



**GUAM BEHAVIORAL  
HEALTH AND WELLNESS  
CENTER**

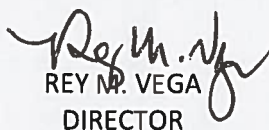
**PUBLIC NOTICE**

**REQUEST FOR PROPOSAL  
GUAM NON-PROFIT PROFESSIONAL SERVICES PROVIDING  
REHABILITATION SUBSTANCE TREATMENT SERVICES  
FOR ADOLESCENT MALES AND FEMALES  
DMHSA RFP NO. 05-2013**

Formerly Department of Mental Health and Substance Abuse, the Guam Behavioral Health and Wellness Center (GBHWC) is soliciting proposals for Guam Non-Profit Professional Services providing Rehabilitation Substance Treatment Services for Adolescent Males and Females, funded by federal and local funds (SAMHSA Substance Abuse Prevention & Treatment Block Grant, CFDA No. 93.959 and 10 GCA Section 86109). GBHWC identified evidence-based models and best practices must be utilized to provide such services.

Request for Proposal (RFP) packages are available for public inspection at [www.dmhsa.guam.gov](http://www.dmhsa.guam.gov) or a hard copy is available for pickup at the Director's Office, located on the First Floor, 790 Governor Carlos G. Camacho Road, Tamuning, Guam during the weekdays except holidays between 9:00 A.M. to 4:00 P.M. All interested parties must register with the Director's Office in order to receive any amendment or relative correspondence regarding the RFP. DEADLINE FOR RECEIPT OF PROPOSALS IS NO LATER THAN 12 NOON, JULY 30, 2013.

GBHWC shall have the right to reject all proposals or offers that have been submitted in response to this RFP, and/or may cancel this RFP at any time if the Director determines such to be in the interest of GBHWC or if allowed by law or regulation. For more information, call Don Sabang, Point of Contact, at (671) 475-5439 or the Director's Office at (671) 647-1901.

  
REY M. VEGA  
DIRECTOR



**GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER**  
(Formerly Department of Mental Health and Substance Abuse)  
**Division of Clinical Services, Drug and Alcohol Branch**  
**New Beginnings**

## **Request for Proposals**

**DMHSA RFP 05-2013**

**Guam Non-Profit Professional Services Providing  
Rehabilitation Substance Treatment Services  
For Adolescent Males and Females  
(Substance Abuse Prevention & Treatment Block Grant,  
CFDA Number 93.959, 10 GCA § 86109)**

ISSUED JULY 15, 2013

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Issued By:

Proposal No.:

**GUAM BEHAVIORAL HEALTH AND WELLNESS CENTER**

**DMHSA RFP 05-2013**

Location of Operations:

Division of Agency:

790 Governor Carlos G. Camacho Road  
Tamuning, Guam 96913

Division of Clinical Services, Drug  
and Alcohol Branch, New Beginnings

**I. GENERAL INFORMATION:**

**OVERVIEW:** Formerly GBHWC (GBHWC), Guam Behavioral Health and Wellness Center (hereinafter known as GBHWC) is requesting Guam non-profit professional services providing rehabilitation substance treatment services for adolescent males and females, federally funded (Substance Abuse Prevention & Treatment Block Grant, CFDA No. 93.959, 10 GCA Section 86109).

Organizations interested in bidding for this project may submit proposals that are directed towards adolescent males and females. Offerors must be experienced with level III.5 Residential (24hrs) services as specified by the American Society of Addiction Medicine (ASAM) Patient Placement Criteria, 2<sup>nd</sup> Revision. This project puts into action GBHWC's Strategic Planning goals to build capacity in the community for substance abuse services, and provide consumer-centered and family-centered services that are culturally compatible with the island community. In addition, it is consistent in carrying-out GBHWC's mandate to provide alcohol and drug programs and services for the people of Guam and to encourage the development of privately-funded community based programs to carry-out such programs and services (**10 GCA §86101**). Finally, it complies with planning, carrying-out, and evaluating activities to prevent and treat substance abuse (**45 CFR Part 96**), as funded by the Substance Abuse Prevention and Treatment (SAPT) Block Grant.

**BACKGROUND:** GBHWC is Guam's Single State Authority (SSA) for mental health and substance abuse services, and is mandated by local law (**10 GCA §86101 & §86109; added by P.L. 17-21**) to provide substance abuse inpatient and outpatient services, as well as to support community or faith-based organizations through partnering to provide such services. GBHWC is thereby specifically mandated to create a Guam Drug and Alcohol Detoxification, Rehabilitation, and Prevention Grant Program **"for the purpose of providing annual grants to local non-profit organizations providing drug and alcohol detoxification, rehabilitation, and prevention services"** (**10 GCA §86109**).

One of the primary objectives of the Drug and Alcohol Branch of GBHWC is to increase treatment capacity through collaboration and leveraging of resources with community providers

and to address gaps in services identified by Guam and its stakeholders. GBHWC has adopted the following national goals for Substance Abuse Treatment Capacity:

- Increase the number of treatment programs using evidence-based practices.
- Increase the percentage of people with substance abuse problems who receive treatment.
- Improve treatment outcomes for people receiving services.
- Make cultural adaptations to evidence-based models and practices for optimal use.

The GBHWC Drug and Alcohol Branch currently provides and supports an array of comprehensive outpatient and residential drug and alcohol treatment programs and services for adolescents and adults. The Branch chose the American Society of Addiction Medicine Patient Placement Model-2R (ASAM PPC-2R) as the primary reference guide source for Guam's substance treatment Continuum of Care. The Patient Placement Criteria (PPC) are guidelines (see: <http://www.asam.org/publications/patient-placement-criteria>; incorporated herein as if fully rewritten) developed by the American Society of Addiction Medicine (ASAM) that can be accurately used to assess the severity of patients' problems, so that they can be admitted to the most appropriate level of care (admission criteria), remain in that level of care (continuing care criteria) and be discharged from that level of care (discharge criteria).

The Branch currently provides Level 0.5 Early Intervention Services/Drug and Alcohol Education, Level I Outpatient, and Level II.1 Intensive Outpatient (ASAM Patient Placement 2<sup>nd</sup> Revision Model); other community and faith-based organizations provide Residential ASAM Level III.5 and III.2-D Treatment. The Guam Memorial Hospital provides Level IV for consumers needing (acute care) medical detoxification. This allows consumers to move from one level of care to another, depending on their intensity of services needed and as determined by a biopsychosocial assessment (based on six patient problem areas: ASAM dimension model).

In an effort to address the current gaps in service for residential rehabilitation services for adolescent males and females, GBHWC has adopted a proactive approach by working with the various community stakeholders. Collaborative efforts through mediums like the GBHWC Strategic Public Planning Meetings, Community Substance Abuse Planning and Development Group (CSAPD) monthly meetings, and the Guam Homeless Coalition, have provided opportunities to bring the community partners together.

Therefore, GBHWC is committed and in support of providing expanded treatment capacity for rehabilitation services in Guam. The service proposals must meet the criteria for services as defined in the scope of work to increase treatment capacity of the various treatment modalities needed for the community of Guam. When the capacities of these levels of care are increased, the number of consumers currently on the wait-list for services is expected to reduce significantly, while providing more timely treatment, fulfilling a national recommendation from the Center of Substance Abuse Treatment (CSAT), Substance Abuse Mental Health Services Administration (SAMHSA), U.S. Department of Health & Human Services, a block grant funder for Guam.

### **ALL PARTIES TO ACT IN GOOD FAITH**

This Request for Proposal (RFP) solicitation is issued subject to all the provisions of the Guam Procurement Law and the Guam Procurement Regulations (copies are available for inspection at General Services Agency). This RFP requires all parties involved in the preparation

of the RFP, the evaluation and negotiation of proposals, and the performance or administration of contracts to act in good faith.

### **LIABILITY FOR COSTS TO PREPARE PROPOSAL**

The GBHWC is not liable for any costs incurred by any offeror in connection with the preparation of its proposal. By submitting a proposal, the offeror expressly waives any right it may have against the government for any expenses incurred in connection with the preparation of its proposal.

### **APPLICABILITY OF GUAM PROCUREMENT LAW**

All agencies of the Government of Guam are required to follow the Guam Procurement Law when using public funds for procurement of “supplies or services” pursuant to 5 GCA Chapter 5 and 2 GAR Division 4. The statutes are available online at the [www.guamcourts.org/compileroflaws/GCA/05gca](http://www.guamcourts.org/compileroflaws/GCA/05gca). This RFP is issued by Guam Behavioral Health and Wellness Center, a department of government of Guam, and authorized by the Guam Procurement Law to act as the purchasing agency for the purpose of procuring professional services described in Section II, Scope of Work. Any party who submits a proposal is known as “offeror”.

### **LICENSING**

License to conduct business in the Guam (See Attachment, **Form C**)

An offeror who has not complied with the Guam Licensing Law is cautioned that GBHWC will not consider for award any Proposal Offer submitted. Specific information on licenses may be obtained from the Director of the Department of Revenue and Taxation, by telephone at (671) 635-1829 or by mail at P.O. Box 23607, GMF, Guam 96921 or online at: <http://ns.gov.gu/government> or [www.admin.gov.gu/revtax](http://www.admin.gov.gu/revtax).

### **REGISTRATION REQUIRED TO BE A POTENTIAL OFFEROR**

All parties who receive an RFP, either via the website or email and who are possibly interested in submitting a proposal must register as an interested party by filling out the “Acknowledgment of Receipt of RFP/ or Registration Card form and delivering it GBHWC. Only companies who have registered with GBHWC are assured of receiving any amendments to the RFP, responses to inquiries and other procurement documentation. Acknowledgment of receipt to all amendments and responses to inquiries is required as part of any proposal, and only registered offerors will be considered as “potential offerors”. GBHWC maintains a procurement registration log as to those potential offerors who pick up copies of the RFP at GBHWC. In the event the contact information for a potential offeror changes during the procurement process, it shall be the potential offeror/or offeror’s responsibility to update their registration contact information with GBHWC.

### **DEBARMENT, SUSPENSION AND INELIGIBILITY**

All debarment or suspensions of persons are deferred from consideration for award of contracts imposed by the Chief Procurement Officer, the Director of Public Works, or the head of



a Purchasing Agency. (2 GAR Div 4 Sec 9102) Only offerors who are not suspended by local and federal government(s) are qualified to submit proposals.

### **PROHIBITION AGAINST EMPLOYMENT OF SEX OFFENDERS**

The offeror who is awarded the contract warrants that no person in its employment has been convicted of a sex offense under the provisions of Chapter 25 of Title 9 of the Guam Code Annotated, or of an offense defined in Article 2 of Chapter 28 of Title 9 of the Guam Code Annotated, or who has been convicted of an offense with the same elements as heretofore defined in any other jurisdiction, or who is listed on the Sex Offender Registry shall provide services on behalf of the contractor while on government of Guam property, with the exception of public highways. If any employee of the Contractor is providing services on government property and is convicted subsequent to an award of a contract, then the Contractor warrants that it will notify the Government of the conviction within twenty-four hours of the conviction, and will remove immediately such convicted persons from providing services on government property. If the Contractor is found to be in violation of any of the provisions of this paragraph, then the Government will give notice to the Contractor to take corrective action. The Contractor shall take corrective action within twenty-four hours of notice from the Government, and the Contractor shall notify the Government when action has been taken. If the Contractor fails to take corrective steps within twenty-four hours of notice from the Government, then the Government in its sole discretion may suspend temporarily any contract for services until corrective action has been taken.

