



790 Governor Carlos Camacho Road, Tamuning, Guam 96913

REQUEST FOR PROPOSAL
Professional Services

For adults with serious mental illness who are homelessness
or imminent risk of homeless (AKA SAGAN MAMI PROGRAM)
GBHWC RFP 03-2017

AMENDMENT NO. 1

To: All Prospective Offerors

The above numbered and described solicitation is amended as set forth below:

- FEDERAL AWARD – PATH GRANT mentioned on Page 93 of 94 is attached hereto identified as **EXHIBIT A** consisting of six (6) pages, and therefore made a part of Form L, Federal Grant Fund Certifications and Assurances.

Except as provided herein, all terms and conditions of the document referenced in the solicitation number above remain unchanged and in full force and effect.

Rey M. Vega, Director
September 7, 2017

ACKNOWLEDGMENT RECEIPT.

The registered party identified below acknowledges receipt of the above stated amendment and understands that this amendment must be submitted with the proposal offer.

Name: _____ Signature _____ Date _____



PATH Formula Grant
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

Notice of Award

Issue Date: 09/01/2017

Center for Mental Health Services

Grant Number: 2X06SM016052-17
FAIN: SM016052-17
Contact Person: Rey Vega

Program: PATH

GUAM DEPT OF MENTAL HLTH/SUBSTANCE ABUSE
Rey Vega
Clinical Services Division
790 Governor Carlos G. Camacho Road
Tamuning, GU 96913

Award Period: 09/01/2017 – 08/31/2018

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$50,000 (see "Award Calculation" in Section I) to GUAM DEPT OF MENTAL HLTH/SUBSTANCE ABUSE in support of the above referenced project. This award is pursuant to the authority of P.L. 101-645 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Wendy Pang
Grants Management Officer
Division of Grants Management

See additional information below

EXHIBIT A

SECTION I – AWARD DATA – 2X06SM016052-17

FEDERAL FUNDS APPROVED: \$50,000
AMOUNT OF THIS ACTION (FEDERAL SHARE): \$50,000
CUMULATIVE AWARDS TO DATE: \$50,000
UNAWARDED BALANCE OF CURRENT YEAR’S FUNDS: \$0
NON-FEDERAL SHARE: \$0

Fiscal Information:

CFDA Number: 93.150
EIN: 1980018947E5
Document Number: 17SM16052A
Fiscal Year: 2017

IC	CAN	17
SM	C96J570	\$50,000

PCC: PATH / OC: 4115

SECTION II – PAYMENT/HOTLINE INFORMATION – 2X06SM016052-17

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 2X06SM016052-17

- 1) State agencies must comply with statutory requirements in the authorizing legislation (P.L. 101-645, Title V, Subtitle B) and as specified in the agreement signed by the Governor and submitted with the PATH application.
- 2) The State agency designated by the Governor to be the recipient of the PATH Formula Grant will be legally and financially responsible for all aspects of the grant, including funds contracted/or granted to political subdivisions of the State and/or non-profit entities.
- 3) Grants will not be made to any entity that—
 - (a) has a policy of excluding individuals from mental health services due to the existence or suspicion of substance abuse; or
 - (b) has a policy of excluding individuals from substance abuse services due to the existence or suspicion of mental illness.

4) The State agency must revise the Intended Use Plan to reflect substantial changes in PATH-funded activities. Revised plans are subject to approval by the Center for Mental Health Services (CMHS).

5) The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards 45 CFR Part 75 apply to PATH Formula Grant.

6) Grantees must submit to the Government Project Officer a behavioral health disparity impact statement (DIS) as described in Appendix H (Addressing Behavioral Health Disparities) of the FY 2016 PATH FOA by November 30, 2017. An example of a PATH program health disparity impact statement can be found on the SAMHSA website at:
<http://www.samhsa.gov/grants/grants-management/disparity-impact-statement>.

7) Grantees must submit an annual report which provides information on actual performance in accordance with OMB approved PATH Annual Report Form and PATH Annual Report Provider Guide no later than January 31, 2018.

8) The State must agree to make available, directly or through donations from public or private entities, non-Federal contributions of at least \$1 (in cash or in kind) for each \$3 of Federal funds. The costs borne by the matching and in-kind contributions are subject to the rules governing allowability in 45 CFR Part 75.403, along with other terms and conditions of the award that govern the expenditure of Federal funds. The Federal Funds Approved is subject to adjustment should the State not meet the match amount.

9) Grantees must obligate grant funds within the 12 month grant period for goods and services purchased within 24 months of the beginning date of the grant period, with all funds liquidated within 27 months. As stated in 45 CFR Part 75.2, the term obligation means orders placed for property and services, contracts and subawards made, and similar transactions during a given period that require payment by the non-Federal entity during the same or a future period.

10) PATH funds must be used to supplement, not supplant, existing services to individuals who have serious mental illness, or who have co-occurring serious mental illness and substance use disorders, and who are homeless or at imminent risk of becoming homeless.

11) The basis for determining the allowability of costs charged to the grant is in 45 CFR 75.403 and the authorizing legislation for the PATH Program. In particular, grant funds may not be expended:

- (a) to support emergency shelters or construction of housing facilities;
- (b) for inpatient psychiatric or substance abuse treatment costs; or
- (c) for cash payments to intended recipients of mental health or substance abuse services.

