2 GAR Division 4 § 7101(1), submitted less disallowed costs and penalties, as applicable. Compensation based upon the aggregate of actual cost submitted may be less than the agreed upon compensation, but in no event shall it exceed the agreed upon compensation.

The invoice should reflect only those service fees incurred for the current billing period. Each invoice should also include the total amount billed from the inception of the current contract year. All invoices are subject to review and approval by the GBHWC. The acceptance and payment of any invoice shall not be deemed a waiver of any of the GBHWC's rights under the agreement.

In any reporting month there exists a discrepancy in the statistical, narrative or financial reports submitted by Offeror to GBHWC, ten percent (10%) of the invoice amount after applying any penalties or disallowed costs, shall be withheld until the discrepancy has been resolved to the satisfaction of GBHWC.

- C. Final Payment.

The GBHWC shall make final payment delivery and acceptance of all services mentioned herein specified and performed. Prior to final payment and as a condition

precedent thereto, the Service Provider shall execute and deliver to the GBHWC a release, in a form provided by the GBHWC, of claims against the GBHWC and the government of Guam arising under and by virtue of the contract. Additionally, prior to final payment and as condition precedent thereto, the Service Provider shall ensure a smooth program transition back to GBHWC or to the new service provider identified by GBHWC; and shall immediately provide the GBHWC with all program related information, files, equipment, service contributions/program income (contributions, donations, and gifts) remaining balances and all other operational and administrative and service documents and/or tangible assets.

D. Allowable Costs. (Cost Reimbursement)

The Service Provider agrees to comply with the following standards of financial management:

1. Financial Records.

The Service Provider shall provide complete, accurate, and current financial disclosures of the project or program in accordance with any financial reporting requirements, as set forth in the financial provisions.

2. Accounting Records.

The Service Provider shall continuously maintain and update records identifying the source and use of funds. The records shall contain information pertaining to the contract, authorizations, obligations, unobligated balances, assets, outlays, and income.

3. Internal Control.

The Service Provider shall maintain effective control over and accountability for all funds and assets. The Service Provider shall keep effective internal controls to ensure that all the GBHWC funds received are separately and properly allocated to the activities described in this Agreement. The Service Provider shall adequately safeguard all such property and shall ensure that it is used solely for authorized purposes.

4. Source Documentation.

The Service Provider shall support all accounting records with source documentation. These documentations include, but are not limited to, cancelled checks, paid bills, payrolls, contract and sub-grant (as applicable) contract documents, and so forth. All costs invoiced by contract in this Agreement shall be reasonable, lawful, allocable, and accounted for in accordance with generally

accepted accounting principles set forth in 2 GAR Division 4 § 7101 or in any federal assistance instrument applicable to this Agreement.

5. Reimbursable Cost Principles.

The Service Provider shall support all accounting records with source documentation. These documentations include, but are not limited to, cancelled checks, paid bills, payrolls, contract and sub-grant and/or contract documents and so forth.

6. Allowable Cost.

Total allowable cost of the contract is the sum of allowable direct costs actually incurred in the performance of the contract in accordance with the terms of the contract, plus the properly allowable indirect costs, less any applicable credits. Costs shall be allowed to the extent they are: reasonable as defined in 2 GAR Division 4 § 7101 (d); and allocable, as defined in 2 GAR Division 4 § 7101 (e) and lawful under any applicable law; and not unallowable under 2 GAR Division 4 § 7101(f). In the case of costs invoiced for reimbursement, they shall be actually incurred or accrued and accounted for in accordance with generally accepted accounting principles.

7. Applicable Credits.

Applicable credits are receipts or price reductions which reduce expenditures allocable to contracts as direct or indirect costs, as defined in 2 GAR Division 4 § 7101 (h). In the event the Service Provider receives discounts, rebates and or other applicable credits accruing to or received by the Service Provider or any subcontractor under the contract, to the extent those credits are allocable to the allowable portion of the cost billed to the GBHWC; allowable costs shall be paid to the Contactor, net of all discounts, rebates and other such applicable credits. The Service Provider shall separately identify for each cost submitted for payment to the GBHWC the amount of cost that is allowable; shall identify all unallowable costs; or the Service Provider shall exclude all unallowable costs from its billing documents and certify that only allowable costs are submitted for payment and records have been established that maintain the visibility of unallowable costs, including directly associated costs in a manner suitable for contract cost determination and verification.

The Service Provider shall identify the amount of each discount, rebate and other applicable credit on bills and invoices presented to the GBHWC for payment and individually identify the amount as a discount, rebate or in case of other applicable credits, the nature of the credit. The GBHWC may permit the Service Provider to report this information on a less frequent basis than monthly, but

no less frequently than annually. The Service Provider shall identify the method by which it shall report discounts, rebates and other applicable credits allocable to the contract that are not reported prior to conclusion of the contract.

SECTION V.

THE GOVERNMENT IS NOT LIABLE

- A. The GBHWC assumes no liability for any accident or injury that may occur to the Service Provider, his or her agents, dependents, or personal property while in route to or from worksite or during any travel mandated by the terms of this Agreement.
- B. The GBHWC shall not be liable to the Service Provider for any work performed by the Service Provider prior to the approval of this Agreement by the Governor of Guam and the Service Provider hereby expressly waives any and all claims for services performed in expectation of this Agreement prior to its approval by the Governor of Guam.