### **AFFIDAVIT RE DISCLOSING OWNERSHIP AND COMMISSIONS**

The offeror shall submit an affidavit and represent its list of names and addresses of any person holding more than ten percent (10%) of the outstanding interest or shares in said partnership, sole proprietorship or corporation at any time during the twelve (12) month period immediately preceding submission of proposal. The affidavit shall contain the number of shares or the percentage of assets of such partnership, sole partnership or corporation which have held by each person during the twelve (12) month period. In addition, the affidavit shall contain the name and address of any person who has received or is entitled to receive a commission, gratuity or other compensation for procuring or assisting in obtaining business related to the bid for the bidder and shall also contain the amounts of any such commission, gratuity or other compensation. The affidavit shall be open and available to the public for inspection and copying. (5 GCA §5233) (**AG Procurement Form 002**).

### **AFFIDAVIT RE NON-COLLUSION**

The offeror shall submit an affidavit and represent that it certifies that the price submitted was independently arrived without collusion and has not intentionally committed anticompetitive practices (2 GAR §3126.b)(**AG Procurement Form 003**).

### **AFFIDAVIT RE GRATUITIES OR KICKBACKS**

The offeror shall submit an affidavit that it has not violated, is not violating, and promises that it will not violate the prohibition against gratuities and kickbacks set forth in 2 GAR Div 4 Sec



11107 Gratuities and Kickbacks of the Guam Procurement Regulations.” **(AG Procurement Form 004)**

#### **AFFIDAVIT RE ETHICAL STANDARDS**

The offeror shall submit an affidavit and represent that it has not knowingly influenced and promises that it will not knowingly influence a government employee to breach any of the ethical standards set forth in 5 GCA Chapter 5 Article 11 (Ethics in Public Contracting) of the Guam Procurement Regulations. **(AG Procurement Form 005)**

#### **DECLARATION RE COMPLIANCE WITH U.S. DEPARTMENT OF LABOR (DOL) WAGE DETERMINATION**

The offeror shall submit an affidavit and represent that it will pay its employees and ensure its subcontractors pay its employees in full compliance with all applicable federal and local wage rules and regulations, 5 GCA §5801 & §5802 Wage Determinations. The most recently issued wage determination at the time a contract is awarded applies to the Agreement. **(AG Procurement Form 006).**

#### **AFFIDAVIT RE CONTINGENT FEES**

The offeror shall submit an affidavit and represent that it has not retained any person or agency on a percentage, commission, or other contingent arrangement to secure this contract and represents that it is not in violation of 2 GAR Div 4 Sec 11108(a)(1) as failure to do so constitute a breach of ethical standards. **(AG Procurement Form 007)**

#### **FEDERAL GRANT FUND CERTIFICATIONS AND ASSURANCES**

The offeror shall certify that the organization has received a copy of the grant award mentioned herein above and shall comply with all applicable requirements of the grant and other federal laws, executive orders, regulations and policies governing the program. **(Attachment 008 and 009)**

#### **TYPE OF CONTRACT**

Time and Materials Contract, 2 GAR, Division 4, §3119(h)

#### **DURATION OF CONTRACT OR TERM OF SERVICE**

The effective date of any award shall be the date of signature by the Governor. The initial term of the contract shall be from the effective date through the end of the fiscal year with an option for renewal up to two (2) additional one year periods or the expiration of the grant that is funding this RFP.

#### **COMPENSATION FOR SERVICES**

Contractor shall be compensated on a not to exceed monthly amount upon the clearances of monthly invoices to GBHWC.

Professional service programs in this procurement are specifically on a per client, per day basis, with a not to exceed annual amount. The offeror's schedule of fees per client, per day will be submitted in a SEPARATE SEALED ENVELOPE and not opened by GBHWC unless the offeror is selected as the best qualified offeror in the evaluation process. Invoicing and payments will be made monthly on a per client, per day.

Payment shall be based upon actual costs, as defined in 2 GAR Division 4 § 7101 (1), submitted less disallowed costs and penalties, as applicable. Compensation based upon the aggregate of actual cost submitted may be less than the agreed upon compensation, but in no event shall it exceed the agreed upon compensation, unless otherwise provided for.

### **INDEPENDENT CONTRACTOR STATUS**

Offeror understands that its relationship with GBHWC is as an independent contractor and not as an employee of GBHWC. No employee benefits such as insurance coverage, participation in the government retirement system, or accumulation of vacation or sick leave shall accrue to the offeror or its individual employees, if any. No type of tax will be withheld from payments made to the awarded offeror.

### **CONFIDENTIAL/PROPRIETARY INFORMATION**

Any restrictions of the use or inspection of material within the proposal shall be clearly stated in the proposal itself. The offeror must state specifically which elements of the proposal are to be considered confidential/proprietary. Confidential/proprietary information must be readily identifiable, marked and separately packaged from the rest of the proposal. Co-mingling of confidential/proprietary and other information is NOT acceptable. Neither a proposal in its entirety, nor proposal price information will be considered confidential/proprietary. If a proposal contains confidential information, a redacted copy of the proposal must also be submitted. **Any proposal copyrighted or marked as confidential and proprietary in its entirety shall be deemed materially non-responsive to the RFP, and may be rejected by GBHWC as being non-compliant/non-responsive with the RFP.** Any information that will be included in any resulting contract cannot be considered confidential. GBHWC will make a written determination as to the apparent validity of any request for confidentiality. In the event GBHWC does not concur with the offeror's request for confidentiality, the written determination will be sent to the offeror.

### **OWNERSHIP OF PROPOSAL**

GBHWC has the right to retain the original proposal and other RFP response materials for our files. As such, GBHWC may retain or dispose of copies as is lawfully deemed appropriate. Proposal materials may be reviewed by any person after the "Notice of Intent to Make and An Award" letter(s) has/have been issued. GBHWC has the right to use any or all information/material presented in reply to the RFP, subject to the limitation outlined in the clause, Proprietary/Confidential Information. Offeror expressly agrees that GBHWC may use the materials, and any and all ideas and adaptations of ideas contained in any proposal received in response to this solicitation for all lawful Government of Guam purposes, including but not limited to the right to reproduce copies of the material submitted for purposes of evaluation, and to

make the information available to the public in accordance to the provisions of Guam laws and regulations. Selection or rejection of the offer will not affect this right.

### **EXPLANATION TO OFFERORS**

No oral explanation in regard to the meaning of the specification will be made and no oral instructions will be given before the award of the Proposal. Discrepancies, omissions, or doubts as to the meaning of the specification should be communicated in writing to the named contact individual of the requesting agency/department for interpretation. Offerors should act promptly and allow sufficient time for a reply to reach them before the submission of their Proposals. Interpretation, if required, shall be made in the form of an amendment to the specification, which will be forwarded to all prospective offerors, and its receipt by the offeror should be acknowledged on the Proposal form.

### **EQUAL EMPLOYMENT OPPORTUNITY**

Section 3.01(1) of the Presidential Executive Order No. 10935 dated March 7, 1965, requires the offeror not to discriminate against any employee or applicant for employment because of race, creed, color or national origin. The offeror will take affirmative action to insure that offerors are employed and that employees are treated equally during employment without regard to their race, creed, color or national origin.

### **ASSIGNMENT**

Any assignment, pledge, joint venture, hypothecation of right or responsibility to any person, firm or corporation should be fully explained and detailed in the proposal. Information as to the experience and qualifications of proposed subcontractors or joint ventures should be included in the proposal. In addition, written commitments from any subcontractors or joint ventures should be included as part of the proposal.

Assignment will not be accepted without prior approval from the GBHWC. Request for approval or assignment must be made with submission of Proposal. No assignment will be accepted if request is not made with the Proposal.

### **AMENDMENTS TO REQUEST FOR PROPOSAL**

The right is reserved as the interest of the GBHWC may require revising or amending the specifications prior to the date set for opening Proposals. Such revisions and amendments, if any, will be announced by an amendment or amendments to this Request for Proposals and shall be identified as such and shall require that firms acknowledge receipt of all amendments issued. The amendment shall refer to the portions of the Request for Proposal it amends. Amendments shall be sent to all prospective proposers known to have received a Request for Proposal. Amendments shall be distributed within a reasonable time to allow prospective firms to consider in preparing their Proposals. If the time and date set for receipt of proposals will not permit such preparation, such time shall be increased to the extent possible in the amendment or, if necessary, by telegram or telephone and confirmed in the amendment.

## PROPOSAL SELECTION

The GBHWC will be responsible for final selection of an acceptable proposal. GBHWC will endeavor to notify all respondents on or about 30 days after the deadline for receipt of proposals, that GBHWC has selected a consultant to negotiate a contract. Written notice of award will be public information and made a part of the contract file.

After conclusion of validation of qualifications, evaluation, and discussion as provided in the section "Amendments To Request For Proposal", GBHWC will select in the order of their respective qualification and evaluation ranking, no fewer than three (3) acceptable Proposals (or such lesser number if less than three acceptable Proposals were received) deemed to be the best qualified to provide the required services, and must receive a minimum of 70% total rating.

## ERRORS AND OMISSIONS

The GBHWC reserves the right to make corrections due to minor errors of offeror identified in proposals by GBHWC or the offeror. The GBHWC, at its option, has the right to request clarification or additional information from offeror.

### II. SCOPE OF WORK

Offerors are required to submit a written plan that describes how they will lead in efforts to develop and implement the following ASAM level of care (see: <http://www.asam.org/publications/patient-placement-criteria>; incorporated herein as if fully rewritten) and their minimum care requirements.

#### II.1 COLLABORATION & CONTINUUM OF CARE:

- II.1a The offeror must work with GBHWC and its partners to establish a system of care for adolescent substance abuse treatment that is culturally competent.
- II.1b The applicant will meet regularly to establish standardized assessment and referral protocols.
- II.1c The offeror will share resources (i.e. policies and forms), and provide training opportunities for staff development.
- II.1d The offeror must identify evidenced-based models (i.e., Matrix For Teens Model, Motivational Interviewing, Driving with Care, Trauma Informed Care, etc.) and practices to implement that focuses on core treatment.
- II.1e The offeror must identify and justify any adaptations or modifications to the proposed models and/or practices.
- II.1f The offeror must describe in detail how the project will address issues of age, race, ethnicity, culture and other similar issues.
- II.1g The offeror must be able to evaluate the psychological, social, and physiological signs and symptoms of alcohol and other drug abuse.
- II.1h The offeror must be able to determine the client's appropriateness and eligibility for admission or referral.
- II.1i The offeror must be experienced with the American Society of Addiction Medicine (ASAM) patient placement criteria for Level III.5 Residential Services for Adolescent males and females.