12) No more than 4 percent of the payment received under the PATH Formula Grant Program may be expended on administrative expenses. Grantees must track the costs in this category with records demonstrating that the 4 percent cap has not been exceeded.

13) No more than 20 percent of the PATH Formula Grant may be expended on housing services as defined in the PATH Legislation. Grantees must track the costs in this category with records demonstrating that the 20 percent cap has not been exceeded.

14) None of the funds in this grant shall be used to pay the salary of an individual through a grant or other extramural mechanism at a rate in excess of Executive Level II of the Federal Executive Pay Scale which is currently \$187,000 annually.

15) When issuing statements, press releases, requests for proposals, bid solicitations, and other documents describing projects or programs funded in whole or in part with Federal money, the

State shall clearly indicate (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of the Federal funds for the project or program, and (3) the percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

16) Any program income generated by this grant may be used in accordance with the additional costs alternative of 45 CFR Part 75.307(e)(2) to further the objectives of the PATH program. Program income must be reported on the Federal Financial Report (Standard Form 425).

17) A Federal Financial Report (FFR) - Standard Form 425 must be submitted by email at grant.closeout@samhsa.hhs.gov, no later than 90 days after the 24 month period from the beginning date of the grant period. The required non-Federal contributions must be shown in the Recipient's Share of Net Outlays section of the FFR.

18) Accounting Records and Disclosure: Awardees and subrecipients must maintain records which adequately identify the source and application of funds provided for financially assisted activities.

19) Audit requirements for Federal award recipients are defined in 45 CFR Part 75 Subpart E, <http://www.ecfr.gov/cgi-bin/text-idx?SID=06a0b0411d1520fae5e2799030e64ebf&node=pt45.1.75&rgn=div5>

An organization is required to have a non-Federal audit if, during its fiscal year, it expended a total of \$750,000 or more in Federal awards. Federal awards are defined in 45 CFR Part 75.2 Federal financial assistance and Federal cost reimbursement contracts received both directly from a Federal awarding agency as well as indirectly from a pass-through entity.

SF-SACs and Audit reports MUST be submitted online. For questions and information concerning the submission process, please visit <http://harvester.census.gov/fac/collect/ddeindex.html> or you may call the Federal Audit Clearinghouse (800-253-0696).

20) The Department of Health and Human Services' (HHS), Office of General Counsel (OGC) has provided guidance on how the lobbying restrictions in the Fiscal Year 2012 Consolidated Appropriations Act (CAA, 2012) will affect HHS programs. Section 503 of the Labor, HHS, and Education Appropriation Act (Division F of the CAA, 2012) is the most comprehensive provision focused on lobbying restrictions. Recent changes to this section may have implications for SAMHSA and its recipients. Language provided by OGC, below provides specific guidance on: agency actions; recipient lobbying; tax increases and other restrictions on legal consumer products; and clarification of Internal Revenue Code provisions.

SEC. 503 - Agency Actions

a) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

Section 503(b) - Recipient and Contractor Lobbying

b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of

Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body, other than for normal and recognized executive-legislative relationships or participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

c) The prohibitions in subsections (a) and (b) shall include any activity to advocate or promote any proposed, pending or future Federal, State or local tax increase, or any proposed, pending, or future requirement or restriction on any legal consumer product, including its sale or marketing, including but not limited to the advocacy or promotion of gun control.

21) Grantee must plan to send the State PATH Contact or designee to the SAMHSA Homeless Programs Grantee meeting. This meeting will be held in the Washington, DC area and attendance is mandatory.

22) This award is subject to the requirements of Section 106(g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term, go to <http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=2&SID=30cef173ce45f9ae560f5ba6faf646b4&ty=HTML&h=L&n=pt2.1.175&r=PAR>

23) Confidentiality of Alcohol and Drug Abuse Patient Records regulations (42 CFR 2) are applicable to any information about alcohol or drug abuse patients obtained by a program (42 CFR 2.11), if the program is federally assisted in any manner (42 CFR 2.12b).

Accordingly all PATH program patient records are confidential and may be disclosed and used only in accordance with (42 CFR 2). The grantee is responsible for assuring compliance with these regulations and principles, including responsibility for assuring the security and confidentiality of all electronically transmitted patient material.

24) This award action is not subject to the FFATA subaward and executive compensation reporting requirements.

25) You as the recipient must comply with drug-free workplace requirements in Subpart B (or Subpart C, if the recipient is an individual) of part 382, which adopts the Government wide implementation (2 CFR part 182) of sec. 5152-5158 of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D; 41 U.S.C. 701-707).

26) Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the HHS awarding agency, with a copy to the HHS Office of Inspector General (OIG) all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner, in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the awarding agency and to the HHS OIG at the following addresses:

SAMHSA
Attention: Office of Financial Advisory Services
5600 Fishers Lane
Rockville, MD 20857

AND

U.S. Department of Health and Human Services Office of Inspector General
ATTN: Mandatory Grant Disclosures, Intake Coordinator
330 Independence Avenue, SW, Cohen Building, Room 5527
Washington, DC 20201
Fax: (202) 205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email:
MandatoryGranteeDisclosures@oig.hhs.gov .

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371 Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 & 376 and 31 U.S.C. 3321).

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.