SECTION VI.

SPECIAL REPORTING REQUIREMENT FOR NON-PROFIT ORGANIZATIONS

- A. In the event that the Service Provider is a non-profit organization, the Service Provider shall comply with the reporting requirements set forth in P.L. 33-66 Chapter XIII Section 6 and this clause, or any subsequent public report requirement law(s). In the event one of the Service Provider's subcontractors is a non-profit organization, the provisions of this clause shall also be deemed to apply to the Service Provider's subcontractor, and the Service Provider is obligated to submit its non-profit subcontractor's information in the same manner and time periods.
- B. The Service Provider shall maintain accurate financial records of all monies paid to it under this Agreement. The Service Provider shall provide to the GBHWC a budgetary breakdown by object category as to all services under this Agreement. An initial proposed budgetary breakdown is part of the request for proposal, and the agreed cost proposal, budget, staffing request and are incorporated into the scope of services of this Agreement as part of Attachment A.
- C. The Service Provider shall provide to the GBHWC a quarterly report describing its activities during the reporting period and the results it achieved no later than twenty (20) days after the end of each Quarter of the fiscal year.
- D. The Service Provider shall provide prior written notification to the GBHWC of all procurement of equipment and services of Five Thousand Dollars (\$5,000.00) or more as

to its services related to this Agreement, or with regard to items to be invoices as part of the contract.

- E. The Service Provider shall provide access to duly authorized representative of the GBHWC, the Guam Public Auditor, or their authorized representatives, to any and all appropriate records for the purpose of audit and examination of books, documents, papers, and records of funds expended as part of the contract. The Service Provider shall upon written request by the GBHWC, the Guam Public Auditor or their authorized representatives provide source documentation, including but not limited to copies of checks or receipts, employee pay statement, inventory receipt, attendance records, utility bills.
- F. The Service Provider is subject to the Single Audit Rules and shall provide annually (as applicable) to GBHWC copies of its Audit Reports for all time periods covered as part of this Agreement.
- G. The Service Provider shall provide certified detailed inventory listing of each Fiscal Year's purchases under the contract to the GBHWC as well as a Fiscal Year-end report of all expenditures of funds under the contract no later than November 15, the initial year, and November 15, of the each subsequent year.
- H. In the event the Service Provider fails to timely provide any reports or items set forth in this section to the GBHWC after prior written reasonable notice by the GBHWC to the Service Provider and the Service Provider's failure to cure the contract default, the GBHWC in addition to other contractual rights and remedies under this contract, may withhold payment of Ten Percent (10%) of any amounts that are invoiced under this Agreement by the Service Provider.

SECTION VII.

GBHWC AGREES TO THE FOLLOWING

- A. To Maintain oversight of the Service Provider's performance in administering the GBHWC residential and support services for adults with persistent developmental and cognitive/emotional disabilities.
- B. The use of selected equipment as negotiated with the Service Provider when providing direct therapeutic intervention and/or activities to consumers.

SECTION VIII.

RESPONSIBILITY OF SERVICE PROVIDER

- A. The Service Provider shall be responsible for the professional and technical accuracy of all work and materials furnished under this Agreement. The Service Provider shall, without

additional cost to the GBHWC, re-do services, correct or revise all errors or deficiencies in its services, work and material identified during the term of the contract, and any applicable warranty period.

- B. The Service Provider shall devote its best efforts to the duties and responsibilities under the contract in accordance with the laws, rules, regulations and policies of the government of Guam.
- C. The GBHWC's review, approval, acceptance of, and payment of fees for services required under the contract, shall not be construed to operate as a waiver of any rights under the contract or of any cause of action arising out of the Service Provider's failure of performance, except as provided herein, and the Service Provider shall be, and remain liable, to the GBHWC for all direct costs which may be incurred by the GBHWC as result of the Service Provider's negligent performance of any of the services or work which are performed under the contract.

SECTION IX.

ACCESS TO RECORDS AND OTHER REVIEW

- A. The Service Provider, including its subcontractors, if any, shall maintain all books, documents, papers, accounting records and other evidence pertaining to costs incurred and to make such materials available at their respective offices at all reasonable times during the contract period and for three (3) years from the date of the final payment under the contract, for inspection by the GBHWC, the Public Auditor, and any applicable Federal Granting Agency, Inspector General or its delegate. Each subcontract by the Service Provider pursuant to this Agreement will include a provision containing the conditions of this Section.
- B. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the three (3) year period, the records will be kept until all issues are resolved, or until the end of the regular three (3) year period, whichever is later.
- C. Records for non-expendable property acquired in whole or in part, with funds from this contract funds will be retained for three (3) years after its final disposition.
- D. The Service Provider will provide access to any project site(s) to the GBHWC, Guam Public Auditor and in the event there are federal funds, the Federal Granting Agency or its designated Inspector General or their authorized representative. The rights of access in this section will not be limited to the required retention period but will last as long as the records are kept.

SECTION X.
OWNERSHIP OF DOCUMENTS

All briefs, memoranda and incidental to the Service Provider's work or materials furnished hereunder will be and remain the property of the GBHWC including all publication rights and copyright interests, and may be used by the GBHWC without any additional cost to the GBHWC.