- II.1j The offeror must be able to determine any coexisting conditions (medical, psychiatric, physical, etc.) that indicate the need for additional professional assessment and/or services.
- II.1k The offeror will need to adhere to applicable Territory and Federal laws, regulations, and agency policies governing alcohol and other drug abuse services.
- II.1l The offeror will need to demonstrate the proper skills to prepare reports and relevant records, integrating available information to facilitate the continuum of care.
- II.1m The offeror must chart pertinent ongoing information pertaining to the client.
- II.1n The offeror will need to utilize relevant information from written documents for client care.
- II.1o The offeror will need to adhere to Federal Laws including 42 C.F.R. Part II and HIPAA Privacy and Security Standards pertaining to confidentiality. A business associate contract with GBHWC must be established to ensure protection of health records. In addition, should offeror engage a third party, then a Business Associate Agreement must also be established. (See Sample Provision No. 010)

## **II.2 ASAM Level of Care III.5 Rehabilitation Services**

- II.2a The offeror must provide detailed provisions for a 24-hour Residential program that will include a planned regiment of observation, monitoring and treatment, including a minimum of eight (8) up to twelve (12) beds for adolescent males and females.
- II.2b The offeror must retain physical plant that is quiet, comfortable and therapeutic to accommodate the population served.
- II.2c The offeror must include detailed provisions for collaborating with a medical institution that will provide TB testing, AIDS/HIV/STD early intervention services and necessary treatment.
- II.2d The offeror must demonstrate experience with the necessary techniques for a High Intensive Clinically-Managed Residential level of care for adolescent males and females.
- II.2e The offeror must provide detailed provisions for providing a safe and stable living environment in order to develop recovery skills.
- II.2f The offeror must provide provisions that will focus on two categories of residential treatment:
  - II.2f.a Residential treatment that will be 30 days. This category entails a minimum of five (5) days out of a week of comprehensive services and interventions. It addresses the need for early recovery skills and stabilization and clients participate in continuous interventions for at least eight (8) hours a day. After the 30 days, depending on need, clients may transfer to a lower level of care, or remain in the residential setting but with reduced intervention hours.
  - II.2f.b Residential treatment that will be up to 180 days. Some clients continue to have a high level of severity and require more residential care after re-assessing with the ASAM Patient Placement Criteria, 2<sup>nd</sup> Revision. For clients needing more than 180 days a written approval from GBHWC is required for reimbursement.
- II.2g The offeror must utilize the Matrix Model for Teens (see: [www.nrepp.samhsa.gov](http://www.nrepp.samhsa.gov); incorporated herein as if fully rewritten) as the primary psychosocial curriculum for this level of care, however other evidence based models may be utilized to supplement, particularly an evidenced-based Trauma Informed Care curriculum focused on adolescent males and females.

- II.2h The offeror must provide client centered or individualized substance abuse treatment services for female adults needing ASAM Level III.5 Rehabilitation Services.
- II.2i The offeror must give pregnant adolescent females and females with dependent children preference in admission and/or endure receipt of the most appropriate services available within forty-eight (48) hours (See 42 U.S.C. 300x-22(b)(1)(C) and 45 C.F.R. 96.124(c)(e)).
- II.2j The offeror must administer drug testing as a treatment function with all clients on a weekly basis.
- II.2k The offeror must ensure pregnant adolescent females are referred for prenatal care and other needed services to ensure delivery of healthy offsprings.
- II.2l The offeror must maintain orderly files of all clients served under its treatment program. Administer and maintain adequate report and record keeping functions from the counselor by documenting the client's progress in achieving her goals, communication between co-workers and the counselor's supervisor feedback. Continue providing access to information that supports other programs that may provide services to the client at a later date and enhances the client's treatment experience.
- II.2m The offeror must ensure proper documentation on charting the results of the assessment and treatment plan, writing reports, progress notes, discharge summaries and other client-related data.
- II.2n The applicant must utilize the Contingency Management (CM) model to support client retention and negative urinary drug screens. (See: [www.nrepp.samhsa.gov](http://www.nrepp.samhsa.gov) incorporated herein as if fully rewritten)

### **III. PROPOSED CONTENTS, REQUIREMENTS, & INSTRUCTIONS**

#### **A. CONTENTS OF THE PROPOSAL:**

The offeror's response to the items mentioned in Section II for scope of services shall be considered the offeror's proposal. Proposals should be prepared simply and economically, providing a straightforward, concise description of the offeror's ability to fulfill the requirement of the proposal. In order to ensure a uniform review process and to obtain the maximum degree of comparability, at a minimum, each proposal shall contain:

1. Title Page - name of the offeror, the location of the offeror's principal place of business, telephone and facsimile numbers, and email address.
2. Table of Contents
3. Designations of Contact Person to include his/her address and contact numbers, including email address, if different from the offeror's. The designated person must be able to answer any questions regarding the offeror's proposal and must be able to negotiate the fee and other contract terms.
4. Business license and the offeror's federal employer identification number (EIN), or tax identification number (TIN), if any.
5. Statement of understanding and willingness, expressing the offeror's understanding of the work to be accomplished as specified in Section II scope of services, and a statement of positive commitment and willingness to perform the services.

6. Background Summary:
  - a. Description of Organization
  - b. History of the Organization (the number of years the offeror has been in business and the average number of its employees (if any) over the past year)
  - c. Organizational Philosophy
  - d. Unique Characteristics
  - e. Organizational Chart
7. Skills and Experience:
  - a. Proposed Services (what the offeror will undertake to accomplish the objectives of this project and the work described in the Scope of Work)
  - b. Target Population
8. Project Personnel and Community Partners:
  - a. Project Leader's academic background (education and specialized training), skills (abilities and qualifications) and community development work experience with similar projects
  - b. Staff Position Titles/Description of work responsibilities
  - c. Community Partners – organization/volunteers
9. Service Delivery
  - a. Proposed Services (a discussion of the program that the Consultants will undertake to accomplish the objectives of this project and the work described in the Scope of Work), Expected Outcomes and Products;
  - b. Timeline for delivery of services to program; meeting of project timelines while managing current workload of the Offeror,
10. A list of other contracts or work performed for services similar in scope, size and discipline for the required services, which the Offeror, Consultants and/or project members substantially performed or accomplished over the previous two to five years. The contracts or work performed described should only pertain to those services contained in Section II;
11. Letters, awards or other forms of recognition that demonstrate confidence in the work performed by the Offeror;
12. Reporting System
  - Regular progress reporting mechanism;
  - Tracking of financial activity;
  - Tracking system to report project progress; and,
  - Performance Measures on completion of services contained in Section IV.
13. Affidavit re Disclosing Ownership – Form 002
14. Affidavit re Non-Collusion – Form 003
15. No Gratuities or Kickbacks Affidavit - 004
16. Ethical Standards Affidavit – Form 005



17. Wage Determination and Benefit – form 006

18. Contingent Fees – Form 007

19. Federal Grant Fund Certifications and Assurances (Grant Award attached)

20. Acknowledgment of Sample Business Associate Agreement

## **B. REQUIREMENTS AND INSTRUCTIONS:**

All Proposals must be submitted in writing. It should include a listing of current and former business clients and a description of the type of work performed or being performed. At a minimum, if the Proposer is an individual, the Proposal should include a complete resume of the individual. If the Proposer is a firm, the Proposal should include a resume of the firm's principal(s).

The Proposer is required to read each and every page of the Proposal and by the act of submitting a Proposal shall be deemed to have accepted all conditions contained therein except as noted elsewhere. In no case will failure to inspect constitute grounds for a claim or for the withdrawal of a Proposal after opening. Proposals shall be filled out in ink or typewritten and signed in ink. Erasures or other changes in a Proposal must be explained or noted over the signature of the Proposer. Erasures, strikeouts, or other types of changes that are evident on their face made to a proposal must be explained or noted over the signature of the offeror. Proposals containing any conditions, omissions, unexplained erasures or alterations or items not called for in the Proposal or irregularities of any kind shall be rejected by GBHWC as being incomplete.

GBHWC also requires respondents to present satisfactory evidence that the consultancy and personnel have sufficient experience and are qualified to provide these services. Entities submitting proposal must be able to demonstrate in detail their stability in the community to provide Substance Abuse treatment within a Continuum of Care. The proposal must include resumes of treatment staff with experience and expertise in treatment, certification as substance treatment counselors, and must identify a lead treatment specialist or clinical supervisor that would be responsible for treatment outcomes and contractual performance. The following lists the minimum qualifications for treatment staff with at least one member as the Lead Treatment Specialist:

### **Lead Treatment Specialist or Clinical Supervisor must have:**

- Five years program managing experience in providing substance abuse rehabilitation services to a multi-ethnic community.
- Five years of rehabilitation treatment experience and understands substance treatment system of care.
- Master's degree or higher in psychology, social work, or closely related field (Behavioral) from an accredited US educational institution.
- Licensed Individual, Marriage, and Family Therapist (IMFT) or Certified Substance Abuse Treatment Counselor in Guam to be available on a full-time basis.

### **Treatment Staff must have:**

- Three years of responsible experience in substance abuse treatment or human services.

- At least two certified substance abuse counselors credentialed by the Pacific Substance Abuse Mental Health Certification Board or National Association of Alcoholism and Drug Abuse Counselors (NADAAC). There must be one full-time counselor and the other counselor may be on part-time basis.
- At least one Guam Licensed Physician, or preferably an addiction Psychiatrist with treatment of addiction training experience to be available at least four hours minimum per week to perform consultation services.
- At least four staff trained and with abilities in withdrawal monitoring skills, including signs and symptoms of alcohol and other drug intoxication and withdrawal as well as appropriate treatment of those conditions, supportive care, basic cardiopulmonary resuscitation technique, and assessment and referral procedures.

#### **IV. GENERAL PROCEDURES:**

##### **A. Receipt and Registration of Proposals**

All proposals and modifications shall be time stamped upon receipt and held in a secure place until the established due date below. Proposals and modifications received after the due date and time will not be considered. It is the sole responsibility of each offeror to assure that its proposal is delivered at the specified location prior to the deadline. Proposals which, for any reason, are not so delivered will not be considered. The deadline for **receipt** of proposals by GBHWC is **no later than 12 Noon, July 30, 2013.**

1. All proposals must be submitted via U.S. mail, courier or hand delivered to the attention of the Director, GBHWC.

Mailing & Delivery Address:

Rey M. Vega, Director  
 Guam Behavioral Health and Wellness Center  
 790 Governor Carlos G. Camacho Road  
 Tamuning, Guam 96913

2. Offeror shall submit one (1) original hard copy, (1) electronic copy (flash drive or cd) and three (3) hard copies of each proposal (Cost proposals shall be submitted in a separate, sealed envelope)
3. Envelopes containing proposals shall be sealed and marked on the face with the name and address of the offeror, the proposal number and the time and date of submission.
4. No facsimile or emailed proposals will be accepted.
5. Proposals may be hand carried and received at GBHWC on or before the deadline date and time.
6. Proposals received through the mail will not be accepted if such mail is received at the address showing after the submission date and time.
7. Under no circumstances will GBHWC accept a late proposal.
8. Proposals will be considered only from such offerors who, in the opinion of GBHWC, can show evidence of their ability, experience, equipment and facilities to render satisfactory service, and are not currently debarred by federal or local government

**B. Multiple Proposals.**

Offerors may not submit multiple proposals. This solicitation is for one proposal due on the date identified above. Any offer received after this date will be returned unopened to the return address show on the envelope, except in response to an amendment(s).