SECTION XI.
INDEMNITY

The Service Provider agrees to save and hold harmless the GBHWC, its officers, agents, representatives, successors and assigns, and other governmental agencies from any and all actions, proceedings, claims, demands, costs, damage, attorney fees and all other liabilities and expense of any kind or any source which may arise out of the performance of this Agreement, caused by the negligent act or failure of the Service Provider, its officers, employees, servants, or agents, or if caused by the actions of any client of the Service Provider resulting in injury or damage to persons or property during the time when the Service Provider or any of officer, agent, employee, servant or subcontractor thereof has or is performing services pursuant to this Agreement. In the event that any action, suit or proceeding related to the services performed by the Service Provider or any officer, agent, employee, servant or subcontractor under this Agreement is brought against the Service Provider, the Service Provider will as soon as practicable but no later than two (2) days after it receives notice thereof, notify the Director of the GBHWC by certified mail.

SECTION XII.
CHANGES

The GBHWC may at any time, by written order make any change in the services to be performed hereunder. If such changes cause an increase or decrease in the costs of doing the work under this Agreement, or in the time required for this performance, an equitable adjustment will be made and this Agreement will be modified in writing accordingly.

SECTION XIII.
INSURANCE

Service Provider agrees to procure and maintain in effect Workers Compensation, Commercial General Liability, and Comprehensive General Liability Insurance coverage. The Service Provider shall provide certificates of such insurance to the GBHWC when required and will immediately report in writing to the GBHWC any insurance claims filed. The Service Provider is responsible for obtaining and maintaining the necessary coverage for the operation of this program.

- A. Workers Compensation Insurance in the form and amount required by the law or the government of Guam to cover all employees working in any capacity in executing this contract.
- B. Commercial General Liability on an “Occurrence Basis” with limits of liability not less than One Million Dollars (\$1,000,000) per occurrence and/or combined single-limit bodily injury and property damage. The Service Provider shall ensure the insurance is issued by a company authorized to do business on Guam with minimum limits of not less than One Million Dollars (\$1,000,000) for bodily injuries or death per occurrence, and not less than Three Hundred Thousand Dollars (\$300,000) for damages to property. Such policy shall insure the Government and their respective agents and employees with respect to liability as a result of the ownership, maintenance, use or operation of vehicles pursuant to the Agreement.
- C. Professional Liability Insurance in a form acceptable to the Government and with a limit of liability of not less than One Million dollars (1,000,000).

SECTION XIV.
TERMINATION

A. Termination for Defaults:

1. Default.

If the Service Provider refuses or fails to perform any of the provisions of this Agreement with such diligence as will ensure its completion within the time specified in this Agreement, or any extension thereof, otherwise fails to timely satisfy the contract provisions, or commits any other substantial breach of this Agreement, the GBHWC may notify the Service Provider in writing of the delay or non-performance and if not cured in five (5) days or any longer time specified in writing by the GBHWC, the GBHWC may terminate the Service Provider’s right to proceed with the Agreement or such part of the Agreement as to which there has been delay or a failure to properly perform. In the event of termination in whole or in part, the GBHWC may procure similar professional services in a manner and upon terms deemed appropriate by the GBHWC. The Service Provider will continue performance of this Agreement to the extent it is not terminated and will be liable for excess costs incurred in procuring similar professional services, goods or services.

2. The Service Provider’s Duties.

Notwithstanding termination of the Agreement and subject to any directions from the GBHWC, the Service Provider will take timely, reasonable, and necessary action to protect and preserve property in possession of the Service Provider in which the GBHWC has an interest.

3. Compensation.

Payment for completed professional services delivered and accepted by the GBHWC will be per Section IV Compensation for the Service Provider's services. The GBHWC may withhold from amounts due the Service Provider such sums as the GBHWC deems to be necessary to protect the GBHWC against loss because of outstanding liens or claims of former lien holders and to reimburse the GBHWC for the excess costs incurred in procuring similar professional services. The Service Provider may pursue its rights under Section XVI Mandatory Disputes clause of this Agreement, and the Guam Procurement Laws and Regulations if it disagrees with the GBHWC's decision with regard to compensation.

4. Erroneous Termination for Default.

If, after notice of termination of the Service Provider's right to proceed under the provisions of this clause, it is determined for any reason that the Service Provider was not in default under the provisions of this clause, or that the delay was excusable under the provisions of Section XXII Force Majeure of this Agreement, the rights and obligations of the parties will be the same as if the notice of termination had been issued pursuant to such clause.

5. Additional Rights and Remedies.

The rights and remedies provided in this clause are in addition to any other rights and remedies provided by law or under this Agreement.

6. Non-Profit Organization Special Reporting Requirements.

The Service Provider, if a non-profit organization subject to Section VI Special Reporting Requirements of Non-Profit Organizations (P.L. 33-66 Chapter XIII Section 6) or current fiscal year related mandate; and if the Service Provider fails to timely provide any reports or items set forth in Section VI Special Reporting Requirements for Non Profit Organizations of this Agreement; then the GBHWC pursuant to that section may after prior written reasonable notice to the Service Provider and the Service Provider's failure to cure the contract default, the GBHWC in addition to other contractual rights and remedies under this Agreement, may withhold payment of Ten Percent (10%) of any amounts that are invoiced under this Agreement by the Service Provider.

B. Termination for Convenience.

1. Termination.

The Director of the GBHWC may, when the interest of the GBHWC so requires, terminate this Agreement in whole or in part, for the convenience of the GBHWC. The Director of the GBHWC will give thirty (30) days prior written notice of the termination to the Service Provider specifying the part of the contract terminated and when termination becomes effective.

2. The Service Provider's Obligations.

The Service Provider will incur no further obligations in connection with the terminated professional services and on the date set in the notice of termination, the Service Provider will stop work to the extent specified. The Service Provider

will also terminate outstanding orders and subcontracts as they relate to the terminated professional services. The Service Provider will settle the liabilities and claims arising out of the termination of subcontracts and orders connected with the terminated professional services. The Service Provider must still complete the professional services not terminated by the notice of termination and may incur obligations as are necessary to do so.

In the event there is any deliverables and/or reports due per this Agreement, the Service Provider and the GBHWC will meet and set up the delivery dates for those items not set forth in the written notice of termination.

3. Compensation.

The Service Provider will invoice the GBHWC in keeping Section IV Compensation for Service Provider's Services for professional services performed up to the date of termination.

C. Program Transition.

In the event of the termination under this Section XIV. Termination, the Service Provider will take all steps necessary to ensure a smooth and professional transition of the program to prevent any interruption of the services to the clients and to preserve the integrity of the program. The Service Provider will immediately prepare to relinquish all program related information, files, major equipment items, service contributions, and program income (contributions, donations, and gifts) remaining balances and all other operational and administrative and service documents and/or other tangible assets or items to the GBHWC.

SECTION XV.

PRODUCT OF SERVICE-COPYRIGHT

All materials developed or acquired by the Service Provider under this Agreement will become the property of the GHWC and will be delivered to the GBHWC no later than the termination date of this Agreement. Nothing developed or produced, in whole or in part, by the Service Provider under this Agreement will be subject of an application for copyright or other claim of ownership by or on behalf of the Service Provider.

SECTION XVI.

MANDATORY DISPUTE RESOLUTION CLAUSE

In the event of a conflict between this "Mandatory Disputes Resolution Clause" and any other terms in this Agreement, it is the intent of the GBHWC and the Service Provider that the terms of this clause are to be given precedence.

- A. **Disputes - Contractual Controversies.**
The GBHWC and the Service Provider agree to attempt resolution of all controversies which arise under, or are by virtue of, this Agreement through mutual agreement. If the controversy is not resolved by mutual agreement, then the Service Provider will request the Director of GBHWC or his designee, in writing to issue a final decision within sixty days after receipt of the written request in keeping with 5 GCA § 5427 (c). The Director of GBHWC or their designee will immediately furnish a copy of the decision to the Service Provider, by certified mail with a return receipt requested, or by any other method that provides evidence of receipt.
- B. **Absence of a Written Decision within Sixty Days.**
If the Director of GBHWC, or his designee does not issue a written decision within sixty days after written request for a final decision, or within such longer period as may be agreed upon by the parties, then the Service Provider may proceed as though the Director of the GBHWC, or his designee had issued a decision adverse to the Service Provider.
- C. **Appeals to the Office of Public Accountability.**
The Director of the GBHWC, or his designee's decision will be final and conclusive, unless fraudulent or unless the Service Provider appeals the decision administratively to the Public Auditor in accordance with 5 GCA § 5706.
- D. **Disputes – Money Owed To or By the Government of Guam.**
This subsection applies to appeals of the GBHWC's decision on a dispute. For money owed by or to the government of under this Agreement, the Service Provider will appeal the decision in accordance with the "Government Claims Act", 5 GCA § 6101 et. seq., by initially filing a claim with the Office of the Attorney General no later than eighteen (18) months after the decision is rendered by the government of Guam or from the date when a decision should have been rendered. For all other claims by or against the GBHWC under this Agreement, the Office of the Public Auditor has jurisdiction over the appeal from the decision of the GBHWC. Appeals to the Office of the Public Auditor will be made within sixty (60) days of the GBHWC's decision or from the date the decision should have been made.
- E. **Exhaustion of Administrative Remedies.**
The Service Provider will exhaust all administrative remedies before filing an action in the Superior Court of Guam in accordance with applicable laws.
- F. **Performance of Contract Pending Final Resolution by the Court.**
The Service Provider will comply with the GBHWC's decision and proceed diligently with performance of this Agreement pending final resolution by the Superior Court of Guam of any controversy arising under, or by virtue of, this Agreement, except where the Service

Provider claims a material breach of this contract by the GBHWC. However, if the Director of the GBHWC determines in writing that continuation of services under this Agreement is essential to the public's health or safety, then the Service Provider will proceed diligently with performance of the contract notwithstanding any claim of material breach by the GBHWC.

SECTION XVII.
MANDATORY REPRESENTATIONS BY SERVICE PROVIDER

A. Ethical Standards.

With respect to this procurement and any other contract that the Service Provider may have, or wish to enter into, with the GBHWC, the Service Provider represents that it has not knowingly influenced, and promises that it will not knowingly influence, any government employee to breach any of the ethical standards set forth in the Guam Procurement Law and in any of the Guam Procurement Regulations.