**C. Opening of Proposals**

Proposals shall not be opened publicly nor disclosed to unauthorized persons, but shall be opened in the presence of two or more procurement officials. A Register of Proposals shall be established which shall include for all proposals, the name of each offeror, the number of modifications received, if any, and a description sufficient to identify the services offered. The Register of Proposals shall be opened to public inspection only after award of the contract. Proposals of offerors who are not awarded the contract shall not be opened to public inspection. (2 GAR 3114.h.2)

**D. Proposal Evaluation**

In determining the most qualified Offeror, the following criteria will be used to evaluate proposals: GBHWC shall be guided by the following.

1. The plan for performing the required services;
2. Ability to perform the services as reflected by technical training and education, general experience, specific experience in providing the required services, and the qualifications and abilities of personnel proposed to be assigned to perform the services;
3. The personnel, equipment , and facilities to perform the services currently available or demonstrated to be made available at the time of contracting, and
4. A record of past performance of similar work.

Evaluations will be conducted by a Proposal Review Committee. Scoring will be based on a possible total of 100 points and the proposal with the highest total score will be recommended for award.

**E. Evaluation Criteria and Assigned Weight**

<b>Evaluation Criteria</b>	<b>Assigned Weight</b>
Introduction/Understanding of RFP – The organization’s familiarity with the needs of the consumers and knowledge of overall services and support required.	10
Work Plan/Project Execution – The organization’s description of how they will provide services detailed in Section II – Scope of Work.	35

Corporate Experience – Experience in successfully managing projects, inclusive of similar projects accomplished or underway. Demonstrated ability to meet schedules, deadlines or reporting requirements or a history of work with GBHWC to include cooperativeness, openness, and collegial relationship.	15
Qualification of Personnel – The qualifications and abilities of key personnel proposed to be assigned to perform the services as reflected by technical training and education, developmental disabilities experience, and other specific experience.	20
Financial Statements – Audits	10
Equipment & Facilities – The equipment and facilities to perform the required services are available or will be made readily available at the time of contracting.	10
<b>Total Points</b>	<b>100</b>

**F. Negotiation and Award of Contract**

GBHWC shall negotiate a contract with the best-qualified offeror for the required services at compensation determined in writing to be fair and reasonable. Contract negotiations will be directed toward: (1) making certain that the offeror has a clear understanding of the scope of work, specifically, the essential requirements involved in providing the required services; (2) determining that the offeror will make available the necessary personnel to perform the services within the required time; and (3) agreeing upon compensation which is fair and reasonable, taking into account the estimated value of the required services, and the scope, complexity, and nature of such services.

**F. Right to Reject Offers and Cancel the Procurement**

GBHWC shall have the right to reject all offers, and or individual offerors in whole or in part, and/or cancel this RFP, if it is determined to be in the best interest of GBHWC.

**G. Failure to Negotiate Contract With Offerors Initially Selected As Best Qualified**

If compensation, contract requirements, and contract documents cannot be agreed upon with the best qualified, offeror, a written record stating the reasons therefore shall be placed in the file and GBHWC will advise such offeror of the termination of negotiations which shall be confirmed by written notice within three days. Upon failure to negotiate a contract with the best-qualified offeror, GBHWC will enter into negotiations with the next most qualified offeror. If

negotiations again fail, negotiations will be terminated as provided in this Section and commence with the next qualified offeror.

Should GBHWC be unable to negotiate a contract with any of the offerors initially selected as the best qualified offerors, offers may be re-solicited or additional offerors may be selected based on original, acceptable submissions in the order of their respective qualification ranking and negotiations may continue in accordance with the procedures and process herein specified.

## **V. ADDITIONAL CONTRACTUAL TERMS AND CONDITIONS PROFESSIONAL SERVICES**

The following clauses utilize the generic term “the contractor” to refer to potential offerors, offerors, contractors, vendors, service providers or any other name used in the procurement as to the individual(s) or entity that is in receipt of the solicitation or awarded the contract in the procurement. The generic term “the government of Guam” is used to reference the departments, agencies, boards, officers and employees of the government of Guam. The generic term “this contract” is used to refer to the procurement, the agreement, the collective documents that are part of the procurement, i.e. the request for proposal, the proposal, the agreed cost estimate, the signed forms, the certification and assurance forms, and any other documents identified by the parties.

- In the current procurement the government of Guam is the Guam Behavioral Health and Wellness Center, P.L. 32-024 effectively changed the name of Department of Mental Health and Substance Abuse to now be Guam Behavioral Health and Wellness Center.
- In the current procurement, the head of the purchasing agency is Rey M. Vega, the Director of Guam Behavioral Health and Wellness Center.

**In event the contractor discovers any ambiguity or inconsistency with the terms below and the solicitation documents, the contractor must raise the issue to the head of the purchasing agency in writing prior to submitting its proposal, and during any applicable question submittal period that may be designated in the request for proposal. In the event there is to be a modification of a term in this section “Additional Contractual Terms and Conditions Professional Services”, it will be done through the amendment of the request for proposal process.**

### **A. GENERAL REQUIREMENTS:**

This procurement is subject to all applicable federal and Guam laws and regulations.

Guam laws and regulations are available at the Guam Supreme Court, Office of Complier’s website <http://www.justice.gov.gu/compileroflaws>. The contractor and the government of Guam agree that the laws of Guam apply to this procurement, and shall govern the validity of this contract and any of its terms or provisions, as well as the rights and duties of the parties. The Guam Procurement Laws are available at the Office of Complier’s website as part of the 5 GCA Ch. 5. The Guam Procurement Regulations are available at the Office of Complier’s website 2 GAR Division 4. Additionally, the Guam Office of Public Accountability

<http://www.guamopa.com/>, the Guam Office of Attorney General <http://www.guamag.org/> and the Department of Administration General Service Agency <http://bit.guam.gov/> all have useful procurement information and forms.

- Behavioral Health New Beginnings program information is available at <http://www.dmhsa.guam.gov>
- Substance Abuse Prevention and Treatment (SAPT) grant information is available at <http://www.samhsa.gov>

The contractor expressly consents to the jurisdiction of Guam and to the venue of the courts on Guam with respect to any and all claims which may arise by reason of this contract, and waives any and all rights it may otherwise have to contest the same or to proceed in a different jurisdiction or forum.

The professional services, deliverables and materials under this contract shall comply with all applicable federal and Guam laws and regulations and the contractor shall maintain all licenses and permits. In the event the contract sets forth key personnel positions of stated experiences and training and it is part of the compliance requirements of the contract, the contractor agrees to maintain those individuals and or positions at all times pertinent to the contract.

The contractor warrants it is current with all Guam taxes, (Income Tax; Business Privilege Tax; Real Property Tax; Hotel Occupancy Tax, Tax Withholding; Use Taxes; etc.)

#### **B. TERM -INITIAL CONTRACT PERIOD AND OPTION(S) FOR RENEWAL:**

The term of this contract includes an initial contract period and at the government of Guam's option, a renewal or renewal contract periods. The term and any renewal term(s) of this contract are subject to the availability of funding and satisfactory performance by the contractor. All representations and warranties made by the contractor under this contract, including but not limited to the intellectual property indemnification, confidentiality, record retention and access shall survive any expiration or termination of this contract. Additionally, if federal grant funds are part of this contract, and there is any recoupment or recapture of funds expended by the federal government related to the contract requirements that are the contractor's obligations, the government of Guam expressly reserves the right to offset, and recoup said funds from the contractor.

#### **C. PRICE-MULTI-YEAR:**

The annual cost reimbursement budget amount or other pricing offered by the contractor shall remain the same in the initial contract term and all subsequent renewal terms except as otherwise provided in the contract terms and conditions.

#### **D. ETHICAL STANDARDS:**

With respect to this procurement and any other contract that the contractor may have, or wish to enter into, with the government of Guam, the contractor represents that it has not knowingly influenced, and promises that it will not knowingly influence, any government employee to breach any of the ethical standards set forth in the Guam Procurement Law and in any of the Guam Procurement Regulations.

**E. PROHIBITION AGAINST GRATUITIES AND KICKBACKS:**

With respect to this procurement and any other contract that the contractor may have or wish to enter into with the government of Guam, the contractor represents that he/she/it has not violated, is not violating, and promises that he/she/it will not violate the prohibition against gratuities and kickbacks set forth in the Guam Procurement Regulations.

**F. PROHIBITION AGAINST CONTINGENT FEES:**

The contractor represents that he has not retained any person or agency upon an agreement or understanding for a percentage, commission, brokerage, or other contingent arrangement, except for retention of bona fide employees or bona fide established commercial selling agencies, to solicit or secure this contract or any other contract with the government of Guam.

**G. PROHIBITION OF EMPLOYMENT OF SEX OFFENDERS:**

Pursuant to 5 G.C.A. § 5253

(a) No person convicted of a sex offense under the provisions of 9 GCA Chapter 25, or an offense as defined in GCA Chapter 28 Article 28, on Guam, or an offense in any jurisdiction which includes, at a minimum, all of the elements of said offenses, or who is listed on the Sex Offender Registry, and who is employed by a business contracted to perform services for an agency or instrumentality of the government of Guam, shall not work for his employer on the property of the government of Guam other than a public highway.

(b) The contractor warrants (1) that no person providing services on behalf of the contractor has been convicted of a sex offense as set forth in the preceding subsection; and (2) that if any person providing services on behalf of the contractor is convicted of a sex offense under the provisions of 9 GCA Chapter 25 or 9 GCA Chapter 28 Article 2, or an offense in another jurisdiction with, at a minimum, the same elements as such offenses, or who is listed on the Sex Offender Registry, that such person will be immediately removed from working at said agency and that the administrator of said agency be informed of such within twenty-four (24) hours of such conviction.

For the purposes of this “Prohibition of Employment of Sex Offenders Clause” in the event the contractor is providing services that involve direct contact with the government of Guam consumers, customers or potential eligible receivers of the government of Guam public health, safety or education program services, all locations where there is contact with those individuals is considered for purposes of this clause in this contract “property of the government of Guam”.

**H. WAGE AND BENEFIT COMPLIANCE-CONTRACTORS PROVIDING SERVICES:**

(a) The contractor shall comply with 5 GCA § 5801 et.seq., and with regard to all persons it employs whose purpose in whole or in part is the direct delivery of



services contracted for with the government of Guam in this procurement, shall pay such employees in accordance with the Wage Determination for Guam and the Northern Marianas Islands issued and promulgated by the U.S. Department of Labor for such labor as is employed in the direct deliverance of deliverables to the government of Guam. The contractor shall be responsible for flowing down this obligation to its subcontractors.

(b) The Wage Determination most recently issued by the U.S. Department of Labor at the time this contract is awarded to the contractor shall be used to determine wages and benefits which shall be paid to employees pursuant to this clause.

(c) Should any contract contain a renewal clause, then at the time of renewal adjustments, there shall be stipulations contained in that contract for applying the Wage Determination, so that the Wage Determination promulgated by the U.S. Department of Labor on a date most recent to the renewal date shall apply

(d) In addition to the Wage Determination detailed above, health and similar benefits for employees having a minimum value as detailed in the Wage Determination issued and promulgated by the U.S. Department of Labor shall apply. The contractor shall pay a minimum of ten (10) paid holidays per annum per employee.

(e) Any violation of the contractor's obligations or its subcontractors obligations as set forth in "Wage and Benefit Compliance Contractors Providing Services Clause" shall be investigated by the Guam Department of Labor and may include a monetary penalty assessment by the Guam Department of Labor of no less than One Hundred Dollars (\$100.00) per day, and no more than One Thousand Dollars (\$1,000.00) per day, until such time as a violation has been corrected, as well as the payment of all back wages and benefits due.

(f) In addition to any and all other breach of contract actions the government of Guam may have under this procurement, in the event there is a violation in the process set forth in the preceding subsection, the contractor may be placed on probationary status by the head of the purchasing agency, for a period of one (1) year. During the probationary status the contractor shall not be awarded any contract by any instrumentality of the government of Guam. The contractor if it is placed on probationary status, or has been assessed a monetary penalty pursuant to this "Wage and Benefit Compliance Contractors Providing Services Clause" may appeal such penalty or probationary status to the Superior Court of Guam as set forth in 5 GCA § 5804.

(g) The contractor's Declaration of Compliance with Wage Determination with the attached most recent Wage Determination for Guam and the Northern Marianas Islands issued and promulgated by the U.S. Department of Labor is applicable to this contract.

(h) The contractor agrees to provide upon written request by the government of Guam written certification of its compliance with its obligations under this "Wage and Benefit Compliance Contractors Providing Services Clause" as part of each

invoice, along with the names of any employees, their positions, and detailed wage and benefits paid in keeping with this section. Additionally upon request by the government of Guam the contractor shall submit source documents as to those individuals that provide direct services in part or whole under this contract and its payments to them of such wages and benefits.

## I. CHANGES:

(1) **Change Order.** By a written order, at any time, and without notice to surety, the head of the purchasing agency may, subject to all appropriate adjustments, make changes within the general scope of this contract in any one or more of the following:

- A. drawing, designs, or specifications, if the supplies to be furnished are to be specially manufactured for Guam in accordance therewith;
- B. method of shipment or packing; or
- C. place of delivery.

(2) **Adjustments of Price or Time for Performance.** If any such change order increases or decreases the contractor's cost of, or the time required for performance of any part of the work under this contract, whether or not changed by the order, an adjustment shall be made and the contract modified in writing accordingly. Any adjustment in contract price made pursuant to this clause shall be determined in accordance with the "Price Adjustment Clause" of this contract.

Failure of the parties to agree to an adjustment shall not excuse the contractor from proceeding with the contract as changed, provided that the government of Guam promptly and duly makes such provisional adjustments in payment or time for performance as may be reasonable. By proceeding with the work, the contractor shall not be deemed to have prejudiced any claim for additional compensation, or an extension of time for completion.

(3) **Time Period for Claim.** Within 30 days after receipt of a written change order under the paragraph (Change Order) of this clause, unless such period is extended by the head of the purchasing agency in writing, the contractor shall file notice of intent to assert a claim for an adjustment. Later notification shall not bar the contractor's claim unless the government of Guam is prejudiced by the delay in notification.

(4) **Claims Barred After Final Payment.** No claim by the contractor for an adjustment hereunder shall be allowed if notice is not given prior to final payment under this contract.

(5) **Other Claims not Barred.** In the absence of such a change order, nothing in this clause shall be deemed to restrict the contractor's right to pursue a claim arising under the contract if pursued in accordance with the, "Section N, subsection (1) Notice of Claim of the " Claims Based On The Head Of The Purchasing Agency's or Omissions Claim Clause", or for breach of contract.

**J. STOP WORK ORDER:**

(1) **Order to Stop Work.** The head of the purchasing agency may, by written order to the contractor, at any time, and without notice to any time, and without notice to any surety, require the contractor to stop all or any part of the work called for by this contract. This order shall be for specified period not exceeding 90 days after the order is delivered to the contractor, unless the parties agree to any further period. Any such order shall be identified specifically as a stop work order issued pursuant to this clause. Upon receipt of such an order, the contractor shall forthwith comply with its terms and take all reasonable steps to minimize the occurrence of costs allocable to the work covered by the order during the period of work stoppage. Before the stop work expires, or within any further period to which the parties shall have agreed, the head of the purchasing agency shall either:

- (i) Cancel the stop work order; or
- (ii) Terminate the work covered by such order as provided in the "Termination for Default Clause" or the "Termination for Convenience of the Government of Guam Clause" of this contract.

(2) **Cancellation or Expiration of the Order.** If a stop work order issued under this clause is cancelled at any time during the period specified in the order, or if the period of the order or any extension thereof expires, the contractor shall have the right to resume work. An appropriate adjustment shall be made in the delivery schedule or contract price shall be modified in writing accordingly, if:

- (i) the stop work order results in an increase in the time required for, or in the contractor's cost properly allocable to, the performance of any part of this contract; and
- (ii) the contractor asserts a claim for such an adjustment within 30 days after the end of the period of work stoppage; provided that, if the head of the purchasing agency decides that the facts justify such action, any such claim asserted may be received and acted upon at any time prior to final payment under this contract.

(3) **Termination of Stopped Work.** If a stop work order is not cancelled and the work covered by such order is terminated for default or convenience, the reasonable costs resulting from the stop work order shall be allowed by adjustment or otherwise.

(4) **Adjustment of Price.** Any adjustment in contract price made pursuant to this clause shall be determined in accordance with the "Price Adjustment Clause" of this contract.

**K. VARIATION IN QUANTITY:**

Upon the agreement of the parties, the quantity of supplies or services or both specified in this contract may be increased by a maximum of ten percent (10%) provided:

- i. the unit prices will remain the same (except for any price adjustments otherwise applicable); and
- ii. the head of the purchasing agency makes a written determination that such an increase will either be more economical than awarding another contract or that it would not be practical to award another contract.

**L. INDEFINITE QUANTITY CONTRACTS:**

- (1) the minimum quantity, if any, the government of Guam is obligated to order and the contractor to provide;
- (2) whether there is a quantity the government of Guam expects to order and how this quantity relates to any minimum and maximum quantities that may be ordered under the contract;
- (3) any maximum quantity the government of Guam may order and the contractor must provide; and
- (4) whether the government of Guam is obligated to order its actual requirements under the contract, or in the case of a multiple award as defined in 2 GAR Division 4 §3122 (Multiple Source Contracting) that the government of Guam will order its actual requirements from the contractors under the multiple award subject to any minimum or maximum quantity stated.

**M. PRICE ADJUSTMENT:**

- (a) **Price Adjustment Methods.** Any adjustment in contract price pursuant to a clause in this contract shall be made in one or more of the following ways:
  - i. by agreement on a fixed price adjustment before commencement of the pertinent performance or as soon thereafter as practicable;
  - ii. by unit prices specified in the contract or subsequently agreed upon;
  - iii. by the costs attributable to the event or situation covered by the clause, plus appropriate profit or fee, all as specified in the contract or subsequently agreed upon;
  - iv. in such other manner as the parties may mutually agree; or
  - v. in the absence of agreement between the parties, by a unilateral determination by the head of the purchasing agency of the costs attributable to the event or situation covered by the clause, plus appropriate profit or fee, all as computed by the head of the purchasing agency in accordance with generally accepted accounting principles and applicable sections of the regulations promulgated under 2 GAR Division 4 Chapter 7 (Cost Principles), subject to the provisions of 2 GAR Division 4 Chapter 9 (Legal and Contractual Remedies), as modified by the "Mandatory Disputes Clause" of this contract.
- (b) **Submission of the Cost or Pricing Data.** The contractor shall provide

cost or pricing data for any price adjustments subject to the provisions of 2 GAR Division 4 §3118 (Costs or Pricing Data).

**N. CLAIMS BASED ON THE HEAD OF PURCHASING AGENCY'S ACTIONS OR OMISSIONS:**

(1) **Notice of Claim.** If any action or omission on the part of the head of the purchasing agency, or designee of such officer, requiring performance changes within the scope of the contract constitutes the basis for a claim by the contractor for additional compensation, damages, or an extension of time for completion, the contractor shall continue with performance of the contract in compliance with the directions or orders of such officials, but by so doing, the contractor shall not be deemed to have prejudiced any claim for additional compensation, damages, or an extension of time for completion; provided:

(a) The contractor shall have given written notice to the head of the purchasing agency, or designee of such officer:

(i) prior to the commencement of the work involved, if at that time the contractor knows of the occurrence of such action or omission;

(ii) within 30 days after the contractor knows of the occurrence of such action or omission, if the contractor did not have such knowledge prior to the commencement of the work; or

(iii) within such further time as may be allowed by the head of the purchasing agency in writing.

This notice shall state that the contractor regards the act or omission as a reason which may entitle the contractor to additional compensation, damages, or an extension of time. The head of the purchasing agency or designee of such officer, upon receipt of such notice, may rescind such action, remedy such omission, or take such other steps as may be deemed advisable in the discretion of the head of the purchasing agency or designee of such officer.

(b) The notice required by the preceding subparagraph (a) of this paragraph describes as clearly as practicable at the time the reasons why the contractor believes that additional compensation, damages, or an extension of time may be remedies to which the contractor is entitled; and

(c) The contractor maintains and, upon request, makes available to the head of the purchasing agency within a reasonable time, detailed records to the extent practicable, of the claimed additional costs or basis for an extension of time in connection with such changes.

(2) **Limitations of Clause.** Nothing herein contained, however, shall excuse the

contractor from compliance with any rules of law precluding any the government of Guam officers and any contractors from acting in collusion or bad faith in issuing or performing change orders which are clearly not within the scope of the contract.

(3) **Adjustment of Price.** Any adjustment in the contract price made pursuant to this clause shall be determined in accordance with the "Price Adjustment Clause" of this contract.

#### **O. TERMINATION FOR DEFAULTS:**

(a) **Default.** If the contractor refuses or fails to perform any of the provisions of this contract with such diligence as will ensure its completion within the time specified in this contract, or any extension thereof, otherwise fails to timely satisfy the contract provisions, or commits any other substantial breach of this contract, the head of the purchasing agency may notify the contractor in writing of the delay or non-performance and if not cured in ten days or any longer time specified in writing by the head of the purchasing agency, such officer may terminate the contractor's right to proceed with the contract or such part of the contract as to which there has been delay or a failure to properly perform. In the event of termination in whole or in part the head of the purchasing agency may procure similar supplies or services in a manner and upon terms deemed appropriate by the head of the purchasing agency. The contractor shall continue performance of the contract to the extent it is not terminated and shall be liable for excess costs incurred in procuring similar goods or services.