B. Prohibition Against Gratuities and Kickbacks.

With respect to this procurement and any other contract that the Service Provider may have or wish to enter into with the GBHWC, the Service Provider represents that he/she/it has not violated, is not violating, and promises that he/she/it will not violate the prohibition against gratuities and kickbacks set forth in the Guam Procurement Regulations.

C. Prohibition Against Contingent Fees.

The Service Provider represents that he has not retained any person or agency upon an agreement or understanding for a percentage, commission, brokerage, or other contingent arrangement, except for retention of bona fide employees or bona fide established commercial selling agencies, to solicit or secure this contract or any other contract with the government of Guam.

D. Prohibition of Employment of Sex Offenders.

Pursuant to 5 G.C.A. § 5253: No person convicted of a sex offense under the provisions of 9 GCA Chapter 25, or an offense as defined in GCA Chapter 28 Article 28, on Guam, or an offense in any jurisdiction which includes, at a minimum, all of the elements of said offenses, or who is listed on the Sex Offender Registry, and who is employed by a business contracted to perform services for an agency or instrumentality of the government of Guam, will work for his employer on the property of the government of Guam other than a public highway;

The Service Provider warrants (1) that no person providing services on behalf of the Service Provider has been convicted of a sex offense as set forth in the preceding subsection; and (2) that if any person providing services on behalf of the Service Provider

is convicted of a sex offense under the provisions of 9 GCA Chapter 25 or 9 GCA Chapter 28 Article 2, or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry, that such person will be immediately removed from working at said agency and that the administrator of said agency be informed of such within twenty-four (24) hours of such conviction.

For the purposes of this “Prohibition of Employment of Sex Offenders Clause” in the event the Service Provider is providing services that involve direct contact with the GBHWC consumers, customers or potential eligible receivers of the GBHWC community behavioral health wellness services all locations where there is contact with those individuals is considered for purposes of this clause in this contract “property of the government of Guam”.

E. Wage and Benefit Compliance – Service Providers Providing Services.

The Service Provider will comply with 5 GCA § 5801 et. seq., and with regard to all persons it employs whose purpose in whole or in part is the direct delivery of services contracted for with the GBHWC in this procurement, will pay such employees in accordance with the Wage Determination for Guam and the Northern Marianas Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct deliverance of deliverables to the government of Guam. The Service Provider will be responsible for flowing down this obligation to its subcontractors.

The Wage Determination most recently issued by the U.S. Department of Labor at the time this contract is awarded to the Service Provider will be used to determine wages and benefits which will be paid to employees pursuant to this clause.

The Wage Determination promulgated by the U.S Department of Labor on a date most recent to the renewal date will apply to any renewal terms of this agreement.

The Service Provider agrees that in addition to the Wage Determination detailed above, health and similar benefits for employees having a minimum value as detailed in the Wage Determination issued and promulgated by the U.S. Department of Labor will apply. The Service Provider will pay a minimum of ten (10) paid holidays per annum per employee.

The Service Provider will flow the Wage and Benefit Compliance clauses above through to any of its subcontractor under this agreement.

The Service Provider agrees that any violation of the Service Provider’s obligations or its subcontractors obligations as set forth in this Section “Wage and Benefit Compliance Service Providers Providing Service’s Clause” will be investigated by the Guam Department of Labor and may include a monetary penalty assessment by the Guam

Department of Labor of no less than One Hundred Dollars (\$100.00) per day, and no more than One Thousand Dollars (\$1,000.00) per day, until such time as a violation has been corrected, as well as the payment of all back wages and benefits due.

In addition to any and all other breach of contract actions the GBHWC may have under this procurement, in the event there is a violation in the process set forth in the preceding subsection, the Service Provider may be placed on probationary status by the Director of GBHWC, for a period of one (1) year. During the probationary status, the Service Provider will not be awarded any contract by any instrumentality of the government of Guam. The Service Provider if it is placed on probationary status, or has been assessed a monetary penalty pursuant to this “Wage and Benefit Compliance Service Providers Providing Services Clause” may appeal such penalty or probationary status to the Superior Court of Guam as set forth in 5 GCA § 5804.

The Service Provider’s Declaration of Compliance with Wage Determination with the attached most recent Wage Determination for Guam and the Northern Marianas Islands issued and promulgated by the U.S. Department of Labor is applicable to this contract.

The Service Provider agrees to provide upon written request by the GBHWC written certification of its compliance with its obligations under this “Wage and Benefit Compliance Service Providers Providing Services Clause” as part of each invoice, along with the names of any employees, their positions, and detailed wage and benefits paid in keeping with this section. Additionally upon request by the GBHWC, the Service Provider will submit source documents as to those individuals that provide direct services in part or whole under this contract and its payments to them of such wages and benefits.