(b) **Contractor's Duties.** Notwithstanding termination of the contract and subject to any directions from the head of the purchasing agency, the contractor shall take timely, reasonable, and necessary action to protect and preserve property in possession of the contractor in which the government of Guam has an interest.

(c) **Compensation.** Payment for completed supplies delivered and accepted by the government of Guam shall be at the contract price. Payment for the protection and preservation of property shall be in an amount agreed upon by the contractor and the head of the purchasing agency; if the parties fail to agree, the head of the purchasing agency shall set an amount subject to the contractor's rights under 2 GAR Division 4 Chapter 9 (Legal and Contractual Remedies) as modified by the "Mandatory Disputes Resolution Clause" of this contract. The government of Guam may withhold from amounts due the contractor such sums as the head of the purchasing agency deems to be necessary to protect the government of Guam against loss because of outstanding liens or claims of former lien holders and to reimburse the government of Guam for the excess costs incurred in procuring similar goods and services.

(d) **Excuse for Nonperformance or Delayed Performance.** Except with respect to defaults of subcontractors, the contractor shall not be in default by reason of any failure in performance of this contract in accordance with its terms (including any failure by the contractor to make progress in the prosecution of the work here under which endangers such performance) if the contractor has notified the head of the purchasing agency within 15 days after the cause of the delay and the failure arises out of causes such as: acts of God; acts of the public enemy; acts of the government of Guam and any other governmental entity in its sovereign or contractual capacity; fires; floods; epidemics;

quarantine restrictions; strikes or other labor disputes; freight embargoes; or unusually severe weather. If the failure to perform is caused by the failure of a subcontractor to perform or to make progress, and if such failure arises out of causes similar to those set forth above, the contractor shall not be deemed to be in default, unless the supplies or services to be furnished by the subcontractor were reasonably obtainable from other sources in sufficient time to permit the contractor to meet the contract requirements. Upon request of the contractor, the head of the purchasing agency shall ascertain the facts and extent of such failure, and, if such officer determines that any failure to perform was occasioned by any one or more of the excusable causes, and that, but for the excusable cause, the contractor's progress and performance would have met the terms of the contract, the delivery schedule shall be revised accordingly, subject to the rights of Guam under the "Termination for Convenience of the Government of Guam Clause" of this contract. (As used in this paragraph of this clause the term "subcontractor" means subcontractor at any tier.)

(e) **Erroneous Termination for Default.** If, after notice of termination of the contractor's right to proceed under the provisions of this clause, it is determined for any reason that the contractor was not in default under the provisions of this clause, or that the delay was excusable under the provisions of the (Excuse for Nonperformance or Delayed Performance) paragraph of this clause, the rights and obligations of the parties shall, be the same as if the notice of termination had been issued pursuant to the "Termination for Convenience of the Government of Guam Clause" of this contract.

(f) **Additional Rights and Remedies.** The rights and remedies provided in this clause are in addition to any other rights and remedies provided by law or under this contract.

#### **P. LIQUIDATED DAMAGES:**

**With Termination of Default Clause.** When the contractor is given notice of delay or nonperformance as specified in the (Default) paragraph of the "Termination for Default Clause" above and fails to cure in the time specified, the contractor shall be liable for damages for delay in the amount of one-fourth of one-percent (1%) of outstanding order per calendar day from date set for cure until either the government of Guam reasonably obtains similar supplies or services if the contractor is terminated for default, or until the contractor provides the supplies or services if the contractor is not terminated for default. To the extent that the contractor's delay or nonperformance is excused under the (Excuse for Nonperformance or Delayed Performance) paragraph of the "Termination for Default Clause" above, liquidated damages shall not be due the government of Guam. The contractor remains liable for damages caused other than by delay.

#### **Q. TERMINATION FOR CONVENIENCE OF THE GOVERNMENT OF GUAM:**

(a) **Termination.** The head of the purchasing agency may, when the interest of the government of Guam so require, terminate this contract in whole or in part, for the convenience of the government of Guam. The head of the purchasing agency shall give written notice of the termination to the contractor specifying the part of the contract terminated and when termination becomes effective.



(b) **Contractor's Obligations.** The contractor shall incur no further obligations in connection with the terminated work and on the date set in the notice of termination the contractor will stop work to the extent specified. The contractor shall also terminate outstanding orders and subcontracts as they relate to the terminated work. The contractor shall settle the liabilities and claims arising out of the termination of subcontracts and orders connected with the terminated work. The head of the purchasing agency may direct the contractor to assign the contractor's right, title, and interest under terminated orders or subcontractors to the government of Guam. The contractor must still complete the work not terminated by the notice of termination and may incur obligations as are necessary to do so.

(c) **Right to Supplies.** The head of the purchasing agency may require the contractor to transfer title and deliver to the government of Guam in the manner and to the extent directed by the head of the purchasing agency:

- (1) any completed supplies; and
- (2) such partially completed supplies and materials, parts, tools, dies, jigs, fixtures, plans, drawings, information, and contract rights (hereinafter called "manufacturing material") as the contractor has specifically produced or specially acquired for the performance of the terminated part of this contract.

The contractor shall, upon direction of the head of the purchasing agency, protect and preserve property in the possession of the contractor in which the government of Guam has an interest. If the head of the purchasing agency does not exercise this right, the contractor shall use best efforts to sell such supplies and manufacturing materials in accordance with the standards of Uniform Commercial Code of Guam. Title 13 G.C.A. §2706 is quoted at the end of this clause. Utilizing this §, in no way implies that the government of Guam has breached the contract by exercise of this "Termination for Convenience of the Government of Guam Clause".

(d) **Compensation.**

(1)The contractor shall submit a termination claim specifying the amounts due because of the termination for convenience together with cost or pricing data to the extent required by 2 GAR Division 4 §3118 (Cost or Pricing Data) bearing on such claim. If the contractor fails to file a termination claim within one year from the effective date of termination, the head of the purchasing agency may pay the contractor, if at all, an amount set in accordance with the preceding subsection (c) of this clause.

(2)The head of the purchasing agency and the contractor may agree to a settlement provided the contractor as has filed a termination claim supported by cost or pricing data to the extent required by 2 GAR Division §3118 (Costs or Pricing Data) and that the settlement does not exceed the total contract price plus settlement costs reduced by payments previously made by the government of Guam, the proceeds of any sales of supplies and manufacturing materials under the subsection(3) of this clause, and the contract price of the work not terminated.

(3) Absent complete agreement under the “Contractor’s Obligation” subsection (b) above, the head of the purchasing agency shall pay the contractor the following amounts, provided payments agreed to under the “Contractor’s Obligation” subsection (b) shall not duplicate payments under this subparagraph “Compensation”:

- (i) contract prices for supplies or services accepted under the contract;
- (ii) costs incurred in preparing to perform and performing the terminated portion of the work plus a fair and reasonable profit on such portion of the work (such profit shall not include anticipatory profit or consequential damages) less amounts paid or to be paid for accepted supplies or services; provided, however, that if it appears that the contractor would have sustained a loss if the entire contract would have been completed, no profit shall be allowed or included and the amount of compensation shall be reduced to reflect the anticipated rate of loss;
- (iii) costs of settling and paying claims arising out of the termination of subcontracts or orders pursuant to section (2) of this “Compensation” paragraph. These costs must not include costs paid in accordance with the preceding subsection (c) (ii) of this paragraph;
- (iv) the reasonable settlement costs of the contractor including accounting, legal, clerical, and other expenses reasonably necessary for the preparation of settlement claims and supporting data with respect to the terminated portion of the contract for the termination and settlement of subcontracts there under, together with reasonable storage, transportation, and other costs incurred in connection with the protection or disposition of property allocable to the terminated portion of this contract. The total sum to be paid the contractor under this subparagraph shall not exceed the total contract price plus the reasonable settlement costs of the contractor reduced by the amount of payments otherwise made, the proceeds of any sales of supplies and manufacturing materials under the “Contractor’s Obligations” subparagraph (b) above, and the contract price of work not terminated.

(4) Cost claimed, agreed to, or established under the “Contractor’s Obligations” subparagraph (b) and “Right to Supplies” subparagraph (c) of this paragraph shall be in accordance with 2 GAR Division 4 Chapter 7 (Cost Principles).

13 GCA §2706 (UCC) states:

*“§2706. Seller’s Resale Including Contract for Resale.*

*(1) Under the conditions stated in §2703 on seller’s remedies, the seller may resell the goods concerned or the undelivered balance thereof. Where the resale is made in good faith and in a commercially reasonable manner the seller may recover the difference between the resale price and the contract price together with any incidental damages allowed under the provisions of this division (§2710), but less expenses saved in consequence*

of the buyer's breach.

(2) Except as otherwise provided in subdivision (3) or unless otherwise agreed resale may be at public or private sale including sale by way of one or more contracts to sell or of identification to an existing contract of the seller. Sale may be as a unit or in parcels and at any time and place and on any terms but every aspect of the sale including the method, manner, time, place and terms must be commercially reasonable. The resale must be reasonably identified as referring to the broken contract, but it is not necessary that the goods be in existence or that any or all of them have been identified to the contract before the breach.

(3) Where the resale is at private sale the seller must give the buyer reasonable notification of his intention to resell.

(4) Where the resale is at public sale:

(a) Only identified goods can be sold except where there is a recognized market for a public sale of futures in goods of the kind; and

(b) It must be made at a usual place or market for public sale if one is reasonably available and except in the case of goods which are perishable or threaten to decline in value speedily the seller must give the buyer reasonable notice of the time and place of resale; and

(c) If the goods are not to be within the view of those attending the sale the notification of sale must state the place where the goods are located and provide for their reasonable inspection by prospective bidders; and

(d) The seller may buy.

(5) A purchaser who buys in good faith at a resale takes the goods free of any rights of the original buyer even though the seller fails to comply with one or more of the requirements of this section.

(6) The seller is not accountable to the buyer for any profit made on any resale. A person in the position of a seller (§2707) or a buyer who has rightfully rejected or justifiably revoked acceptance must account for any excess over the amount of his security interest, as hereinafter defined (subdivision (3) of §2711)."

## **R. COST REIMBURSEMENT CONTRACT TERMINATION FOR DEFAULT AND/OR FOR CONVENIENCE:**

All steps shall be taken by the contractor to ensure a smooth transition of the program to prevent any interruption of the services to the clients and to

preserve the integrity of the program. The contractor, who has been terminated by the government of Guam for default or for convenience, or whose contract has expired by its terms, shall immediately prepare to relinquish all program related information, files, equipment, service contributions, and program income ( contributions, donations, and gifts) remaining balances and all other operational and administrative and service documents and/ or items to the government of Guam. In the event there are government of Guam or federal reports needed to close out the program file, the contractor shall provide those.

#### **S. MANDATORY DISPUTES RESOLUTION CLAUSE:**

In the event of a conflict between this “Mandatory Disputes Resolution Clause” and any other terms in this contract, it is the intent of the government of Guam and the contractor that the terms of this clause are to be given precedence.