F. Privacy Rights.

The Service Provider shall comply with all Federal and Guam laws and regulations as to the privacy rights of individuals and as to any records and information of individuals providing services under this contract, including but not limited to the following:

1. Health Insurance Portability and Accountability (HIPA)
The Service Provider will comply with the Health Insurance Portability and Accountability Act (HIPAA of 1996, P.L. 104-1991) and the Federal “Standards for Privacy of Individually Identifiable “Health Information” promulgated under 45 CFR Part 160 and Part 164, Subparts A and E.
2. The Service Provider will ensure information obtained directly or indirectly from a recipient client under this contract will be kept confidential and not released in a form that identifies the person without informed consent of the person, or of his or her legal representative, unless the disclosure is required by court order or for program monitoring by authorized Federal, or Guam monitoring agencies. (Ref. 45 CFR 1321.51 and 42 CFR Part II). Privacy Rule Standards for Privacy of

Individually Identifiable Health Information, Ref. 45 CFR Part 160 and Part 164, Subparts A and E.

G. Confidentiality.

Any information provided to or developed by the Service Provider in the performance of this Agreement will be kept confidential and will not be made available to any individual or organization by the Service Provider without the prior written approval of the GBHWC.

H. Technology Access For Blind or Visually Impaired.

The Service Provider acknowledges that no government funds may be expended for the purchase of information technology equipment and software for use by employees, program participants, or members of the public unless it provides blind or visually impaired individuals with access, including interactive use of equipment and services, that is equivalent to that provided to individuals who are not blind or visually impaired.

I. Equal Opportunity Nondiscrimination

GBHWC is an equal opportunity provider and employer and strictly adheres to a policy on nondiscrimination activities in compliance with all applicable Federal and Guam laws in its labor practices and carries out all government programs in such a manner that no person shall on the grounds of race, religion, color, sex, including sexual harassment and orientation, national original, age, physical or mental disability, marital status or political affiliation and retaliation be excluded from participating , be denied the benefits of, or be subject to discrimination with respect to any program or activities. See Title VI of the Civil Rights Act of 1964 as amended, and Presidential Executive Order 11246, as amended and other relevant Federal and Territorial requirements, and Governor Guam Executive Order 2006-16.

Service Provider shall assure that no person shall on the grounds of race, religion, color, sex including sexual harassment and orientation, national original, age, physical or mental disability, marital status or political affiliation and retaliation be excluded from participation in, be denied benefit of, or otherwise be subjected to discrimination under any program or activity under this Agreement.

Additionally, in keeping with Section II(4) of Governor oif Guam E.O. 2006-16, Service Provider shall meet the following contractual requirements:

1. In the event it is receiving ten thousand dollars (\$10,000) or have more than fifty (50) or more employees, it shall develop an equal opportunity affirmative action plan, using standard guidelines established by the Guam Department of Labor, within sixty (60) days after the Effective Date of this contract. Furthermore, within ninety (90) days of the award and annually thereafter for the duration of the contract, Service Provider under this section shall submit affirmative action reports to the Guam Department of Labor.
2. In the event it is receiving less than ten thousand dollars (\$10,000) or has less than fifty (50) employees, it shall not be required to develop an equal opportunity affirmative action plan, except, however, Service Provider shall be strictly prohibited from discrimination on the basis of race, religion, color, sex, including

sexual harassment and orientation, national origin, age, physical or mental disability, marital status or political affiliation and retaliation.

3. Service Provider shall flow through the requirement in this Section V Equal Opportunity Nondiscrimination to its subcontractors.

Service Provider shall comply with all Federal and Guam laws and regulations including the Guam Department of Labor laws and regulations and (new) P.L. 33-64 Guam Employment Nondiscrimination in Employment Act of 2015 codified as 22 GCA Chapter 5 Article 2, which additionally includes as unlawful employment practice or unlawful discrimination grounds race, sex (including gender identity or expression), age, religion, color, honorably discharged veteran and military status, sexual orientation, or ancestry. The definitions for “sexual orientation”, “gender identity or expression” and “veteran and military status” as set forth in 22 GCA §5202(h), (i) and (j). A Service Provider that is a “religious employer” in keeping with P.L. 33-64 §5(a) is exempt from the religious discrimination provisions of Title VII of the Civil Rights Act of 1964 as set forth in §5 in more detail. In the event Service Provider is part of Government of Guam (new) P.L. 33-64 is codified at 4 GCA Chapter 4, §4101(a) as amended.

If Service Provider is found not to be in compliance with the requirements in this Section V Equal Opportunity Nondiscrimination during the life of this Agreement, the Service Provider agrees to make appropriate steps to correct these deficiencies.

- J. Records Discrimination Against Status Offenders Prohibited.

The Service Provider acknowledges that no private entity that receives government of Guam funding, either local or federal funds, for any of its programs may, solely on the basis of conviction of a status offense, discriminate against any person who would otherwise be eligible. P.L. 30-168 (effective 7/16/10) codified at § 20120 of Article 1, Chapter 20 of Title 19, Guam Code Annotated.

- K. Restricting the Use of Mobile Phones While Driving a Vehicle, and Providing for the Public Education Requirements Regarding Such Restrictions.

The Service Provider will ensure compliance with relative to the restrictions on the use of mobile phones while driving. P.L. 31-194

- L. Drug and Smoke-Free Workplace.

The Service Provider will ensure compliance with Federal and local drug and smoke-free workplace laws and requirements. [Federal Drug-Free Workplace Act of 1988, the Governor’s Circular No. 89-26 (Governor’s Policy Statement Establishing a Drug-Free Workplace) and Clean Indoor Air Act of 1992, P.L. 21-139, Title 10 GCA, Chapter 90].