- (1) **Disputes- Contractual Controversies.** The government of Guam and the contractor agree to attempt resolution of all controversies which arise under, or are by virtue of, this contract through mutual agreement. If the controversy is not resolved by mutual agreement, then the contractor shall request the head of the purchasing agency, or their designee, in writing to issue a final decision within sixty days after receipt of the written request in keeping with 5 GCA § 5427 (c). The head of the purchasing agency or their designee shall immediately furnish a copy of the decision to the contractor, by certified mail with a return receipt requested, or by any other method that provides evidence of receipt.
- (2) **Absence of a Written Decision within Sixty Days.** If the head of the purchasing agency, or their designee does not issue a written decision within sixty days after written request for a final decision, or within such longer period as may be agreed upon by the parties, then the contractor may proceed as though the head of the purchasing agency, or their designee had issued a decision adverse to the contractor.
- (3) **Appeals to the Office of Public Accountability.** The head of the purchasing agency's, or their designee's decision shall be final and conclusive, unless fraudulent or unless the contractor appeals the decision administratively to the Public Auditor in accordance with 5 GCA § 5706.
- (4) **Disputes – Money Owed To or By the Government of Guam.** This subsection applies to appeals of the government of Guam's decision on a dispute. For money owed by or to the government of under this contract, the contractor shall appeal the decision in accordance with the “Government Claims Act”, 5 GCA § 6101 et. seq., by initially filing a claim with the Office of the Attorney General no later than eighteen months after the decision is rendered by the government of Guam or from the date when a decision should have been rendered. For all other claims by or against the government of Guam arising under this contract, the Office of the Public Auditor has jurisdiction over the appeal from the decision of the government of Guam. Appeals to the Office of the Public Auditor must be made within sixty days of government of Guam's decision or from the date the decision should have been made.

(5) **Exhaustion of Administrative Remedies.** The contractor shall exhaust all administrative remedies before filing an action in the Superior Court of Guam in accordance with applicable laws.

(6) **Performance of Contract Pending Final Resolution by the Court.** The contractor shall comply with the government of Guam's decision and proceed diligently with performance of this contract pending final resolution by the Superior Court of Guam of any controversy arising under, or by virtue of, this contract, except where the contractor claims a material breach of this contract by the government of Guam. However, if the head of the purchasing agency determines in writing that continuation of services under this contract is essential to the public's health or safety, then the contractor shall proceed diligently with performance of the contract notwithstanding any claim of material breach by the government of Guam.

## **T. COST REIMBURSEMENT:**

### **Standards For Financial Management.**

(1) **Financial Reporting.**

The contractor shall provide complete, accurate, and current financial disclosures of the project or program in accordance with any financial reporting requirements, as set forth in the financial provisions.

(2) **Accounting Records.**

The contractor shall continuously maintain and update records identifying the source and use of funds. The records shall contain information pertaining to the contract, authorizations, obligations, unobligated balances, assets, outlays, and income.  
Internal Control.

The contractor shall maintain effective control over and accountability for all funds and assets. The contractor shall keep effective internal controls to ensure that all government of Guam funds received are separately and properly allocated to the activities described in the contract. The contractor shall adequately safeguard all such property and shall ensure that it is used solely for authorized purposes.  
Source Documentation.

The contractor shall support all accounting records with source documentation. These documentations include, but are not limited to, cancelled checks, paid bills, payrolls, contract and subgrant/contract documents, and so forth. All costs invoiced by contract in this contract must be reasonable, lawful, allocable, and accounted for in accordance with generally accepted accounting principles set forth in 2 GAR Division 4 § 7101 or in any federal assistance instrument applicable to the contract.

(3) **Reimbursable Cost Principles.**

The contractor shall support all accounting records with source documentation. These documentations include, but are not limited to, cancelled checks, paid bills, payrolls, contract and subgrant/contract documents and so forth.  
Allowable Cost.

Total allowable cost of the contract is the sum of allowable direct costs actually incurred

in the performance of the contract in accordance with the terms of the contract, plus the properly allowable indirect costs, less any applicable credits.

Cost shall be allowed to the extent they are:

- reasonable as defined in 2 GAR Division 4 § 7101 (d)
- allocable, as defined in 2 GAR Division 4 § 7101 (e)
- lawful under any applicable law
- not unallowable under 2 GAR Division 4 § 7101(f)

*Treatment of Special Costs or § 7101 (g) Cost Requiring Prior Approval to Allowable as Direct Costs*

In the case of costs invoiced for reimbursement, they must be actually incurred or accrued and accounted for in accordance with generally accepted accounting principles.

**(4) Applicable Credits.**

Applicable credits are receipts or price reductions which reduce expenditures allocable to contracts as direct or indirect costs, as defined in 2 GAR Division 4 § 7101 (h). In the event the contractor receives discounts, rebates and or other applicable credits accruing to or received by the contractor or any subcontractor under the contract, to the extent those credits are allocable to the allowable portion of the cost billed to the government of Guam; allowable costs will be paid to the contractor, net of all discounts, rebates and other such applicable credits. The contractor must separately identify for each cost submitted for payment to the government of Guam the amount of cost that is allowable; must identify all unallowable costs; or the contractor must exclude all unallowable costs from its billing documents and certify that only allowable costs are submitted for payment and records have been established that maintain the visibility of unallowable costs, including directly associated costs in a manner suitable for contract cost determination and verification.

The contractor must identify the amount of each discount, rebate and other applicable credit on bills and invoices presented to the government of Guam for payment and individually identify the amount as a discount, rebate or in case of other applicable credits, the nature of the credit. The government of Guam may permit the contractor to report this information on a less frequent basis than monthly, but no less frequently than annually. The contractor must identify the method by which it will report discounts, rebates and other applicable credits allocable to the contract that are not reported prior to conclusion of the contract.

The contractor must maintain documentation of costs and discounts, rebates and other applicable credits, and must furnish such documentation upon request to the government of Guam, the Guam Public Auditor, the United States Department of Health Inspector General or their authorized representative.

Credits shall be applied to reduce direct or indirect costs. The government of Guam shall be entitled to a cash refund if the related expenditures have paid to the contractor

No expenditure may be made in this contract that permits or results in the contractor receiving payments in excess of the contractor's actual, net allowable costs.

#### **U. MISCELLANEOUS OTHER TERMS AND CONDITIONS:**

##### **1. Payment and Release of Claims.**

Final payment shall be made upon satisfactory delivery and acceptance of all products and services as herein specified and performed under the contract. Prior to final payment; and as a condition precedent thereto, the contractor shall execute and deliver to government of Guam, a release in a form approved by the government of Guam of claims against the government of Guam arising under and by virtue of the contract.

##### **2. Written Notice of Claims.**

All written notice of claims shall be governed by Guam law.

##### **3. Responsibility for Accuracy of Work**

The contractor is responsible for the professional and technical accuracy of all services, work and materials furnished under this contract. The contractor shall, without additional cost to the government of Guam, re-do services, correct or revise all errors or deficiencies in its services, work and material identified during the term of the contract, and any applicable warranty period. The contractor shall devote its best efforts to the duties and responsibilities under the contract in accordance with the laws, rules, regulations and policies of the government of Guam. The government of Guam's review, approval, acceptance of, and payment of fees for services required under the contract, shall not be construed to operate as a waiver of any rights under the contract or of any cause of action arising out of the contractor's failure of performance, except as provided herein, and the contractor shall be, and remain liable, to the government of Guam for all direct costs which may be incurred by the government of Guam as result of the contractor's negligent performance of any of the services or work which are performed under the contract.

##### **4. Retention and Access to Records and Other Review.**

The contractor, including subcontractors, if any, shall maintain all books, documents, papers, accounting records, and other evidence pertaining to costs incurred and to make such materials available at their respective offices at all reasonable times during the contract period for three (3) years from the date of final payment under the contract; for inspection by the government of Guam. Each subcontract of the contractor pursuant to the contract shall include a provision containing the conditions of this "Retention and Access to Records and Other Review Clause".

In the event that federal funds are part of the contract, reference and access by the government of Guam, in this "Retention and Access to Records and Other Review Clause" shall be expanded to also include to the federal grantor and the U.S. Inspector General's Office or any duly authorized delegate of the federal government.

##### **5. Property of Documents.**



All briefs, memoranda and other incidental work or materials furnished hereunder shall be and remain the property of the government of Guam including all publication rights and copyright interests, and may be used by the government of Guam without any additional cost to the government of Guam, except as provided herein. All documents that form part of the contract are the property of the government of Guam and cannot be reproduced without the government of Guam's authorization, except as provided herein.

**6. Indemnity.**

The contractor agrees to save and hold harmless; the government of Guam, its departments, agencies, officers, agents, representatives, successors, and assigns of other governmental agencies from any and all suits or actions of every nature and kind, which may be brought forth, or on account of; any injury, death, or damage arising or growing out of the acts or omissions of the contractor, the contractor's officers, agents, servants, or employees under the contract.

**7. The Government of Guam Assumes No Liability.**

The government of Guam assumes no liability for any accident or injury that may occur to the contractor, its officers, agents, representatives, successors and or dependents, or personal property while in route to or from; Guam/or on Guam, or during any travel mandated by the terms of this agreement. The government of Guam shall not be liable to the contractor for any services or work performed prior to there being an approved awarded contract, and the contractor expressly waives any and all claims for services performed or materials and supplies purchased in expectation of the contract, prior to an approved awarded contract.

**8. Insurance.**

The contractor shall procure and maintain in effect Workers Compensation, Commercial General Liability, and Comprehensive General Liability Insurance coverage for the operation of the services set forth in this contract. The contractor shall provide certificates of such insurance to the government of Guam when required and shall immediately report in writing to the government of Guam any insurance claims filed.

- a. Workers Compensation Insurance that covers all employees of the contractor working in any capacity in the contractor's services under this contract, in the amount as required by Guam law.
- b. Commercial General Liability Insurance on an "Occurrence Basis" with limits of liability not less than one million dollars (\$1,000,000.00) per occurrence and/or combined single-limit bodily injury and property damage. The contractor shall ensure the insurance is issued by a company authorized to do business on Guam with minimum limits of not less than one million dollars (\$1,000,000.00) for bodily injuries or death per occurrence, and not less than three hundred thousand (\$300,000.00) for damages to property. Such policy shall insure the government of Guam and its respective agents and employees with respect to liability as a result of the operation of the services set forth in this

contract.

**9. Business Privilege Tax - Taxes.**

The contractor is responsible for paying its Business Privilege Tax on payments received under the contract.

**10. Technology Access For Blind Or Visually Impaired.**

The contractor acknowledges that no government funds may be expended for the purchase of information technology equipment and software for use by employees, program participants, or members of the public unless it provides blind or visually impaired individuals with access, including interactive use of equipment and services, that is equivalent to that provided to individuals who are not blind or visually impaired.

**11. Health Insurance Portability and Accountability (HIPPA).**

The contractor shall comply with the Health Insurance Portability and Accountability Act (HIPPA of 1006, P.L. 104-1991 and the Federal "Standards for Privacy of Individually identifiable "Health Information" promulgated under 45 CFR Part 160 and Part 164, Subparts A and E.

**12. Client Confidentiality.**

The contractor shall ensure information obtained directly or directly from a recipient client under this contract shall be kept confidential and not released in a form that identifies the person without informed consent of the person, or of his or her legal representative, unless the disclosure is required by court order or for program monitoring by authorized Federal, or Guam monitoring agencies. (Ref. 45 CFR 1321.51 and 42 CFR Part II). Privacy Rule Standards for Privacy of Individually Identifiable Health Information, Ref. 45 CFR Part 160 and Part 164, Subparts A and E.

**13. Program Transitions.**

All steps shall be taken by the contractor to ensure a smooth and professional transition of the program to prevent any interruption of the services to the clients and to preserve the integrity of the program. The contractor, who has not been awarded a new contract or renewal of an existing contract under this program, shall immediately prepare to relinquish all program related information, files, equipment, service contributions, and program income ( contributions, donations, and gifts) remaining balances and all other operational and administrative and service documents and/or item to the government of Guam.

**14. Retention And Access Requirements For Records.**

The contractor shall retain all records pertinent to the contract for a period of no less than 3 years from the expiration or termination date. As used in this provision, "records" includes books, documents, accounting procedures and practice, and other data, regardless of the type or format. The contractor shall provide access and the right to examine all records related to the contract to the government of Guam, Guam Public Auditor and the U.S. Department of Health Inspector General or their authorized representative.

If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be kept until all issues are resolved, or until the end of the regular 3-year period, whichever is later.

Records for nonexpendable property acquired in whole or in part, with funds from this contract funds must be retained for 3 years after its final disposition. The contractor shall provide access to any project site(s) to the government of Guam, Guam Public Auditor and the U.S. Department of Health Inspector General or their authorized representative. The rights of access in this section shall not be limited to the required retention period but shall last as long as the records are kept.

#### **15. Special Reporting Requirements for Non-Profit Organizations.**

The contractor, if a non-profit organization, in addition to any other terms and conditions of the contract shall comply with the reporting requirements set forth in P.L. 31-77 Chapter XIII § 11 and this clause. In the event one of the contractor's subcontractors is a non-profit organization the provisions of this clause shall also be deemed to apply to the contractor's subcontractor, and contractor is obligated to submit its non-profit subcontractor's information in the same manner and time periods.

The contractor shall maintain accurate financial records of all monies paid to it under the contract.

The contractor shall provide to the government of Guam a budgetary breakdown by object category as to all services under the contract. An initial proposed budgetary breakdown is part of the request for proposal, and the agreed cost proposal, budget, staffing request and are incorporated into the contract's scope of services.

The contractor shall provide to government of Guam a quarterly report describing its activities during the reporting period and the results it achieved no later than twenty (20) days after the end of each Quarter.

The contractor must provide prior written notification to the government of Guam of all procurement of equipment and services of FIVE THOUSAND DOLLARS (\$5,000.00) or more as to its professional services related to this contract, or with regard to items to be invoices as part of the contract.

The contractor shall provide access to duly authorized representative of the government of Guam, the Guam Public Auditor, or their authorized representatives, to any and all appropriate records for the purpose of audit and examination of books, documents, papers, and records of funds expended as part of the contract. The contractor shall upon written request by the government of Guam, the Guam Public Auditor. or their authorized representatives provide source documentation, including but not limited to copies of checks or receipts, employee pay statement, inventory receipt, attendance records, utility bills.

The contractor is subject to the Single Audit Rules shall provide annually to GBHWC copies of its Audit Reports for all time periods covered as part of the contract. The contractor shall provide certified detailed inventory listing of each Fiscal Year's purchases under the contract to the government of Guam as well as a Fiscal Year end report of all expenditures of funds under the contract no later than November 15, the initial year, and November 15, of the each subsequent year.

In the event the contractor fails to timely provide any reports or items set forth in this section to the government of Guam after prior written reasonable notice by the government of Guam to contractor and contractor's failure to cure the contract default, the government of Guam in addition to other contractual rights and remedies under this contract, may withhold payment of TEN PERCENT (10%) of any amounts that are invoiced under this contract by the contractor

**V. SEVERABILITY:**

The provisions of the contract will be deemed severable, and the unenforceability of any one or more provisions will not affect the enforceability of any other provisions. In addition, if any provision of this contract is declared unenforceable, the parties will substitute an enforceable provision that to the maximum extent possible in accordance with applicable law, preserves the original intentions and economic positions of the parties.

**W. NO WAIVER:**

No failure or delay by either party in exercising any right, power or remedy will operate as a waiver of such right, power or remedy, and no waiver will be effective unless it is in writing and signed by the waiving party. If either party waives any right, power or remedy, such waiver will not waive any successive or other right, power or remedy the party may have under this contract.

**X. ASSIGNMENT, SUCCESSORS AND ASSIGNS:**

Neither party may assign or otherwise transfer this contract or any of the rights that it grants without the prior written consent of the party. Any purported assignment in violation of the preceding sentence will be void and of no effect. This contract will be binding upon the parties' respective successors and permitted assigns.

**Y. COMMUNITY-BASED NON-PROFIT ORGANIZATION PROFESSIONAL SERVICES LOCAL GRANT FUNDS:**

This procurement is funded in part by the Guam Drug Detoxification, Rehabilitation Program grant set forth in 10 GCA §86109, and the contractors shall comply with the terms and conditions set forth in 10 GCA §86109 and any program guidelines and regulations. Additionally, the contractor agrees to adhere to the Guam public policy with regard to the program; "to provide comprehensive inpatient and community-based outpatient mental health, alcohol and drug programs and services for the people of Guam and to continually strive to improve, enhance, and promote the physical and mental well-being of the people of Guam who experience the life disrupting effects of mental illness, alcoholism, drug abuse or at risk to

suffer those effects and who need assistance; to provide such assistance in an effective and efficient manner in order to minimize community disruption and strengthen the quality of personal family and community life”; 10 GCA §86101.

**Z. SAMHSA SUBSTANCE ABUSE PREVENTION TREATMENT BLOCK GRANT CFDA 93.959  
FEDERAL TERMS AND CONDITIONS:**

This procurement is funded in part by the SAMHSA Substance Abuse Prevention Treatment Block Grant CFDA 93-959, federal grant terms and conditions, laws, regulations and guidelines are part of this contract, and the contractors Federal Certifications and Assurances Form that includes a copy of the Notice of Grant awarded is incorporated herein as if fully re-written.



## PROPOSAL SIGNATURE FORM

For DMHSA RFP 05-2013

By submitting this proposal, the offeror certifies that its authorized representative has fully read and understands the proposal method and has full knowledge of the scope, nature, and quality of work to be performed or the services to be rendered.

**OFFICIAL CONTACT.** GBHWC requests that the Offeror designate one person below to receive all documents and the method in which the documents are best delivered. GBHWC is thereby granted permission to contact the official contact named below for all communications. By its submission of this proposal and authorized signature below, proposer certifies that:

1. The information in the proposal is accurate;
2. Offeror accepts the procedures, evaluation criteria, mandatory contract terms and conditions, and all other administrative requirements set forth in this RFP.
3. Offeror certifies, by signing and submitting a proposal for \$25,000 or more, that their company, any subcontractors, or principals are not suspended or debarred by the Chief Procurement Officer or the Director of Public Works pursuant to Guam Procurement Law.

In compliance with this RFP and with all the conditions imposed herein, the undersigned offers and agrees to provide services in accordance with the attached signed proposal, or as mutually agreed upon by subsequent negotiation. This completed Proposal Signature Form shall be submitted with the offeror's written proposal and will become a part of any agreement that may be awarded. This Proposal Signature Form must be signed by an authorized representative.

**NOTE: The offeror shall inform GBHWC immediately in writing of a change in the designated authorized representative.**

**NAME AND ADDRESS OF OFFEROR:** By my signature, I acknowledge that I have read the instructions and accept all the terms and conditions in the Request for Proposals, and that I am authorized to sign on behalf of the Offeror:

\_\_\_\_\_ Type or Print Name and Title                      \_\_\_\_\_ Signature of Authorized Representative

Name of Offeror: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

Type of Organization: [  ] Individual            [  ] Non-Profit            [  ] Partnership

[  ] Corporation            [  ] Joint Venture

[  ] Other(Specify) \_\_\_\_\_

**FORM B**

**FORM FOR SUBMITTING ALL LICENSES**  
**For DMHSA RFP 05-2013**

*Please attach copies of all business licenses, permits, fictitious name certificates, certificates of good standing, or any other license, permit or certificate issued to the individual or company, which is applicable to this Request for Proposals. Please indicate the attached documents by checking the applicable boxes:*

**Business License**

- from the Department of Revenue and Taxation, Government of Guam  
 from a jurisdiction other than Guam: \_\_\_\_\_

**Fictitious Name Registration**

- from the Department of Revenue and Taxation, Government of Guam  
 from a jurisdiction other than Guam: \_\_\_\_\_

**Certificate of Incorporation**

- from the Department of Revenue and Taxation, Government of Guam  
 from a jurisdiction other than Guam: \_\_\_\_\_

**Federal I.D.#** \_\_\_\_\_

**Other Attachments. Please indicate:** \_\_\_\_\_

**Please check here if there are no attachments to this form.**

Authorized Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**FORM C**



**AFFIDAVIT DISCLOSING OWNERSHIP and COMMISSIONS**

CITY OF \_\_\_\_\_ )  
 ) **ss.**  
 ISLAND OF GUAM \_\_\_\_\_ )

A. I, the undersigned, being first duly sworn, depose and say that I am an authorized representative of the offeror and that *[please check only one]*:

The offeror is an individual or sole proprietor and owns the entire (100%) interest in the offering business.

The offeror is a corporation, partnership, joint venture, or association known as \_\_\_\_\_ *[please state name of offeror company]*, and the persons, companies, partners, or joint ventures who have held more than 10% of the shares or interest in the offering business during the 365 days immediately preceding the submission date of the proposal are as follows *[if none, please so state]*:

<b><u>Name</u></b>	<b><u>Address</u></b>	<b><u>% of Interest</u></b>
_____	_____	_____
_____	_____	_____
_____	_____	_____

B. Further, I say that the persons who have received or are entitled to receive a commission, gratuity or other compensation for procuring or assisting in obtaining business related to the bid or proposal for which this affidavit is submitted are as follows *[if none, please so state]*:

<b><u>Name</u></b>	<b><u>Address</u></b>	<b><u>Compensation</u></b>
_____	_____	_____

C. If the ownership of the offering business should change between the time this affidavit is made and the time an award is made or a contract is entered into, then I promise personally to update the disclosure required by 5 GCA §5233 by delivering another affidavit to the government.

\_\_\_\_\_  
 Signature of one of the following:  
 Offeror, if the offeror is an individual;  
 Partner, if the offeror is a partnership;  
 Officer, if the offeror is a corporation.

Subscribed and sworn to before me  
 this \_\_\_\_\_ day of \_\_\_\_\_, 201

\_\_\_\_\_  
 NOTARY PUBLIC  
 My commission expires: \_\_\_\_\_

AG Procurement Form 002 (Rev. Nov. 17, 2005)