- M. Social Security Number Confidentiality Act.

The Service Provider will ensure compliance relative to preventing the inappropriate disclosure and misappropriation of social security numbers. P.L. 28-95, Article 7, Chapter 32, Title 5, Guam Code Annotated.

- N. Employment of Individuals with Serious Disabilities; P.L. 26-109 Section 2, §41210(b), Article 2, Chapter 41, Division 5, Title 17 of the Guam Code Annotated.

The Service Provider will comply with the provision of this mandate with emphasis on the employment of two percent (2%) of its workforce with serious disabilities in coordination with the Division of Vocational Rehabilitation Administrator, Department of Integrated Services for Individuals with a Disability (DISID) for placement. In the event the Service Provider is unable to employ due to the lack of individuals with disabilities who are able to work, the Service Provider will utilize funds for the purchase of supplies produced by non-profit organizations employing individuals with disabilities. Efforts to comply with this specification will be documented by the Service Provider and is subject to review and inspection by the GBHWC.

SECTION XVIII.
ASSIGNMENT, SUCCESSORS AND ASSIGNS

Neither party may assign or otherwise transfer this Agreement or any of the rights that it grants without the prior written consent of the party. Any purported assignment in violation of the preceding sentence will be void and of no effect. This contract will be binding upon the parties' respective successors and permitted assigns.

SECTION XIX.
SUBCONTRACTING

The Service Provider will not subcontract any portion of the services to be performed under this Agreement without the prior written approval of the GBHWC.

SECTION XX.
STATUS OF SERVICE PROVIDER

The Service Provider and its agents and employees are independent contractors performing professional services for the GBHWC and are not employees of the GBHWC. The Service Provider and its agents and employees will not accrue leave, retirement, insurance, bonding, use of the GBHWC vehicles, or any other benefit afforded to employees of the GBHWC as a result of this Agreement. The Service Provider acknowledges that all sums received hereunder are reportable by the Service Provider for tax purposes, including without limitation, self-employment and business income tax. The Service Provider agrees not to purport to bind the GBHWC unless the Service Provider has express written authority to do so, and then only within the strict limits of that authority.

SECTION XXI.
GENERAL COMPLIANCE WITH LAWS

The professional services, deliverables and materials under this Agreement will comply with all applicable Federal and Guam laws and regulations. The Service Provider will maintain all licenses and permits during all times pertinent to this Agreement. The Service Provider is responsible for payment of all taxes under this Agreement. In the event the contract sets forth key personnel positions of stated experiences and training, the Service Provider agrees to maintain those individuals and or positions at all times pertinent to the contract.

SECTION XXII.
FORCE MAJEURE

The Service Provider and/or the GBHWC (other than its payment obligation) will be excused from performance under this Agreement for any period that the Service Provider or the GBHWC is prevented from performing any services in whole or in part as a result of acts of God, typhoons, earthquakes, floods, epidemics, fire, quarantine restrictions, strikes, freight embargoes, unusually serious weather, or any other event, matter or thing, wherever occurring, which will not be within the reasonable control of the party invoking the section (each of the foregoing deemed a "Force Majeure"), provided that the Service Provider or the GBHWC have prudently and promptly acted to take any and all reasonably necessary preventive and/or corrective steps that are within the Service Provider's or the GBHWC's control to ensure that the Service Provider or the GBHWC can promptly perform. Such non-performance (collectively, a Force Majeure Event) will not be deemed a breach of the Agreement. This clause will not relieve the Service Provider of responsibility for developing and implementing all prudent contingency and disaster rehabilitative measures. Subcontractor interruptions will not be considered a Force Majeure Event unless agreed upon by both parties. The party delayed by a Force Majeure Event will immediately notify the other party by telephone (to be confirmed in writing, via hand delivery return receipt, within FIVE (5) days of the inception of such delay) of the occurrence of a Force Majeure Event and describe in reasonable detail the nature of the Force Majeure Event, all preventive and corrective steps taken, how it affects performance, and the anticipated duration of the inability to perform, and will resume performance of its obligations as soon as possible after the Force Majeure condition no longer exists. The parties will meet to discuss and determine a revised timetable for completion of any Services delayed by a Force Majeure Event under this Agreement.

SECTION XXIII.
SEVERABILITY

The provisions of the contract will be deemed severable, and the unenforceability of any one or more provisions will not affect the enforceability of any other provisions. In addition, if any provision of this contract is declared unenforceable, the parties will substitute an enforceable provision that to the maximum extent possible in accordance with applicable law, preserves the original intentions and economic positions of the parties.

SECTION XXIV.
ENFORCEMENT OF AGREEMENT

A party's failure to require strict performance of any provision of this Agreement will not waive or diminish that party's right thereafter to demand strict compliance with that or any other provision. No waiver by a party of any of that party's rights under this Agreement will be effective to waive any other rights.

SECTION XXV.
NO WAIVER

No failure or delay by either party in exercising any right, power or remedy will operate at a waiver of such right, power or remedy, and no waiver will be effective unless it is in writing and signed by the waiving party. If either party waives any right, power or remedy, such waiver will not waive any successive or other right, power or remedy the party may have under this contract.

SECTION XXVI.
APPLICABLE LAW

The laws of Guam will govern this Agreement, without giving effect to its choice of laws provisions. Venue will be proper only in a Guam court of competent jurisdiction. By execution of this Agreement, the Service Provider acknowledges and agrees to the jurisdiction of the courts of Guam over any and all lawsuits arising under or out of any term of this Agreement.

SECTION XXVII.
AMENDMENT

This Agreement will not be altered, changed or amended except by instrument in writing executed by the parties.

SECTION XXVIII.
MERGER

This Agreement incorporates all the agreements, covenants and understandings between the parties hereto concerning the subject matter hereof, and all such covenants, agreements and understandings have been merged into this written agreement. No prior agreement or understanding, oral or otherwise, of the parties, or their agents will be valid or enforceable unless embodied in this this Agreement.

SECTION XXIX.
INCORPORATION AND ORDER OF PRECEDENCE

The request for proposal and the Service Provider's proposal are incorporated by reference into this Agreement and are made part of this Agreement. In the event of any conflict among these documents, the following order or precedence will apply:

1. Any contract amendment(s), in reverse chronological order.
2. This Agreement itself
3. The Request for Proposal.
4. The Service Provider's Best and Final Offer(s), in reverse chronological order.
5. The Service Provider's proposal.

SECTION XXX.

PATENT, COPYRIGHT, TRADEMARK AND TRADE SECRET INDEMNIFICATION

- A. The Service Provider will defend at its own expense, the government of Guam and its agencies against any claim that any product or service provided under this Agreement infringes any patent, copyright or trademark in the United States or Guam, and will pay all costs, damages and attorneys' fees that a court finally awards as a result of any such claim. In addition, if any third party obtains a judgment against a procuring agency based upon the Service Provider's trade secret infringement relating to any product or service provide under this Agreement, the Service Provider agrees to reimburse the government of Guam for all costs, attorneys' fees and the amount of the judgment. To qualify for such a defense and/or payment, the government of Guam will:
1. Give the Service Provider prompt written notice of any claim.
 2. Allow the Service Provider to control the defense or the settlement of the claim.
 3. Cooperate with the Service Provider in a reasonable way to facilitate the defense or settlement of the claim.
- B. If any product or service becomes, or in the Service Provider's opinion is likely to become the subject of a claim of infringement, the Service Provider will at its option and expense:
1. Provide a procuring agency the right to continue to using the product or service.
 2. Replace or modify the product or service so that it becomes non-infringing.
 3. Accept the return of the product or service, less the unpaid portion of the purchase price any other amounts due the Service Provider. The Service Provider's obligations will be void as to any product or service modified by the procuring agency to the extent such modification is the cause of the claim.

SECTION XXXI.

APPROVAL OF SERVICE PROVIDER PERSONNEL

Personnel proposed in the Service Provider's written proposal to the GBHWC are considered material to any services or work performed under this Agreement. No changes in personnel will be made by the Service Provider without the prior written consent of the GBHWC. Replacement of any of the Service Provider's personnel, if approved will be with equal ability, experience and qualifications. The Service Provider will be responsible for any expenses incurred in familiarizing the replacement personnel to insure their being productive to the project or

program immediately upon receiving assignments. Approval of replacement personnel will not be unreasonably withheld. The GBHWC will retain the right to request the removal of any of the Service Provider's personnel at any time. A penalty of ten percent (10%) of the monthly invoice amount will be imposed for every month the Service Provider does not have the staff.

SECTION XXXII.

SURVIVAL

The sections titled Indemnification and Patent, Copyright, Trademark and Trade Secret Indemnification will survive the expiration of this Agreement. Software licenses, leases, maintenance and other unexpired agreements that were entered into under the terms and conditions of this Agreement will survive this Agreement.

ANNUAL COST PROPOSAL RFP 04-2018

FORM F

Offeror: _____

Page 1 of 2

The cost/budget amount is the same for each year of the contract.

Category	Hourly Rate (for A & B)	Year One	Year Two	Year Three
A. Personnel				
(Attach Staffing Pattern)		\$	\$	
		\$	\$	
Total Personnel		\$	\$	
B. Benefits		\$	\$	
		\$	\$	
Total Benefits		\$	\$	
C. Travel				
		\$	\$	
Total Travel		\$	\$	
D. Supplies, Equipment, and Other				
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
Total Supplies, Equipment, and Other		\$	\$	
E. Contractual				
		\$	\$	
		\$	\$	
		\$	\$	
		\$	\$	
Total Contractual		\$	\$	
TOTAL PROPOSED BUDGET		\$	\$	

Cost Proposal Submitted by:

Page 2 of 2 (RFP 04-2018)

Name: _____

Title: _____

Date: _____

Offer Amount _____

(Same Amount for each contract year)

Cost Proposal Declined _____
(Reason)

Cost Proposal Accepted

Negotiated Terms: _____

Accepted and agreed as negotiated by:

GBHWC:

Offeror:

By: _____ By: _____
(Signature & Date) (Signature & Date)

Name: _____ Name: _____

Title: _____ Title: _____

Recommended to approve by:

Panel Chairperson: _____
Name: Signature Date

GBHWC DIRECTOR'S APPROVAL

Offer is accepted and terms negotiated approved: _____
Director Date